

# Neutrinos

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COE Symposium  
Sendai  
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- History of development  
of ideas & experiments on neutrinos
- Current status of knowledge  
of neutrino properties
- Plans for near future
- Neutrino Cosmology
  - Detection of Relic Neutrinos
- Uses of Neutrino Telescopes
- Applications of Neutrinos
  - Earth exploration (oil?)
- Geophysics
- Disarmament - Arms Control  
(Very far future).

# A NEUTRINO TIMELINE

*The following is a short history of neutrinos as it relates to neutrino oscillation studies.*

**1920-1927** Charles Drummond Ellis (along with James Chadwick and colleagues) establishes clearly that the beta decay spectrum is really continuous, ending all controversies.

**1930** Wolfgang Pauli hypothesizes the existence of neutrinos to account for the beta decay energy conservation crisis.

**1932** James Chadwick discovers the neutron.

**1933** Enrico Fermi writes down the correct theory for beta decay, incorporating the neutrino.

~~**1938 Hans Bethe, C.F. von Weizsäcker propose PP cycle for solar energy,**~~

**1946** Shoichi Sakata and Takesi Inoue propose the pi-mu scheme with a neutrino to accompany muon.

~~Bruno Pontecorvo suggests  $c \rightarrow Ar$  as  $\nu e$  detector~~

**1956** Fred Reines and Clyde Cowan discover (electron anti-) neutrinos using a nuclear reactor.

**1957** Neutrinos found to be left handed by Goldhaber, Grodzins and Sunyar.

**1957** Bruno Pontecorvo proposes neutrino-antineutrino oscillations analogously to K0-K0bar, this is the first time neutrino oscillations (of some sort) are hypothesized.

**1962** Ziro Maki, Masami Nakagawa and Sakata introduce neutrino flavor mixing and flavor oscillations.

**1962** Muon neutrinos are discovered by Leon Lederman, Mel Schwartz, Jack Steinberger and colleagues at Brookhaven National Laboratories and it is confirmed that they are different from electron neutrinos.

**1964** John Bahcall and Ray Davis discuss the feasibility of measuring neutrinos from the sun.

**1965** The first natural neutrinos are observed by Reines and colleagues in a gold mine in South Africa, and by Gokul Menon and colleagues in Kolar gold fields in India, setting first astrophysical limits.

**1968** Ray Davis and colleagues get first radiochemical solar neutrino results using cleaning fluid in the Homestake Mine in North Dakota, leading to the observed deficit now known as the "solar neutrino problem". (*with accompanying paper by John Bahcall*).

**1976** The tau lepton is discovered by Martin Perl and colleagues at SLAC in Stanford, California. After several years, analysis of tau decay modes leads to the conclusion that tau is accompanied by its own neutrino, nutau, which is neither nue nor numu.

**1980s** The IMB, the first massive underground nucleon decay search instrument and neutrino detector is built in a 2000' deep Morton Salt mine near Cleveland, Ohio. The Kamioka experiment is built in a zinc mine in Japan(*led by Masatoshi Koshiba*).

**1985** The "atmospheric neutrino anomaly" is observed by IMB and Kamiokande.

- 1986 Kamiokande group makes first directional counting observation solar of solar neutrinos and confirms deficit.
- 1987 The Kamiokande and IMB experiments detect burst of neutrinos from Supernova 1987A, heralding the birth of neutrino astronomy, and setting many limits on neutrino properties, such as mass.
- 1988 Lederman, Schwartz and Steinberger awarded the Physics Nobel Prize for the discovery of the muon neutrino.
- 1989 The LEP accelerator experiments in Switzerland and the SLC at SLAC (Stanford) determine that there are only 3 light neutrino species (electron, muon and tau).
- 1991-2 SAGE (in Russia) and GALLEX (in Italy) confirm the solar neutrino deficit in radiochemical experiments.
- 1995 Frederick Reines and Martin Perl share the Physics Nobel Prize for discovery of electron neutrinos (and observation of supernova neutrinos) and the tau lepton, respectively.
- 1996 Super-Kamiokande, the largest particle detector ever, begins searching for neutrino interactions on 1 April at the site of the Kamioka experiment, with a Japan-US team (*led by Yoji Totsuka*).
- 1998 After analyzing more than 500 days of data, the Super-Kamiokande team reports finding oscillations in atmospheric neutrinos and, thus, neutrino mass.
- 1999-2000 The Chooz and Palo Verde reactor experiments report no oscillations, concluding that electron neutrinos are not the dominant participant in the atmospheric neutrino oscillations.
- 2000 The DONUT Collaboration working at Fermilab announces observation of tau particles produced by tau neutrinos, making the first direct observation of the tau neutrino.
- 2000 Super-Kamiokande announces that the oscillating partner to the muon neutrino is not a sterile neutrino, but the tau neutrino.
- 2001-02 SNO announces observation of neutral currents from solar neutrinos, along with charged currents and elastic scatters, providing convincing evidence that neutrino oscillations are the cause of the solar neutrino problem (*led by Art McDonald*).
- 2002 Masatoshi Koshiba and Raymond Davis win Nobel Prize for measuring solar neutrinos (as well as supernova neutrinos).
- 2002 KamLAND observes neutrino oscillations consistent with the solar neutrino puzzle using, for the first time, man-made neutrinos (*led by Atsuto Suzuki*).

*Modified by Giorgio Gratta from an original by John Leane and Sandip Pakvasa.*

Nov. 1895

Röntgen discovered  
X-rays

(from CRT walls)

Jan. 1 1896 Henri Becquerel hears  
about X-rays from a lecture  
by Poincaré.

→ Starts experiments

Thinks some fluorescent materials  
also might emit X-rays. Uses  
Uranium to try to expose photo.

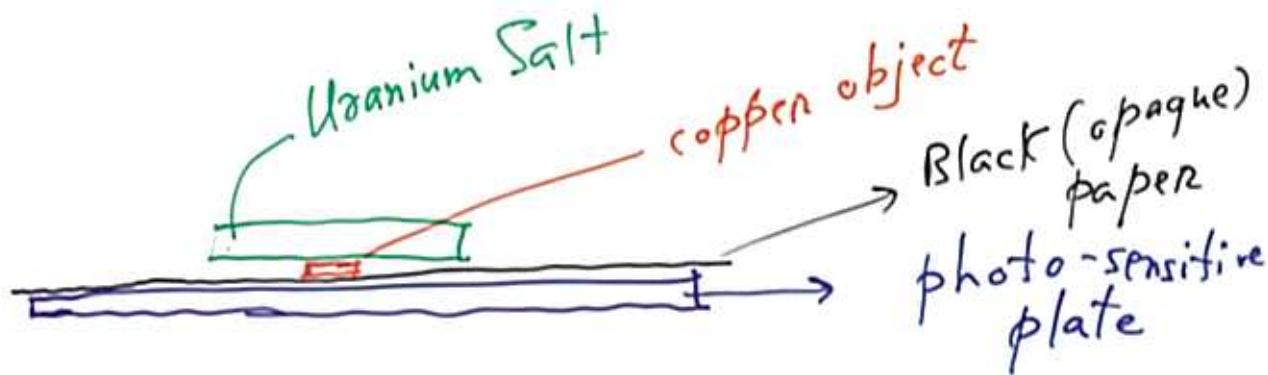
plaques.

Scheduled to give a talk on Mar. 1.  
Impatient for results, develops  
plaques (wrapped in black paper) inside  
a drawer or Feb. 25 & finds  
them blackened (it was a cloudy day).

Feb. 25, 1896 H. B. discovers  
"radioactivity" (so named  
by Marie Curie 1 year later)

1898 Ernest Rutherford (Cambridge)  
& P. & M. Curie start work  
on radioactivity.

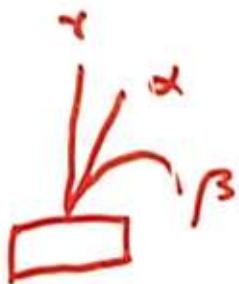
# Becquerel's Apparatus (S. Thompson in U.K.)



- Initially exposed to sunlight & then developed.  
found darkened paper except where object (cross) placed.
- Left over a weekend inside a drawer (no sunlight). Developed on sunday - results identical!
- Delivered a talk on monday.
- Established . It is the Uranium . Rad is Ionising - - -

Rutherford : . found exponential decay law.

- found . penetrating radiation  
(he called it  $\beta$ -rays)
- "stoppable" radiation  
(called it  $\alpha$ -rays)
- applied  $B$  field
- found  $\beta$ -rays deflected  
 $\Rightarrow$  charged particle



{rays undeflected}  $\rightarrow \gamma$ -rays       $\alpha$ -rays deflected in strong enough  $B$ .

M. & P. Curie : . found  $\alpha$ -rays can be slowed down in matter, hence heavy material particle

- discovered other stronger radioactive elements without which further detailed experiments impossible. Th, Ra, Po .

# Nature of $\beta$ -spectra in radioactive nuclei? "Life & Times of C. D. Ellis" (Pais)

- Very rich & hence complicated & situation confused.
- 1912 - 1914. James Chadwick switched to counter technique (from emulsion) with  $\beta$  & began to get some clarity.
- lines + Continuum.  
↳ understood later as Auger transitions  
• 1915 Rutherford lecture mentions continuous spectrum & that each decay may give diff. energy to  $\beta$ .
- 1914 Chadwick accepts a Royal Scholarship to work in Berlin.  
& is interned, allowed to continue research in "prison" & meets Charles Drummond Ellis, an artillery officer.

1919 Ellis gives up army & follows  
Chadwick to Cambridge  
→ start of a "beautiful" collaboration  
"Work of Ellis et al. in 20's  
primarily responsible for those  
experimental  $\rightarrow$  advances which were  
to lead to the neutrino hypothesis"  
(A. Pais).

1921. ~~Ellis~~ Ellis clarified:

- (i) some  $\beta$ 's are secondaries  
via internal conversion of  
primary  $\gamma$ -rays.  $\hookrightarrow$  (first obsrv.)
- (ii) confirmed Einstein photo  
-electron formula at MeV scale  
(6 orders of magnitude improvement)

1922 (paper #2). - First sketch of  
a nuclear energy level diagram  
. first suggestion that Q. Theory  
applicable to nuclei.

Ellis & Chadwick thought:

- $\beta$ -spectra classified
- • primary spectra continuous
- lines from secondary spectra

But 1922-3 → L. Meitner claims.

- primary spectra discrete
- they may have full energy or less & give rays → secondary p's

She claimed experimental evidence for her "model" (which is analogous to  $\alpha$ -decay spectra).

Long controversy ensued back & forth. (In 1925 Compton effect was found & Meitner tried to use it to "explain" continuum, Ellis & Chadwick said "no way").

Compton results first direct conservation test of energy-momentum in elementary processes.

1925: Ellis & Wooster reviewed all arguments, conclude "there is no doubt ... that this (continuum) exists..." but decided to settle it the only way possible: experimentally.

To Do an old fashioned, fully calorimetric determination of total emitted energy.  
(by registering temp. rise).

$$\langle \Delta T \rangle = \begin{cases} E_{\max} & (\text{Meitner}) \\ \langle E_e \rangle & \text{Ellis-Chadwick} \end{cases}$$

1927). Result.  
It took 2 years.  
The spectrum is continuous.  
"Great shock" to Meitner. But as the good physicist she repeated the experiment & published results in Dec. 1929. Agreement!

1929 → Bohr - Pauli Controversy

1924 - 1936 Bohr advocated violation of energy conservation to explain continuous β-ray spectrum.

Several serious crises

① Continuous β-ray spectrum  
 $(N, z) \rightarrow (z-1) + e^-$

② presence of electrons in nuclei creating problems with spin & statistics

③ problems with Dirac Eq. & Klein Paradox.

p.s. Eventually all solved by NEW PARTICLES!

① → neutrino, ② → neutron (Chadwick 1932)  
③ → positron (Anderson 1932)

1924: Bohr-Kramers-Slater paper (still cons.)  
BUT 1925 → Compton off

# Problem of Nuclear Statistics

e.g.  $^{14}N$ : mass  $\sim 14 m_p$

$\Rightarrow$  must contain 14 protons  
charge  $\sim +7e$

$\Rightarrow$  must contain 7 electrons  
 $\{[+14 - 7] = +7\}$

Hence  $^{14}N$  nuclei should  
obey Pauli principle & Fermi  
statistics.

But molecular Spectra of  
 $^{14}N$  (Diatom) Molecules  
showed they obeyed Bose Statistics

Also Spin of  $^{14}N$  not  
 $\frac{1}{2}$  integer but integer ( $in \frac{1}{2}$ )

Pauli insisted on energy, momentum,  
angular momentum conservation.

The famous Pauli letter: (next transp.)

The reasons why Pauli never published, was reticent and reluctant:  
general conservatism.

more importantly, Pauli was trying to solve two problems

(i)  $\beta$ -ray spectrum

(ii) spin & statistics in nuclei  
& this led to serious contradictions

(a) (i) implied  $m_\nu \sim m_e$

(b) For (ii)  $\nu$  had to have

"strong" binding forces (Pauli proposed  
magnetic moment). But then "nu" shrank  
would leave tracks in cloud chamber?  
anom. "pictures" would be teeming with

"neutrons". - Pauli did not really believe

→ so in his invention (half night!)

## Pauli's letter



WOLFGANG PAULI

Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li6 nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

I agree that my remedy could seem incredible because one should have seen these neutrons much earlier if they really exist. But only the one who dare can win and the difficult situation, due to the continuous structure of the beta spectrum, is lighted by a remark of my honoured predecessor, Mr Debye, who told me recently in Bruxelles: "Oh, It's well better not to think about this at all, like new taxes". From now on, every solution to the issue must be discussed. Thus, dear radioactive people, look and judge.

Unfortunately, I cannot appear in Tubingen personally since I am indispensable here in Zurich because of a ball on the night of 6/7 December. With my best regards to you, and also to Mr Back.

Your humble servant, W. Pauli

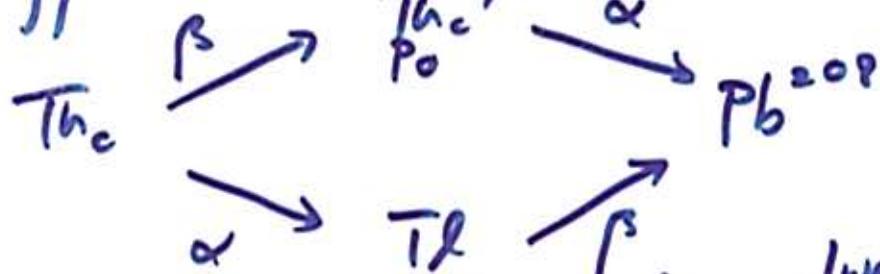
Pauli:  $(^{14}N)$  not  $14p+7e^-$  but  $14p+7e^- + 7n$   
NOT  $7p+7N$ .

1932 - 33

- 1932 Chadwick's discovery of the neutron (near miss by J-Carrie)
- 1932 Anderson's discovery of the positron.

Things are getting clearer.

Oct. 1933 7th Solvay Conference.  
Ellis reports on an Ellis-Mott  
expt. which beautifully confirms  
energy conservation in  $\beta$ -decay.



The sum of energies is always the same in both branches assuming

$$\Delta M = E_{\max}^{\beta}$$

Pauli discussed the neutrino kinematics

concludes that

$m_{\nu} \sim 0$ .

Perrin suggests

Fermi is present but silent.

# Ernest Lawrence and the Cyclotron



Participants at the seventh Solvay Conference on nuclear physics, held in Brussels, Belgium in October 1933.

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[RETURN](#)



Dec. 1933 Fermi submits to Nature:

Tentativo di una teoria della  
emissione di raggi  $\beta$

Nature: "speculations too remote  
from reality to be of interest to the  
reader"!

published in Nuov. Cim. & Z. für Phys.  
1934: more detailed paper.

Digression: de Broglie (1934).  
applied Dirac Eq. to neutrinos  
& called the hole in the Dirac  
sea or "anti-neutrino"  
also for the first time coined  
the word "anti-particle"  
Fermi was the first one to use  
quantized field for spin  $\frac{1}{2}$   
in physics. (the use in QED  
by Heisenberg et al. was later!)

# What's in Fermi's paper?

- the elementary process in  $\beta$ -decay is identified as the decay of neutron into p.e.:  
 $n \rightarrow p + e^- + \bar{\nu}_e$

- Use analogy to QED to write the form of interaction

$$j_\mu A_\mu$$

$$: j_\mu \sim \bar{\psi}_\phi \gamma_\mu \psi_\mu \quad \left. \begin{array}{l} \rightarrow \text{in 1957, after} \\ \text{p.v. only change to} \\ \text{Fermi was } \gamma_\mu \rightarrow \gamma_\mu^{(1+\xi)} \end{array} \right\}$$
$$: A_\mu \sim \bar{\psi}_e \gamma_\mu \psi_e$$

- Took NR limit (static)

$$j_\mu A_\mu \xrightarrow{p \phi}$$
$$p \sim g_v \delta(x - x_0) \quad \begin{array}{l} \text{position} \\ \text{of neutron} \end{array}$$

$$S_{int} \sim g_v [\tau_+ \bar{\psi}_v \gamma_0 \psi_e + \tau_- \bar{\psi}_e \gamma_0 \psi_v]$$

$\tau_+$  &  $\tau_-$  had just been introduced by Heisenberg (1932) !!

- $\beta$ -spectrum calculated, dependence of end point behaviour on neutrino mass noted & small mass from data noted
- Concept of allowed & forbidden transitions introduced.
- $M_{pn} \sim \int \phi_p^* \phi_n \rightarrow l \text{ or } 0 \text{ etc}$   
 estimated  $g_V$  (Fermi Coupling).  
 $\sim 4 \cdot 10^{-50} \text{ erg-cc.}$   
 $\rightarrow 1 \cdot 4 \cdot 10^{49}$  (today)
- July 1934 (Wolfe, Uhlenbeck) made an estimate of neutron lifetime from best p-n mass diff. & found 1000 sec. ("improbably small" but no evidence to the contrary).
- Predictions of Fermi gave too few low energy  $\beta$ 's. Kon. & Uhl. (1935) introduced derivatives to account for this, & fit the data perfectly.  
 Turned out these data were wrong!  
 Back scattering problem  $\rightarrow$  thinner sources arrangement perfect! "End of K & U!"

Meanwhile, Yukawa in Japan

(1934) proposed "meson" ( $\pi$ ) as a

cARRIER of nuclear forces

• Yukawa Potential  $V \sim -\frac{e}{r^{\mu}}$

• Range  $\sim \frac{1}{\mu} \sim \frac{\hbar}{m_\pi c} \sim \text{fermi}$

$$\Rightarrow m_\pi \sim 0(100 \text{ MeV})$$

• "Immediate" confirmation

1938-40 : Cloud chamber found  
cosmic ray events with charged  
particles of  $m \sim 100 \text{ MeV}$ .

• 1938-46 : Many problems  
with identifying this particle  
with Yukawa meson.

• Theory needed  $m_\pi$  higher than 100 MeV.

• " "  $\tau_\pi$  shorter by factor 100.

• " "  $\pi N$  scattering stronger by

$\pi^+$  (by Coulomb) prefer to decay rather than absorbed BUT

$\pi^-$  should be absorbed rather than decay.

→ Conversi - Pancini - Piccioni (Dec. 1946)

$\bar{\pi}$  in Carbon decayed rather than be absorbed

→  $\pi N$  coupling  $10^{-10}$  expected for Yukawa

## Resolution of $\bar{\pi} - \mu$ Puzzle (More particles!)

S. Sakata & T. Inoue (1943).

The 100 MeV C.R. meson is NOT the Yukawa meson but something else ( $\mu$ ).

produced in the decay of  $\bar{\pi}$ .

They wrote down the complete coupling scheme (correctly) with the connected spins & invented the second neutrino  $\nu_\mu$ . Their Proposal:

$$\pi^+ \rightarrow \mu^+ \nu_\mu$$

$$\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$$

$$\bar{\pi} \rightarrow \bar{\mu} \bar{\nu}_\mu$$

$$\bar{\mu} \rightarrow \bar{e} \bar{\nu}_e \nu_\mu$$

, , , diff. from  $\nu_e$  (maybe)

$\bar{\nu}_e$  is the neutrino in  $n \rightarrow p + e^- + \bar{\nu}_e$ .  
Similar proposals with wrong spin assignments were made by Y. Tanikawa (1962), Betho & Marshak (1946).

The  $\pi - \mu$  Scheme was completely confirmed with  $m_\pi \sim 140 \text{ MeV}$   $m_\mu \sim 106 \text{ MeV}$  during / by 1947-8 by primarily the Bristol group's work with emulsion technique (Powell et al.)

# Detection of $\bar{\nu}_e$ and properties

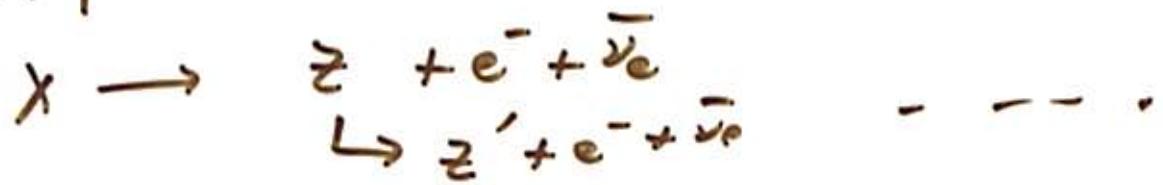
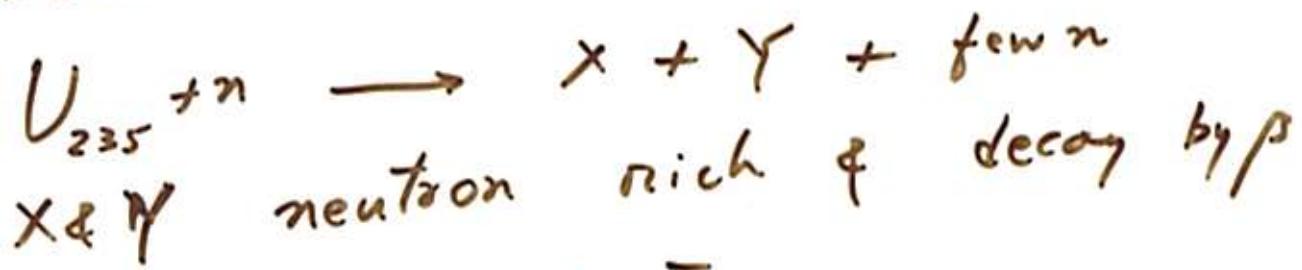
Pauli had said it would be nice to see recoil momentum of final nucleus measured.  
 This was done in 50's. (Crane & Halpern in U.S. ("finally!"))

First Detection of  $\bar{\nu}_e$ . ↳ L.L.

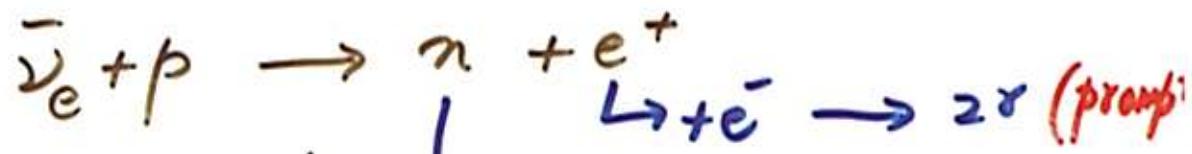
Reines & Cowan 1954-56.

First idea: use  $\bar{\nu}_e$ 's emitted during A-Bomb tests

Better idea: use  $\bar{\nu}_e$ 's emitted in nuclear reactors. (Source)



Detector: Use LS (proton-rich)



Nice tag signature



• Some early problems with claim  
of Reines-Cowan.

They claim to measure accurately  
the expected cross-section.

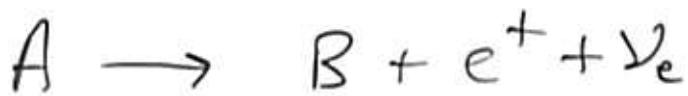
• But then in 1956 parity  
violation (suggested by Lee & Yang,  
confirmed by C.S. Wu et al.)  
followed by V-A theory  
(Sudarshan-Marshak, Feynman-Gell-mann)

→ This implied cross-section  
smaller by a factor of 2!!  
After much discussion & re-analysis,  
Reines-Cowan agreed with the  
new prediction!!

Reason: neutron background higher  
than they thought.

## Electron (K) - Capture

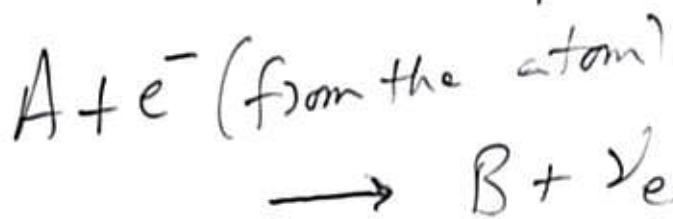
Consider  $\beta$ -decay with emission of  $e^+$  &  $\nu_e$



When  $m_A c^2 < (m_B + m_e)c^2$  (but  $m_A > m_B$ )

This is forbidden by energy conservation.

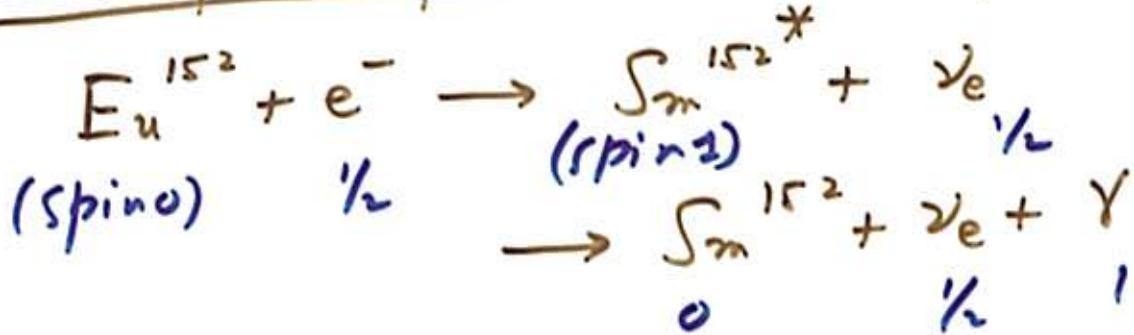
But K (or electron) capture is possible:



Neutrinos are Left-Handed.

(Goldhaben, Grodzins & Sunyar 1957).

Beautiful Experiment. ( $e^-$ -capture).



Consider dir. of motion on  $z$  axis.

Then

Initial  $J_z$

Final  $J_z$

$$\pm \frac{1}{2} = \pm \frac{1}{2} \pm 1$$

$$So \quad + \frac{1}{2} = - \frac{1}{2} + 1$$

$$\text{or} \quad - \frac{1}{2} = + \frac{1}{2} - 1$$

& to a good approx.  $\xleftarrow{\overrightarrow{s}_\nu} \xrightarrow{\overleftarrow{p}_\nu} \xleftarrow{\overrightarrow{s}_\nu} \xrightarrow{\overleftarrow{p}_\nu}$

Spin (polarization) of photon measured by absorption in Fe in presence of  $B$  field.

$\Rightarrow S_\nu$  &  $P_\nu$  are opposite

$\Rightarrow \nu_e$  is left handed.

Ensure that  $r$  &  $\nu$  are not  
in the same direction:



Otherwise correlation is lost.

For this choose only r rays  
"forward"

$$\xrightarrow{S_m^*} \xrightarrow{r}$$

By resonance absorption

1957 Two component neutrino

Landau, Lee-Yang, Salam.

- Weak Interaction maximally P.V.
- V-A.
- Then only  $\nu_L$  &  $\bar{\nu}_R$  participate
- In the  $m_\nu = 0$  limit  $\nu_R$  &  $\bar{\nu}_L$  never show up.

- 1957-8 B. Pontecorvo suggests  $\nu_e - \bar{\nu}_e$  oscillations in analogy with  $K^0 - \bar{K}^0$  oscillations.  
But V-A makes  $\nu \perp H$ ,  
 $\bar{\nu} RH$ ; & osc. suppressed by  $(m_\nu/E_\nu)^2$  - very small.

- 1962 {Z. Maki, M. Nakagawa & S. Sakata} in a remarkable paper: suggest that  $\nu$  mass e-states & flavor e-states are NOT identical but:

$$\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

$\nu_1$  &  $\nu_2$  have masses  $m_1$  &  $m_2$ .

Propose similar mixing  
for "quarks" making up  
hadrons P, N, A and the fourth  
"charmed" particle. [Before  
Cabibbo (1963) & Bj/Glashow (1964)  
or GIM (1970)]

They also calculate oscillation length

$$L \sim \frac{\Delta m^2}{qE}$$

& propose interpreting negative results of the on-going BNL expt. in terms of bounds on  $L$  &  $\theta$  [exactly the way we do now]

1968-9. Pontecorvo works out many implications of  $\nu$ -oscillations for solar & astrophysical neutrinos.

MNS (1962, 63) estimate  $\left\{ \begin{array}{l} \mu \rightarrow e \\ \nu_2 \rightarrow \nu_1, \nu \end{array} \right.$

- $G_F$  (left) depends on Q-value
- Kinks in  $\beta$ -ray spectra at various  $m_{\nu_i}$

$$\overline{P}_{\mu\mu} = 1 - \sin^2 \theta \sin^2 \left( \frac{\Delta m^2 L}{qE} \right)$$

# Columbia-BNL Two Neutrino Experiment (1961-2)

- By 1960, it was clear that if  $\nu_\mu \equiv \nu_e$ ,  $\mu^- \rightarrow e^-$  would have been seen [indep. of a full theory of weak int.] [esp. due to G. Fainberg]

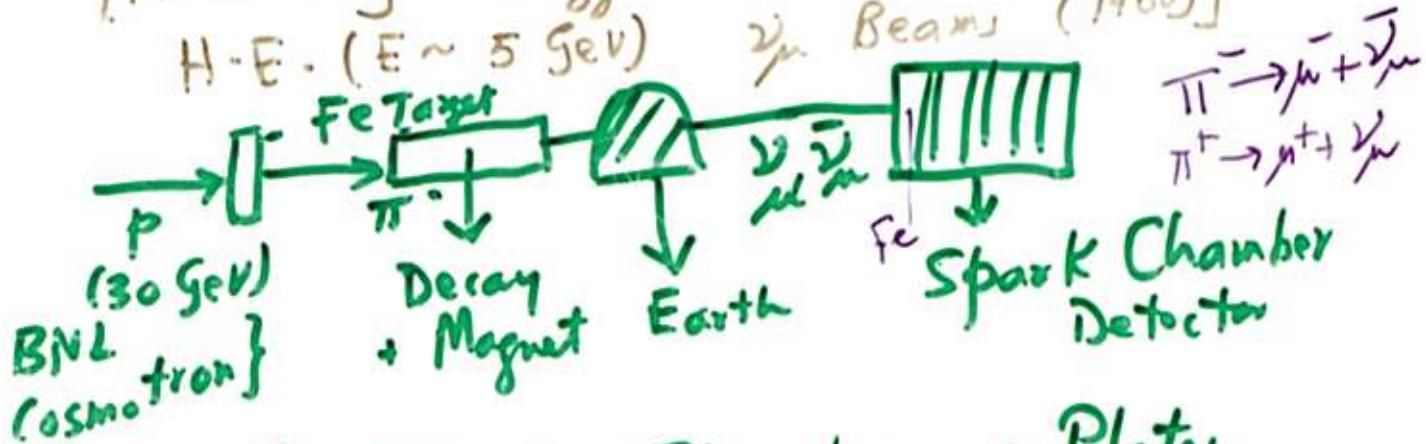


- Settle the issue exptlly.

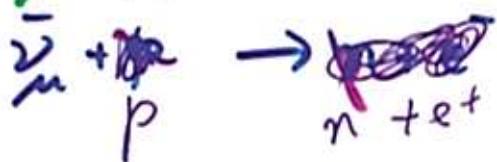
[M. Schwarz suggested using BNL to get

H-E. ( $E \sim 5 \text{ GeV}$ )

$\nu_\mu$  Beams (1960)

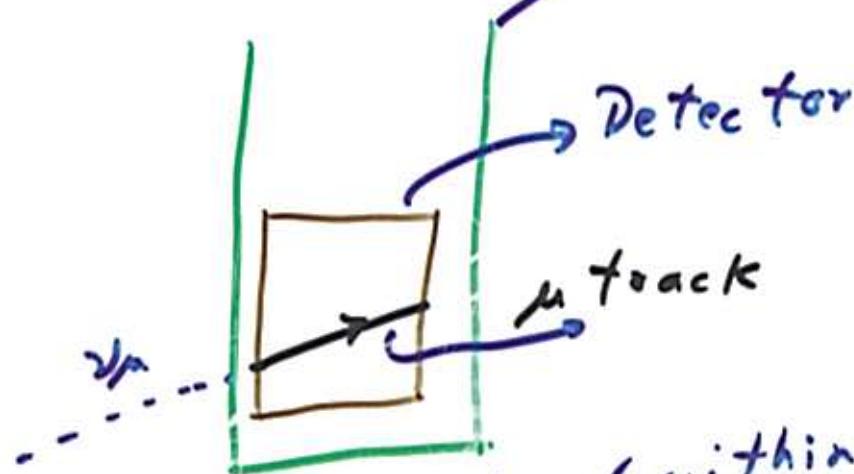


In Spark Chamber + Plates



No events of this kind seen.

1965. M.G.K. Menon et al. in Kolar  
 Gold field, S. India (Tata-Osaka-Durham)  
 F. Reines et al. in C.W.I. Goldmin  
 S. Africa (Case-Wits...)  
 observe  $\nu_\mu$ 's made in the  
 atmosphere (first "natural" neutrinos)  
 deep underground. [3000 m].  
 Horizontal tracks of  $\mu$ 's  
 made in the rocks.



- . Rate roughly (within factor 2) consistent with expectation.
- . First bounds on Astrophysical  $\nu$ 's.

# KGF – The 1<sup>st</sup> reported Atmospheric V

Several detectors in KGF  
mine at various depths.

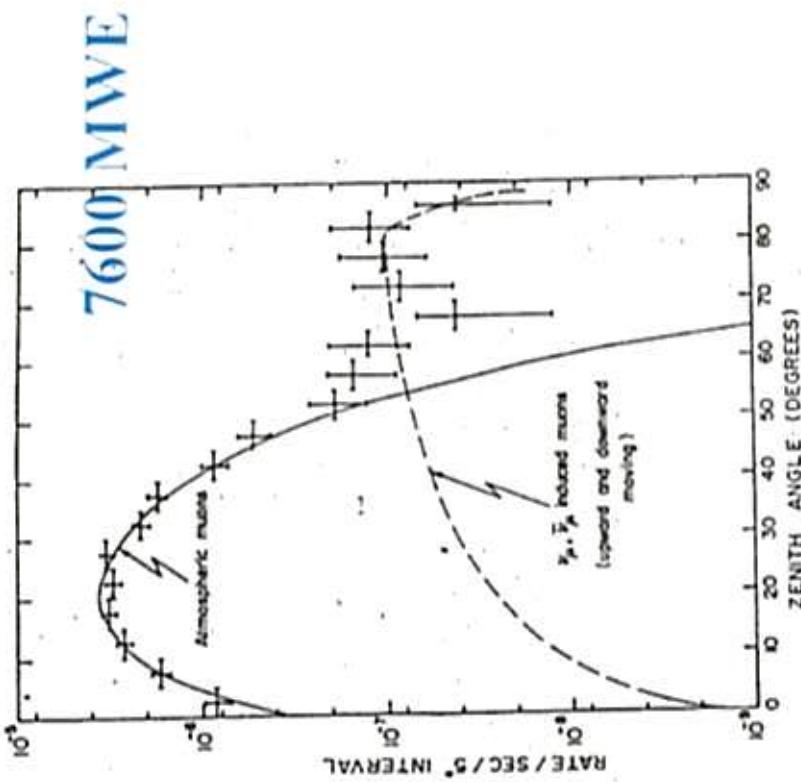
3 v published 15 Aug 65

#### DETECTION OF MUONS PRODUCED BY COSMIC RAY NEUTRINO DEEP CYBERGROUT

C. V. ACHAR, M. G. K. MENDONCA, V. S. NARASIMHAM, P. V. RAMANA MOTTIBI  
and R. V. SREEKANTAM,  
Tata Institute of Fundamental Research, Colaba, Bombay

K. RENOTHAN and S. MCTAULF,  
Ottawa City University, Ottawa, Ontario,  
Received 12 July 1965

Event number	Type of coincidence	Projected zenith angle	Date	Time
1	TEL.2 N <sub>4</sub> +S <sub>4</sub>	37°	30.3	20.04
2	TEL.1 N <sub>1</sub> +S <sub>1</sub>	48 ± 1°	27.4	18.26
3	TEL.2 N <sub>6</sub> +S <sub>6</sub>	75 ± 10°	25.5	20.03

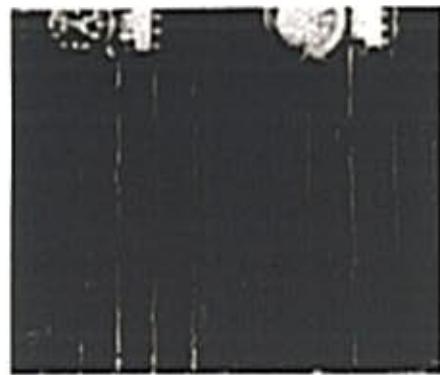


May 26, 2002

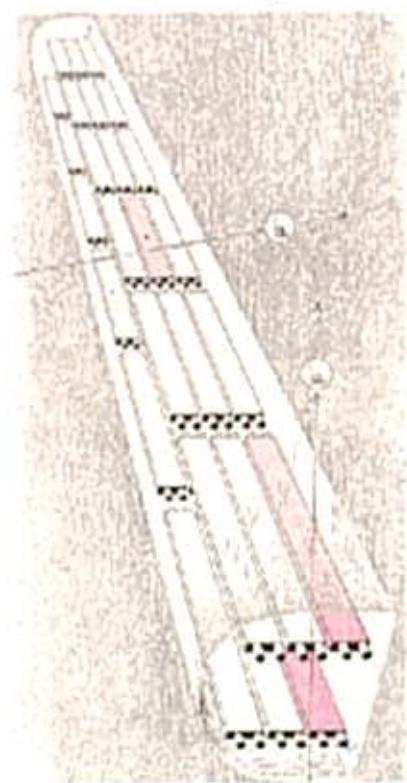
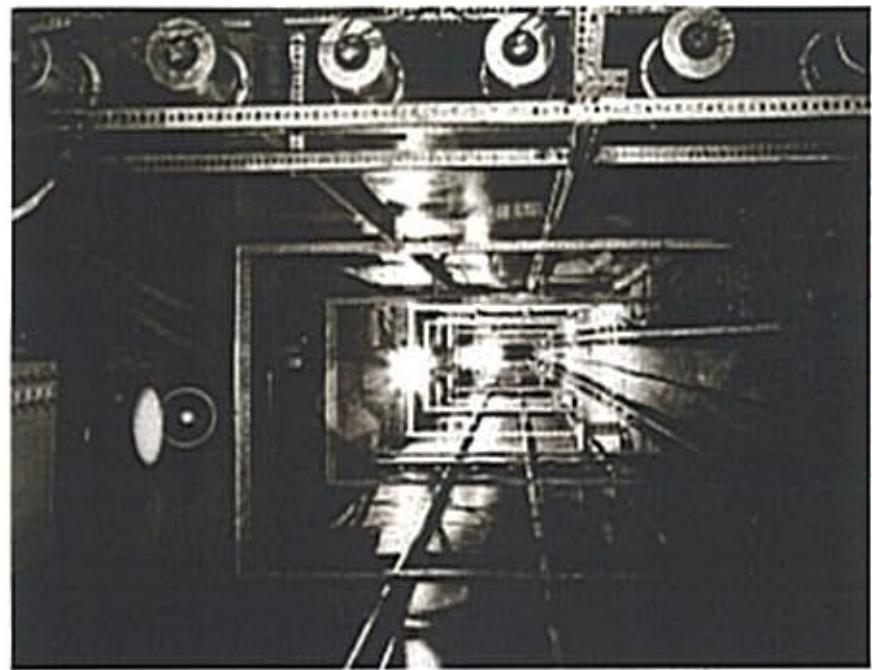
Rate lower by  
a factor of 2 if you expected

Maury Goodman, Neutrino 2002  
Other Atmospheric ν Experiments\*

# CWI – The 1<sup>st</sup> recorded Atmospheric ν



First ν  
February 29, 1965  
Recorded 100 (1/month)



May 26, 2002

Maury Goodman, Neutrino 2002  
\*Other Atmospheric ν Experiments\*

KGF-CWI  
Early indication of Atmospheric  
Neutrino Anomaly?

<sup>9</sup>Atmospheric  
Neutrino Anomaly?

## Third Lepton & Neutrino

Perl et al. SLAC (1976)

$$e^+ + e^- \rightarrow \mu^\pm + e^\mp + X$$

anomalous events seen. Finally showed to be due to:

$$e^+ + e^- \rightarrow \tau^+ + \tau^-$$



$\tau$  has decay modes  $\tau^- \rightarrow e^- + \bar{\nu}_e + \nu_e$

$$\rightarrow \mu^- + \bar{\nu}_\mu + \nu_\mu$$

$$\rightarrow \pi^- + \bar{\nu}_\pi$$

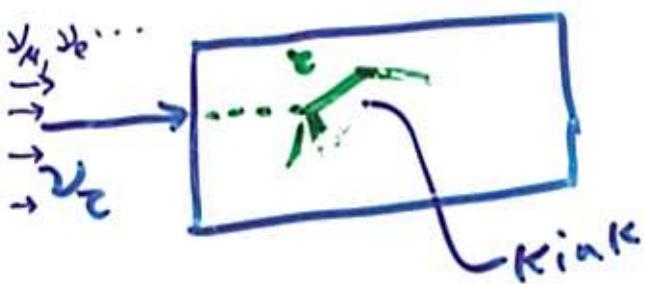
$$\rightarrow 2\pi^- + \bar{\nu}_\pi$$

$$\vdots$$

Detection of  $\nu_\tau$  DONUT @ FNAL (2000).

Track in Emulsion:

$$pp \rightarrow D_s + X ; D_s^+ \rightarrow \tau^+ \nu_\tau \text{ with B.R. of } 30\%$$



$$\nu_\tau + N \rightarrow \tau^- + X$$

in Emulsion  
 $\tau \rightarrow \text{decays} \rightarrow \pi\pi\pi \rightarrow e + \nu\nu \text{ etc.}$

$$L_\tau \sim \gamma c \tau_\tau \sim \frac{E_\tau}{m_\tau} c \tau_\tau \sim 1 \text{ mm} \quad (E \sim 10 \text{ GeV})$$

1987 Feb. 23

SN 1987A observed  
optically. (naked eye).

Neutrino (presumably  $\bar{\nu}_e$ )  
signal seen  
in Kamiokande & IMB  
18 events,  $E_\nu \sim 7$  to 30 MeV.

consistent with expectations  
for a  $T \sim 4-6$  MeV.

Many constraints could be  
placed on  $\nu$  properties  
with these few events.

• neutrinoization  $e^- p \rightarrow n \bar{\nu} e$  ( $\sim ms$ )  
•  $e^+ e^- \rightarrow \gamma \bar{\nu} \bar{\nu}$  (few sec)  
(Type II)  $E \approx 30$  MeV.  $T \sim 5$  MeV

# How Many Kinds of Neutrinos?

$\nu_e, \nu_\mu, \nu_\tau \dots$

1989.

SLC @ SLAC

LEP @ CERN

(light) establish neutrinos in weak interactions. only which participate 3 kinds of

$$e^+ e^- \rightarrow Z^0 \quad (90 \text{ GeV}/c^2)$$

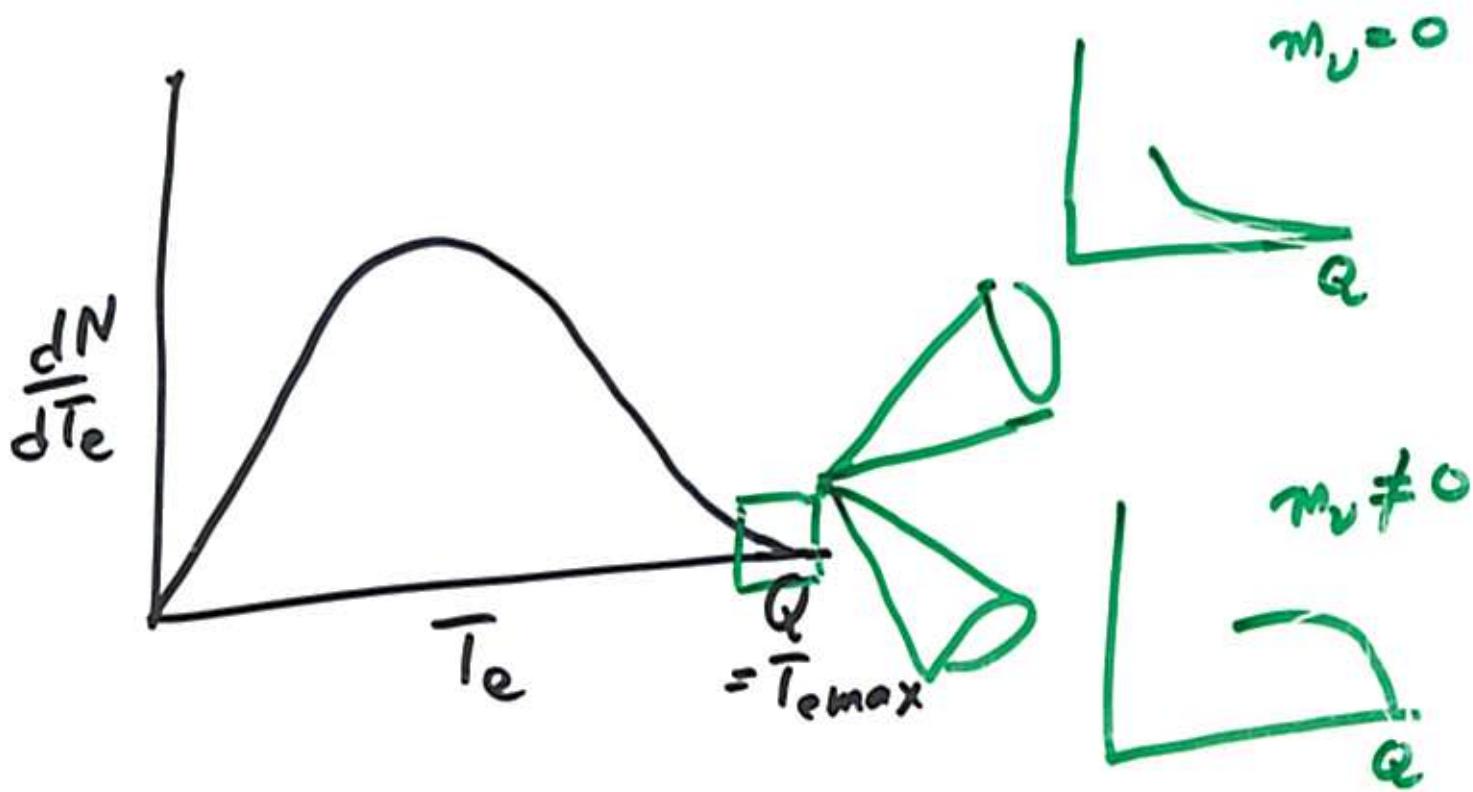
$$Z^0 \rightarrow \{\nu, \bar{\nu}\} \quad (\text{Invisible width})$$

$$\text{B.R.} \frac{(Z^0 \rightarrow \text{all } \nu's)}{(Z^0 \rightarrow \text{all})} \propto N_\nu$$

$N_\nu = 3$  with high accuracy.

# Neutrino Mass, Mixing & Oscillations

- . Direct Mass Measurements
  - . Tritium Beta Decay.
  - . Fermi's result.

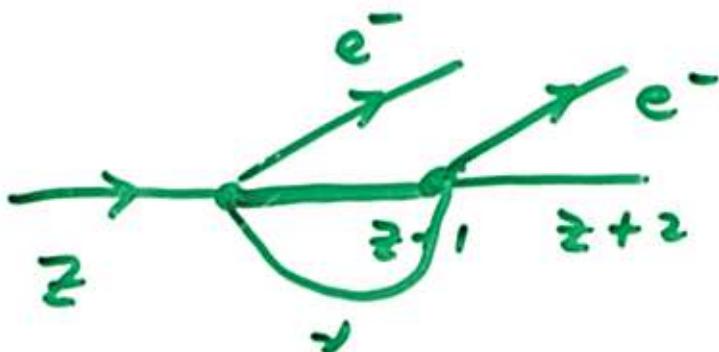


Current Bound (Mainz, Troitsk)

$$\langle m_\nu \rangle < 2.2 \text{ eV}$$
$$\langle m_\nu \rangle = \sqrt{\sum_i |U_{ei}|^2 m_{\nu i}^2}$$

# Double Beta Decay ( $\nu$ -less).

- Majorana (1934) Neutrino  
 $\nu = \bar{\nu}$  (Current theory Prediction)  
 [Dirac Neutrino  $\nu \neq \bar{\nu}$ ].
- Then  $\nu$ -less  $\beta\beta$  Possible



$$(N, z) \rightarrow (N, z+1) + e^- + \nu \\ \rightarrow (N, z+2) + e^- + e^-$$

$$Q = E_{e_1} + E_{e_2} = \text{line}$$

$$\text{Rate} = \frac{1}{\tau} \propto \langle m_{\beta\beta} \rangle$$

Current Bound (Heidelberg)

$$\langle m_{\beta\beta} \rangle \approx 0(1 \text{ eV}).$$

$$\langle m_{\beta\beta} \rangle = \left| \sum_i U_{ei}^2 m_{\nu_i} \right| \quad \begin{matrix} \text{can be} \\ \text{cancellations} \end{matrix} \\ = (m_\nu)_{ee}$$

# Cosmology & Neutrinos

- Existence of Neutrino "microwave" Background
  - $T_\nu \sim 2^\circ K$
  - $\eta_\nu \sim 300 / cc$  (all flavors)
- Detection  $\Rightarrow ?$
- Constraint on Large Scale Structure spectrum  $\sum_\nu = \sum_i m_{\nu i}$ 
  - power suppressed at smaller scales.
- Lyman Alpha Forest data
- 2dF GR Survey
- CMB (WMAP)
  - $\sum_\nu < 0.63$  to 1 eV.  
 $\Rightarrow$
- $\Omega_\nu = \left( \frac{\rho_\nu}{\rho_{crit}} \right) \sim \text{can be} \sim 0.01$ 
  - comparable to  $\Omega_{\text{Luminous matter}}$

- If  $\eta_\nu = \eta_{\bar{\nu}}$ , then  $\nu + \bar{\nu}$  count as one (Dirac) fermion.

$$\begin{aligned} \eta_\nu + \eta_{\bar{\nu}} &= \frac{3}{4} \left( \left( \frac{4}{\pi} \right)^{1/3} \right)^3 \eta_\nu = \frac{3}{\pi} \eta_\nu \\ &\approx 110 \text{ /cc per flavor } \begin{cases} 55 \text{ for } \nu_a \\ 55 \text{ for } \bar{\nu}_a \end{cases} \end{aligned}$$

- If  $N$  families have mass  $m_\nu$  (in eV)

then  $u_\nu = N m_\nu (110) \text{ eV/cc}$ .

$$\begin{aligned} Q_\nu &= \frac{u_\nu}{f_c} = \frac{N m_\nu (110)}{h^2 (10572) \text{ eV/cc}} \text{ eV/cc} \\ &\approx \frac{N m_\nu}{(95 \text{ eV}) h^2} \approx \frac{N m_\nu}{(47 \text{ eV})} \end{aligned}$$

e.g. if  $N = 3, m_\nu \sim \text{eV} \Rightarrow Q_\nu \sim 0.06$

e.g. if  $N = 1, m_\nu = 0.07 \text{ eV} \Rightarrow Q_\nu \sim 0.0015$   
(Safir-K) Comparable to luminous matter

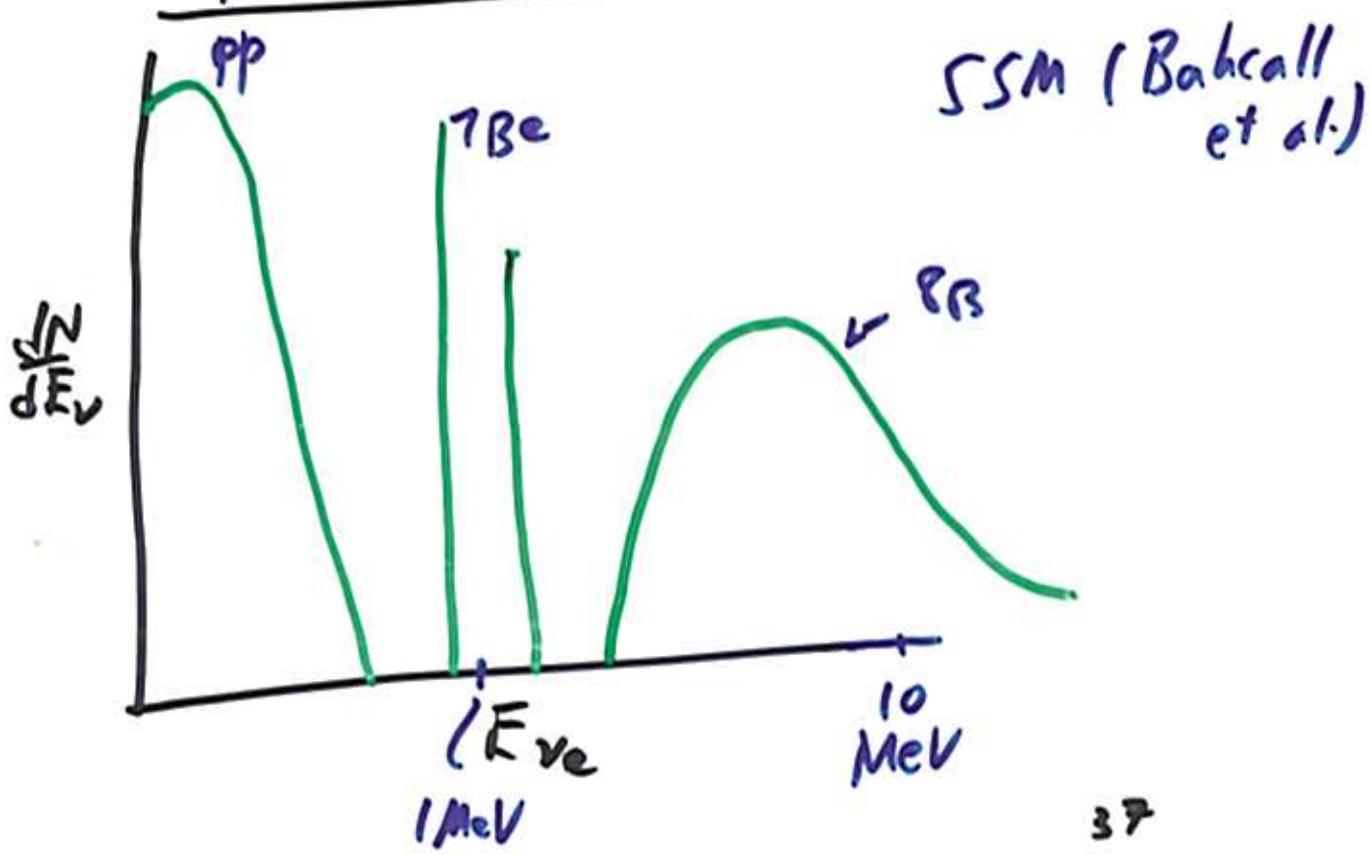
# Neutrino Oscillations

yield info on  $\delta m_{ij}^2$  &  $U_{ij}$

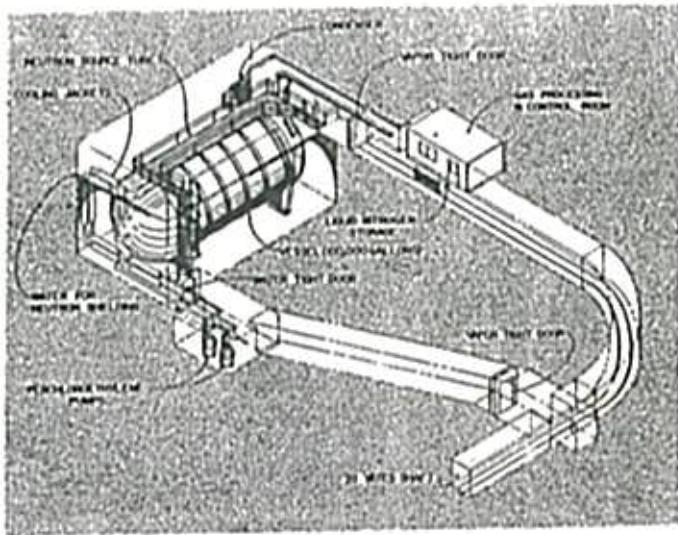
## Solar Neutrinos

Energy of sun :  $4p \rightarrow ^4\text{He} + e^+ + e^- + \bar{\nu}_e + \nu_e$

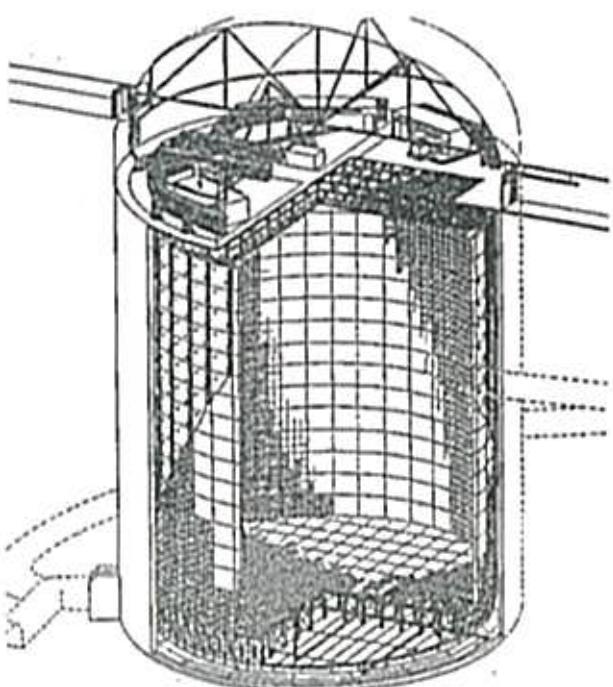
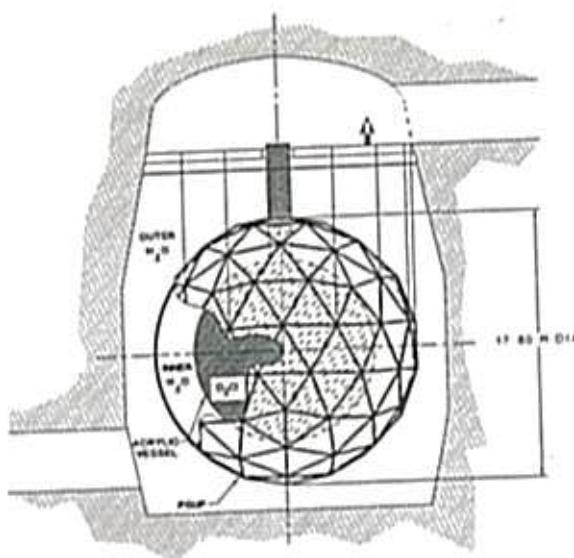
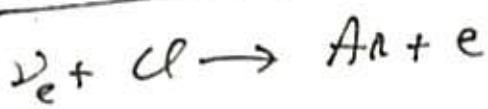
### Expected Neutrino Spectrum:



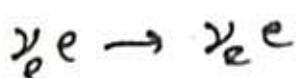
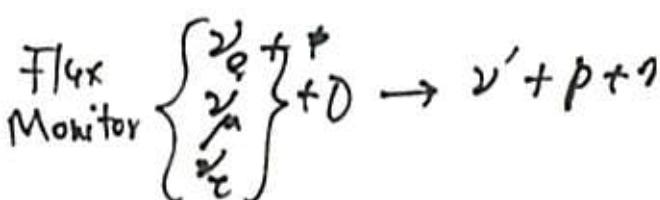
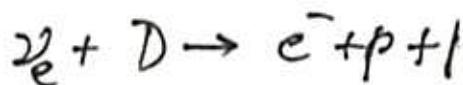
- Ray Davis (1964 -)  $\nu_e + \text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$   
detect  ${}^{37}\text{Ar}$  chemically
- Kamiokande (1988-94)  $\nu_e + e^- \rightarrow \nu_e + e^-$   
detect  $e^-$  forward
- Gallex & SAGE (1990 -)  $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$   
detect  ${}^{71}\text{Ge}$  chemically



Homestake



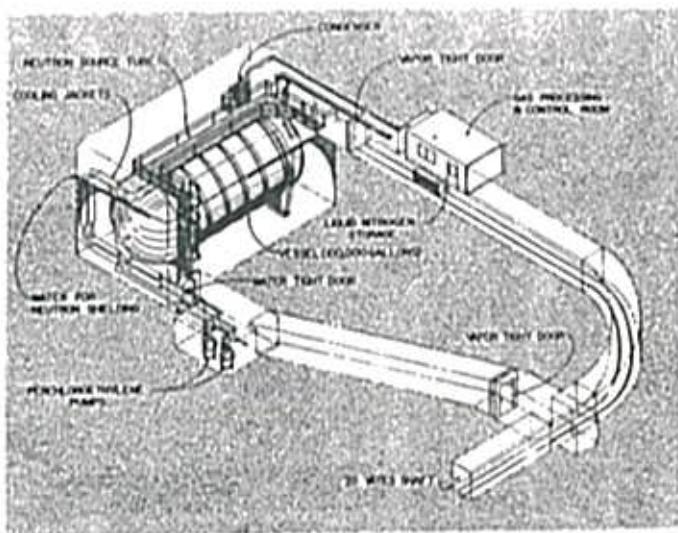
SNO



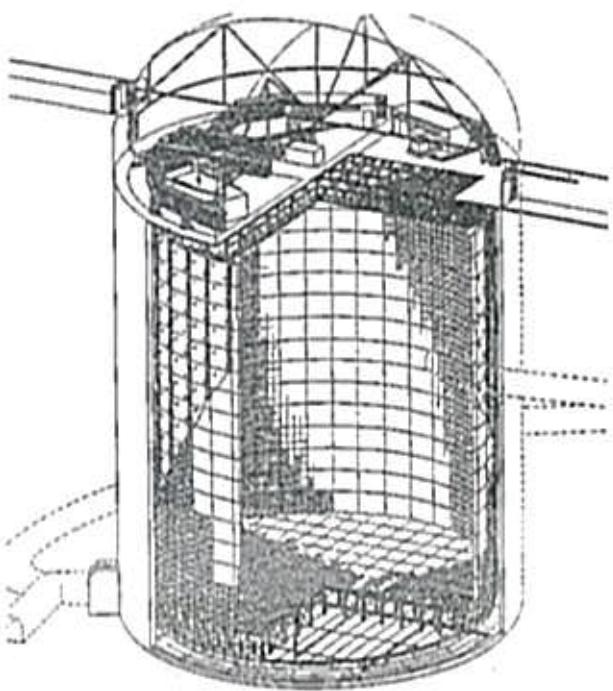
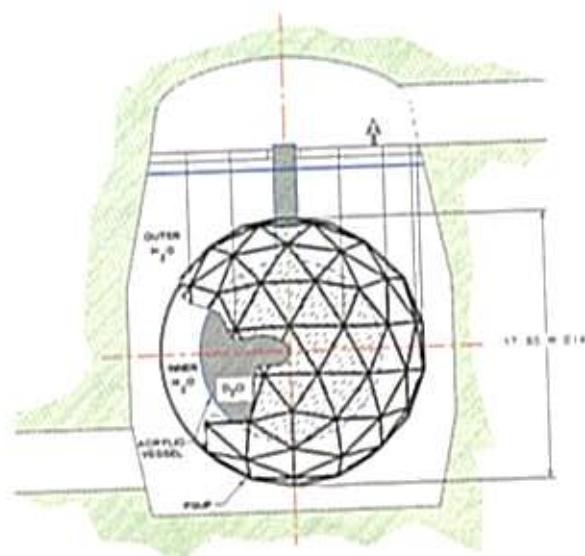
Super-Kamiokande



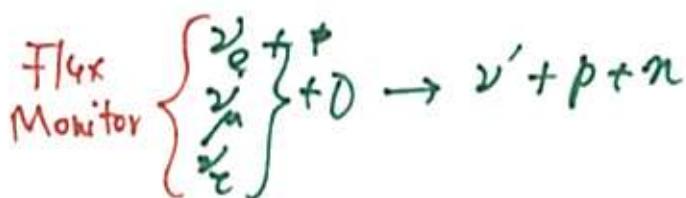
Removes the need  
to depend on  
Solar model.



Homestake



SNO



Super-Kamiokande



$\bar{\nu}_e^S$  from Reactors

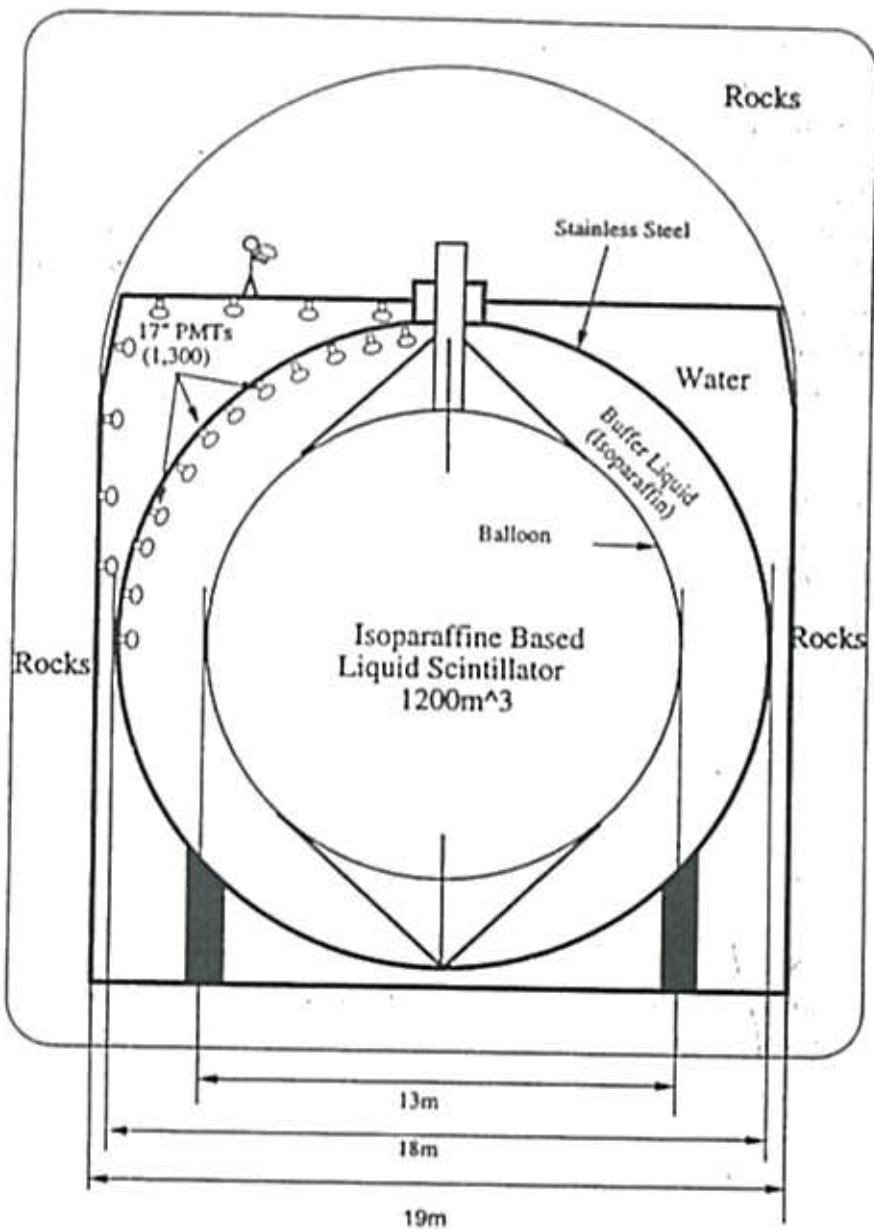
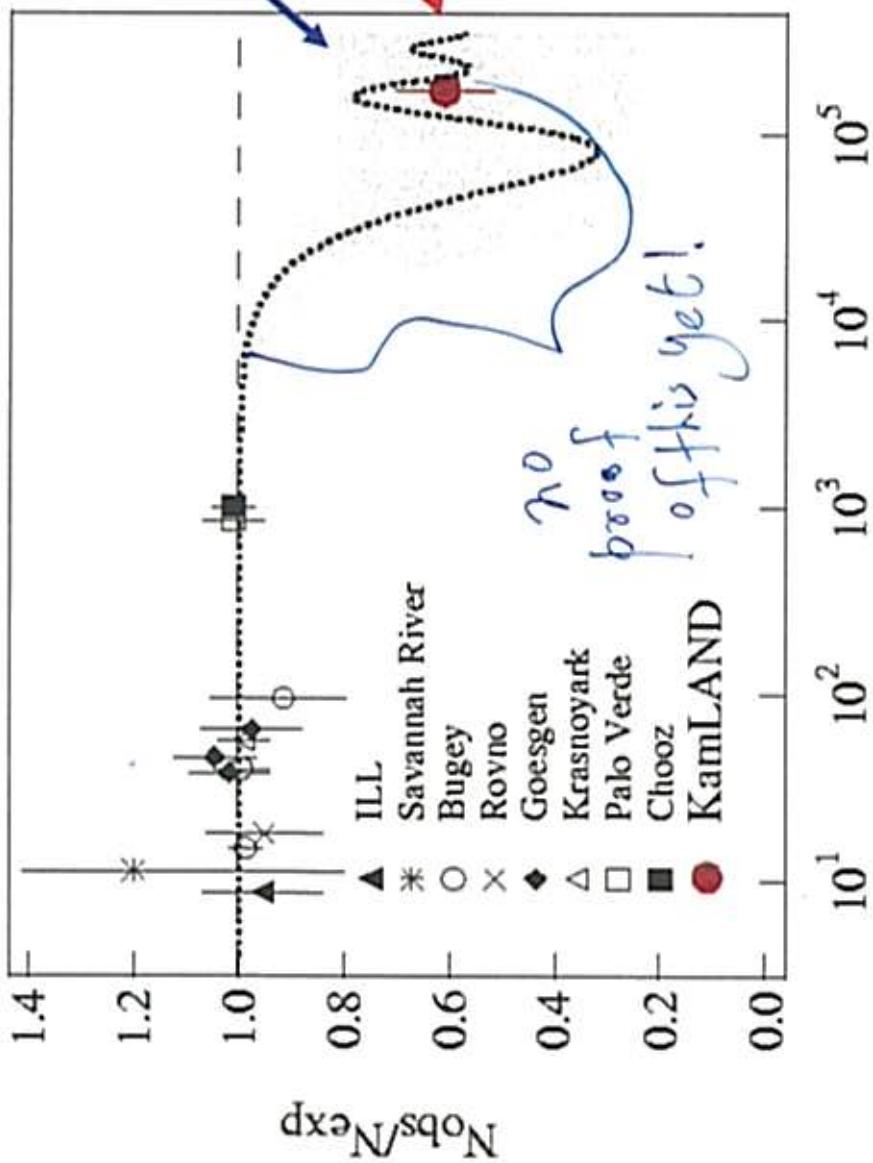


Figure 1: Schematic view of the KamLAND detector.

# Ratio of Measured to Expected $\bar{\nu}_e$ Flux from Reactor Neutrino Experiments

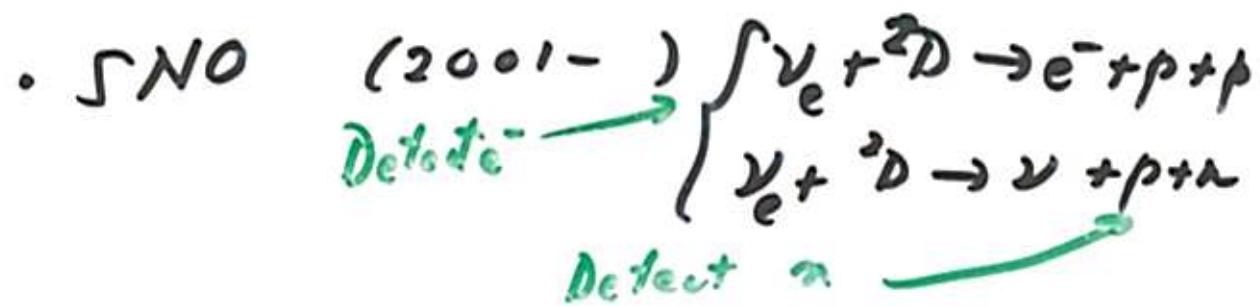


KamLand Plus Solar Data fix the parameters

KamLand

Plus Solar Data

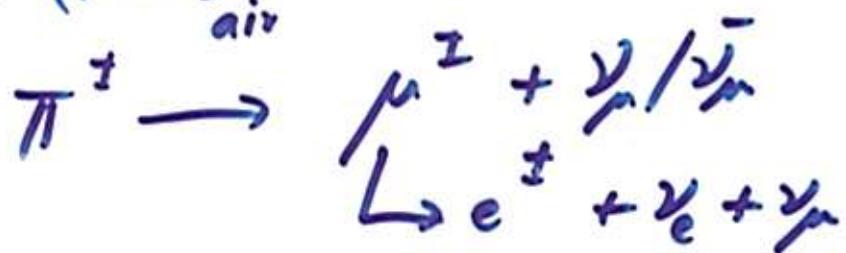
fix the parameters



### Current Status :

- $\nu_e$  oscillates into other  $\nu$ 's (mixture of  $\nu_\tau$  &  $\nu_\mu$ )
- $\Delta m^2 \sim (5-10) 10^{-5} \text{ eV}^2$
- $\delta \sim 30^\circ$

### Atmospheric Neutrinos :



- For energies  $E_\nu < 10 \text{ GeV}$

$$\# \nu_\mu / \# \nu_e \sim 2/1$$

(at higher  $E$   $\mu$ 's don't decay  
 in  $20\text{ km}$  of atm. but lose  $E$ ).  
 (so r.few  $\nu_e$ 's)

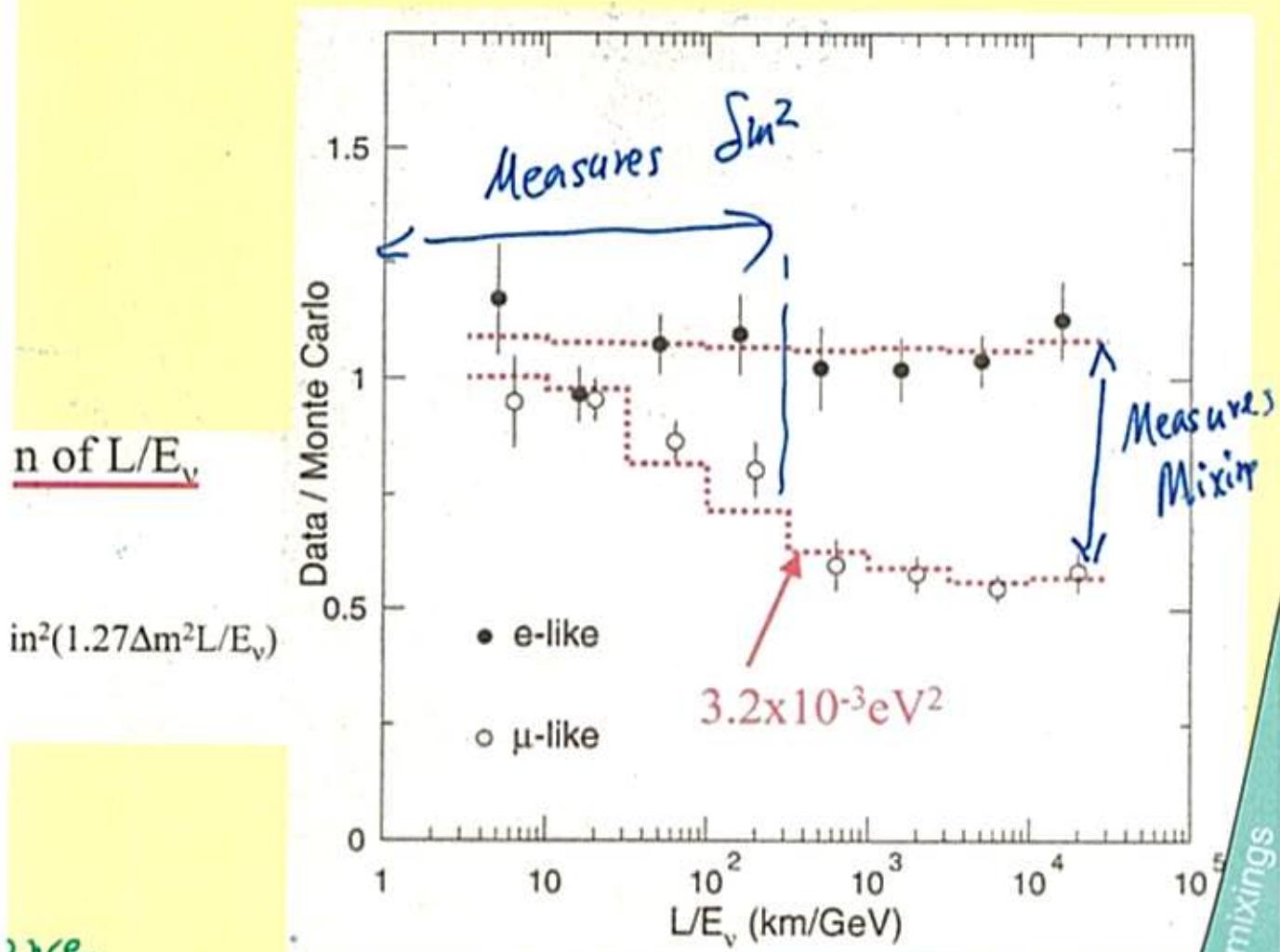
In 1986-8 Detectors (water &) made  
to find p-decay found  
 $\# \nu_\mu / \# \nu_e \sim 1/1$  instead.

Strongest evidence from Kamiokande  
(Koshiba et al.). but not compelling.  
Finally in 1998, Super-Kamiokande  
found very strong evidence for  
 $\nu_\mu$  oscillations.

Current Status:

- $\nu_\mu$  oscillates mostly to  $\nu_e$ .
- $\Delta m^2 \sim (3 \pm 6) \times 10^{-3} \text{ eV}^2$
- $\delta \sim 45^\circ$ .

History of Atmospheric ν "Anomaly"



? nice

"oscillates" into something

man made  $\nu_e$ 's from K2K.

...and mixings

Dave Wan

University of Sussex/

## L/E analysis

Neutrino oscillation :

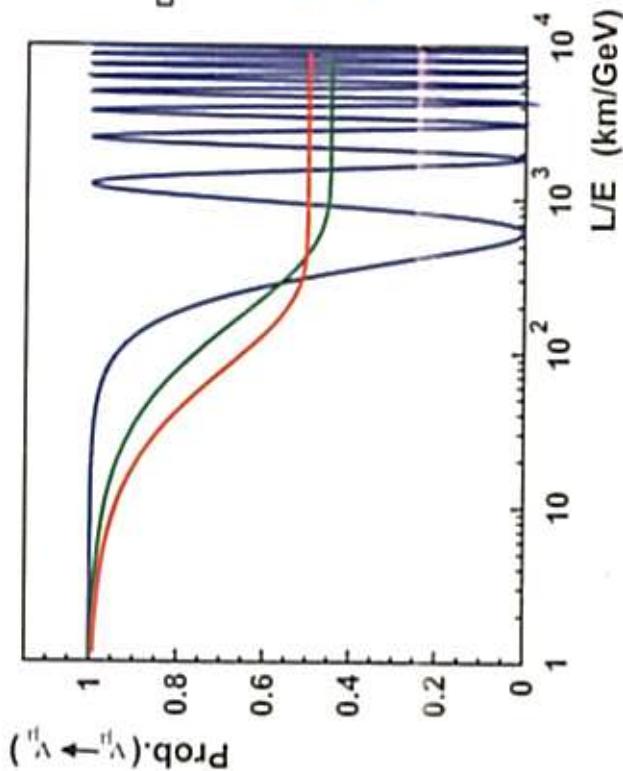
$$P_{\mu\mu} = 1 - \sin^2 2\theta \sin^2(1.27 \frac{\Delta m^2 L}{E})$$

Neutrino decay :

$$P_{\mu\mu} = (\cos^2 \theta + \sin^2 \theta \times \exp(-\frac{m}{2\tau} \frac{L}{E}))^2$$

Neutrino decoherence :

$$P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \times (1 - \exp(-\gamma_0 \frac{L}{E}))$$



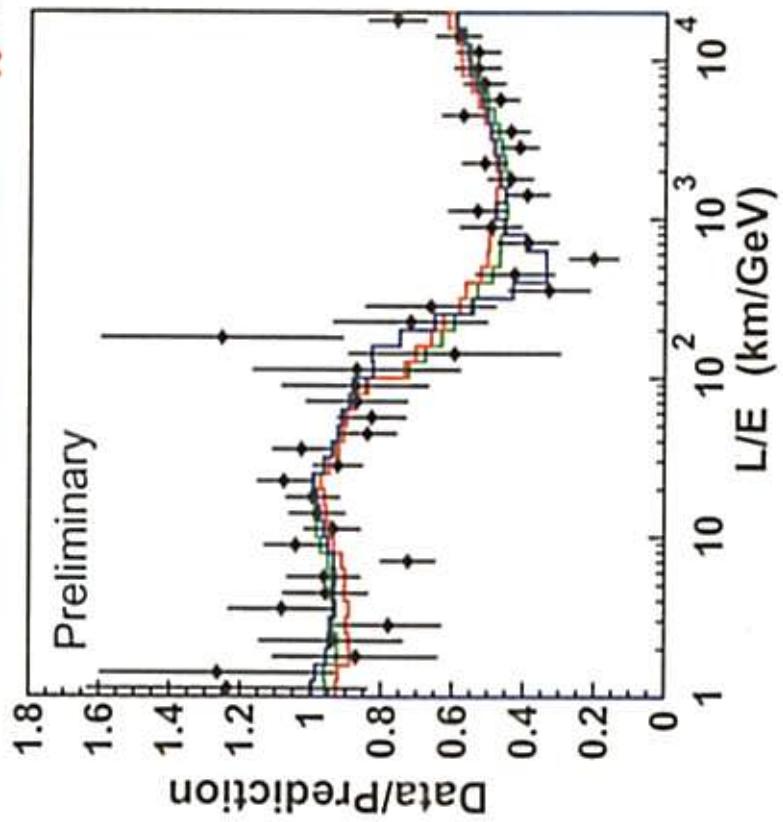
→ Direct evidence for oscillations

→ Strong constraint to oscillation parameters, especially  $\Delta m^2$  value



5 F T U T G P S O F V U S J O ]

- Oscillation  $\chi^2_{\min} = 37.8/40$  d.o.f
- Decay  $\chi^2_{\min} = 49.2/40$  d.o.f  $\rightarrow \Delta\chi^2 = 11.4$
- Decoherence  $\chi^2_{\min} = 52.4/40$  d.o.f  $\rightarrow \Delta\chi^2 = 14.6$



3.4  $\sigma$  to ν decay  
3.8  $\sigma$  to ν decoherence

First dip observed in data cannot be explained by alternative hypotheses

Tsukasa @ NooN 2004  
Tōkyō 2004  
Feb. 2004

# Conclusions

Measurement of L/E dependence of flavor transition probability

- First dip was observed as expected from neutrino oscillation
- cannot be explained by alternative hypotheses  
( $3.4\sigma$  to  $\nu$  decay,  $3.8\sigma$  to  $\nu$  decoherence)
- gives strong constraint to neutrino oscillation parameters
  - $1.9 \times 10^{-3} < \Delta m^2 < 3.0 \times 10^{-3} \text{ eV}^2$
  - $0.90 < \sin^2 2\theta$
- at 90% C.L.
- consistent with zenith angle analysis

First evidence that neutrino transition probability obeys sinusoidal function as predicted in neutrino oscillation

## Current knowledge

- mixing matrix  $U$

$$U \sim \begin{pmatrix} C_\theta & -S_\theta & \epsilon \\ S_\theta/\sqrt{2} & C_\theta/\sqrt{2} & -1/\sqrt{2} \\ S_\theta/\sqrt{2} & C_\theta/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$$

measured in  
Solar  $\nu$ 's

constrained  
by CHOOZ

- $\theta \sim 30^\circ$

- $\epsilon < 0.2$  (from Reactor Expt.  
at SBL (CHOOZ, Bugey))

$$\Delta m_{12}^2 \sim (7 \cdot 10) \cdot 10^{-5} \text{ eV}^2$$

$$\Delta m_{23}^2 \sim (3 \frac{2}{3}) \cdot 10^{-3} \text{ eV}^2$$

- magnetic dipole moments  
typical bound  $\mu_e < 10^{-10} \mu_{\text{Bohr}}$

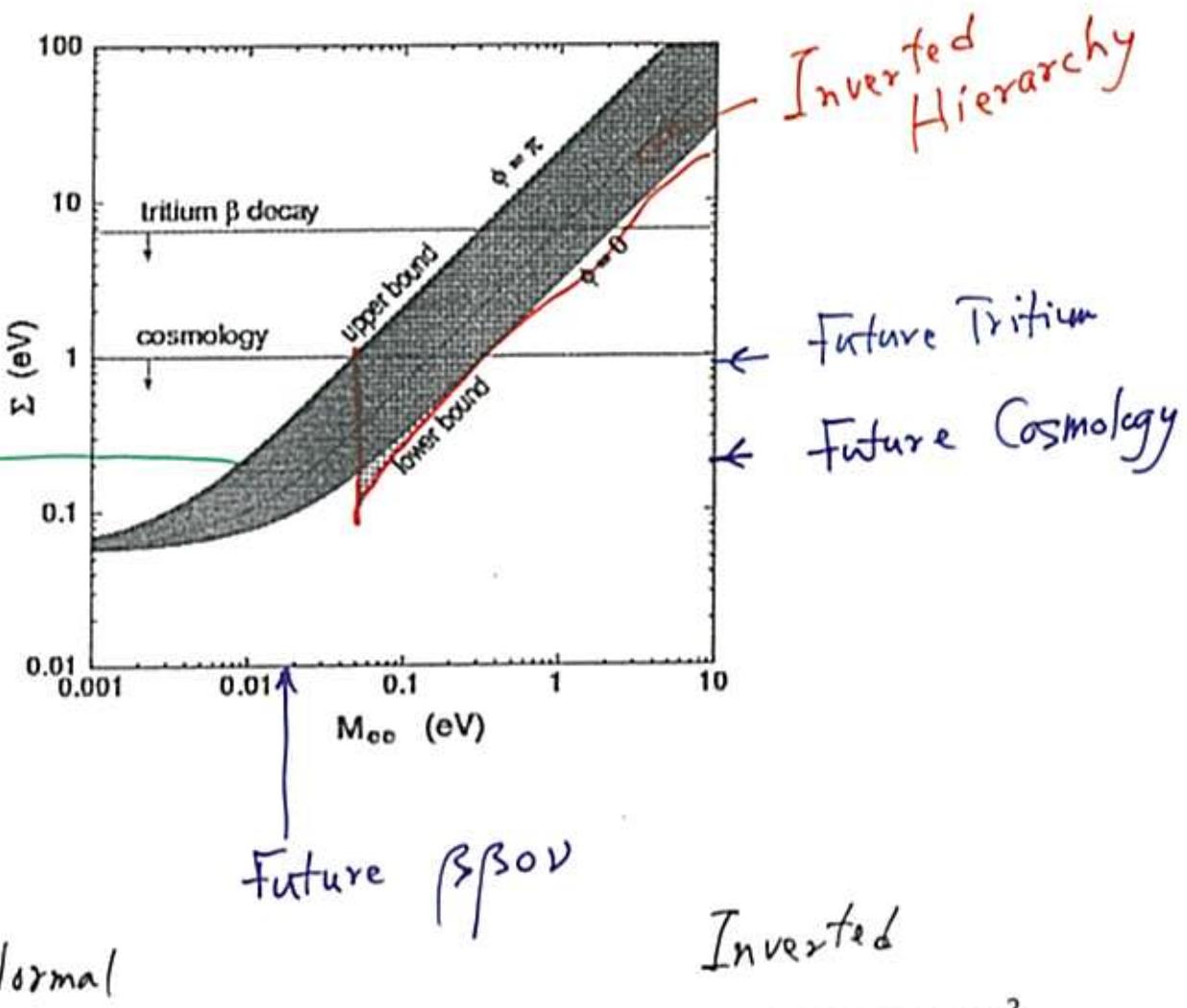
- Lifetimes

From Solar data  $\rightarrow$

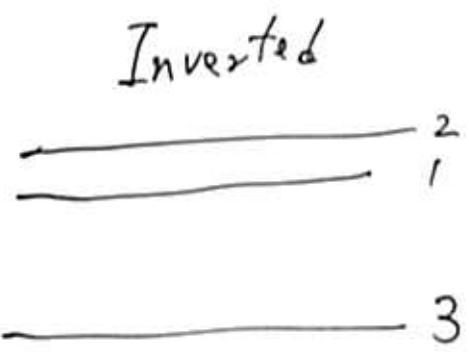
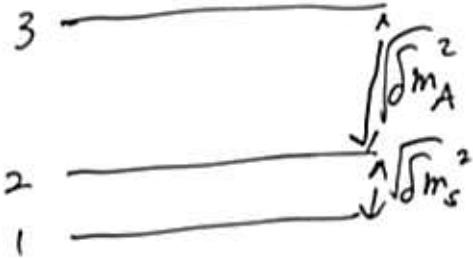
$$\tau_\nu \approx 10^{-4} \text{ s} \quad (m = 106)$$

$\Downarrow 10^{-2}$

Normal  
Hierarchy



Normal



absolute mass scale

# Future

## • Neutrino Properties

- Better (much) of the mixing matrix
- remaining entries e.g.  $\nu_{e3}$   
many proposals e.g. Reactor expts
- phase  $\delta$  in mixing matrix ( $\nu \rightarrow \bar{\nu}$ )  
 $\nu$  "factories" ( $\mu$ -storage rings).
- mass hierarchy matter effects
- absolute Masses
  - Tritium End Point (Katrin)
  - $\nu$ -less Double Beta Decay (Many Proposals)
- Cosmology
- Magnetic/Electric Dipole Moment  
MUNU  $\rightarrow 10^{-12} \text{ MBohr}^{-2}$
- Lifetime
  - Current Bound:  $T > 10^{-5} \text{ s}$  (Kamland)
  - Can be improved to  $10^{-4} \text{ s}$  with H.E.  $\nu$ 's

See red  
dips of osc.]

LBL expts:  
MINOS, JHF,  
CERN - - - }

# • Some Uses of Neutrino Telescopes

- Neutrino Telescopes of km<sup>3</sup> size and larger will be built!
  - Icecube at South Pole
  - ANTARES/NESTOR in mediterranean

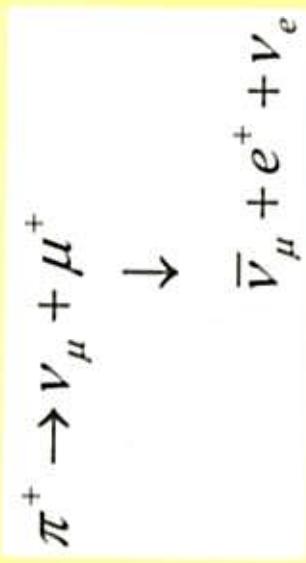
- Astrophysical Neutrino Sources with detectable fluxes with high energies (upto & beyond  $10^9 \text{ eV} = 10^6 \text{ GeV}$ ) and at distances  $\sim 10^3 \text{ Mpc}$  exist!
  - (e.g. AGN's & GRB's (at lower energies))
- Then  $\longrightarrow$

# Astrophysical Neutrino Sources

High energy neutrino fluxes expected to be produced in “cosmic accelerators” which accelerate protons.

Eg, Gamma Ray Bursts (GRBs) and Active Galactic Nuclei (AGNs)

pp and p $\gamma$  collisions produce charged pions  $\rightarrow$  Decay to neutrinos



Expected flavor ratio at the source:  $\nu_e : \nu_\mu : \nu_\tau = 1 : 2 : 0$

Caveat: If  $\mu$ 's absorbed or lose energy  $\xrightarrow{\text{no } \nu_e}$  6  
Sub-dominant prompt  $\rightarrow 1 : 2 : \epsilon$   $\longrightarrow \sigma : 1 : \propto$

The ratio of flavours at the source is expected to be

$$V_e : V_\mu : V_\tau = 1 : 2 : 0$$

In the limit of exact  $V_\mu - V_\tau$  symmetry, the ratios in the mass basis are:

$$V_1 : V_2 : V_3 = 1 : 1 : 1$$

Exact mu-tau symmetry occurs when:  $\theta_{\text{atm}} = 45^\circ$  and  $\theta_{13} = 0$   
independent of the solar angle

Since: oscillation length is <<< distance to source  
→ Averaged oscillations (incoherent mixture of mass eigenstates)  
→ 1:1:1 in the flavor basis (or any basis)

If we see 1:1:1  $\rightarrow$  *Born again*.  
Confirms our projection.

If we don't see 1:1:1

### Different flavor ratio at the source

Eg. 0:1:0 (Rachen and Meszaros, 1998) magnetic fields  
→ becomes 0.5 : 1 : 1 at Earth

- Exotic neutrino properties  $\nu_\tau/\nu_e \Rightarrow$  measure mixing parameters!
- Neutrino decay  $\nu_\tau \rightarrow \nu_e$
  - CPT violation
  - Oscillation to steriles with very tiny delta  $\delta m^2 \rightarrow \sqrt{\delta m^2} / 10^{-18} eV^2$
  - Pseudo-Dirac mixing
  - 3+1 or 2+2 mixing
  - Magnetic moment transitions ( $\Delta m \nu_\tau - \nu'_\tau$ )

# Current Bounds on $\sum_i$ Lifetimes

• Invisible 2 body Modes

•  $\nu_1$  : SN1987A  
 $\Rightarrow \tau_1 \geq 10^5 \text{ sec/eV}$

•  $\nu_2$  : Solar  $\bar{\nu}_e$  limit (KamLAND)  
 $\Rightarrow \tau_2 \geq \begin{cases} 5 \cdot 10^{-2} & \text{s/eV (QD)} \\ 10^{-5} & \text{s/eV (H)} \end{cases}$

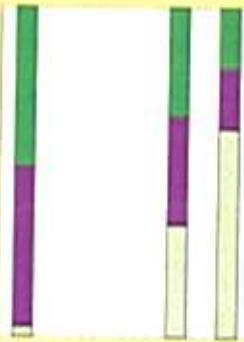
•  $\nu_3$  : Atm. Neutrinos (Super-K Dip)  
 $\tau_3 \geq 10^{-10} \text{ s/eV}$   
 (Normal Hierarchy only)

[To explain LSND need  $\tau \sim 10^{12} \text{ s/eV}$ ]

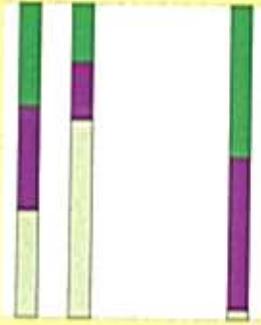
# Decay – Flavor Ratios

The lightest neutrino should be stable.

Normal hierarchy



Inverted hierarchy



$$\begin{aligned} \nu_e : \nu_\mu : \nu_\tau &= 5:1:1 \\ &= U_{e1}^2 : U_{\mu 1}^2 : U_{\tau 1}^2 \\ &= |U_{e1}|^2 : |U_{\mu 1}|^2 : |U_{\tau 1}|^2 \end{aligned}$$

Such extreme deviations of the expect ratios, 1:1:1, should be identifiable in current or planned neutrino telescopes, such as IceCube

If MNSP matrix is not

$$U = \begin{pmatrix} c & s & 0 \\ s/\sqrt{2} & c/\sqrt{2} & 1/\sqrt{2} \\ s/\sqrt{2} & c/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}, \text{ CPV phase } \delta = 0$$

BUT

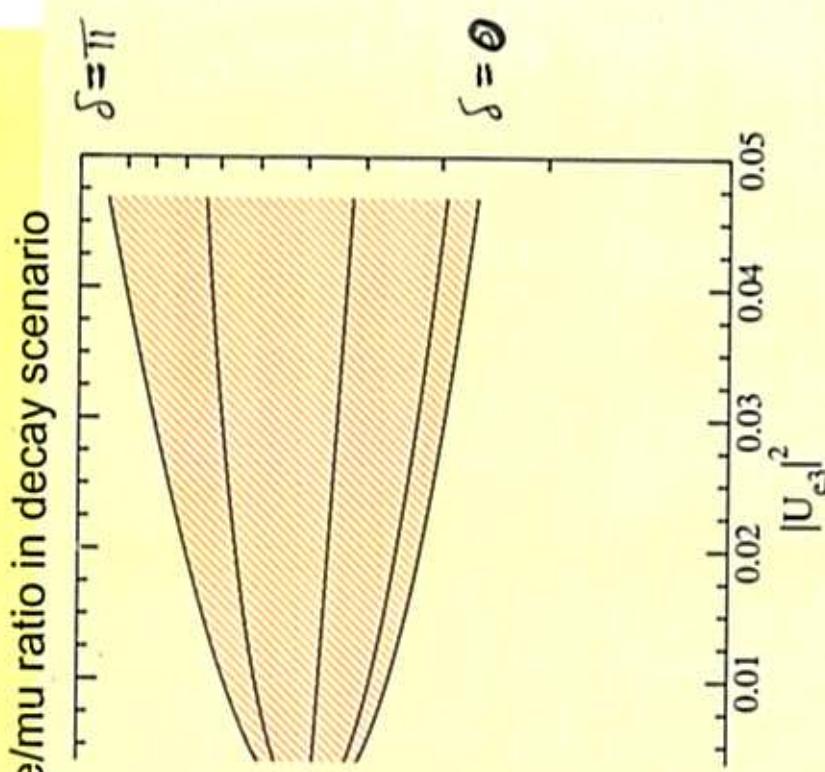
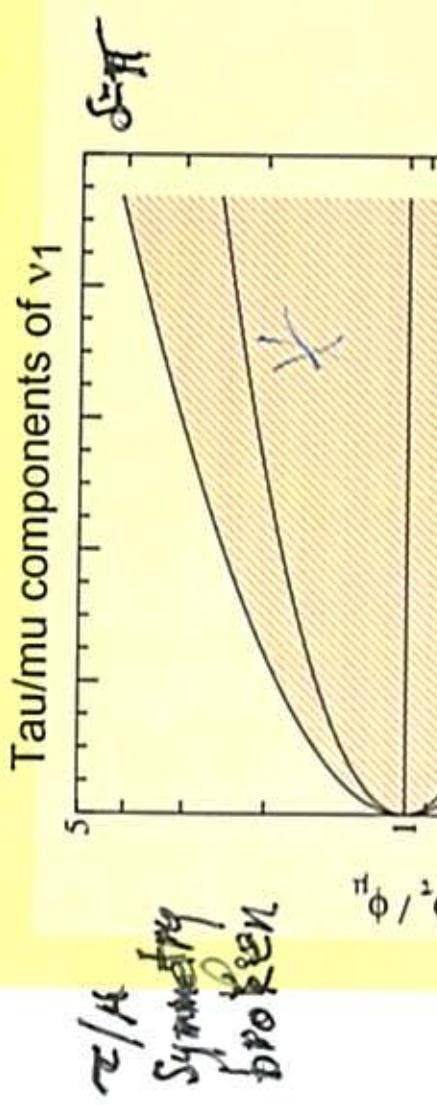
$$U = \begin{pmatrix} & U_{e3} \\ & \end{pmatrix} \quad U_{e3} \neq 0 \quad \delta \neq 0$$

Then  $\rightarrow$

# Measuring $U_{e3}$ & $\delta$ ( $\cos \delta$ )

Neutrino decay, and sensitivity to  $\theta_{13}$  and the CP phase  $\delta$

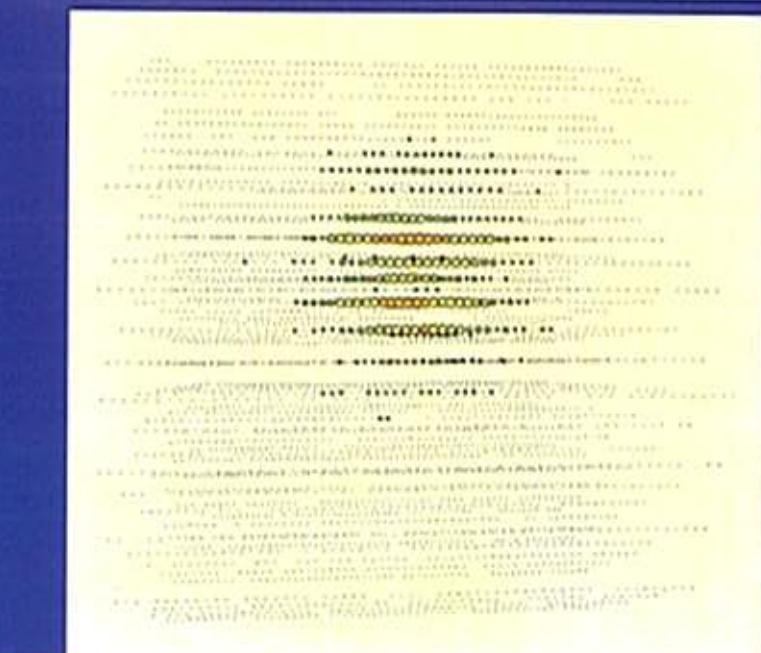
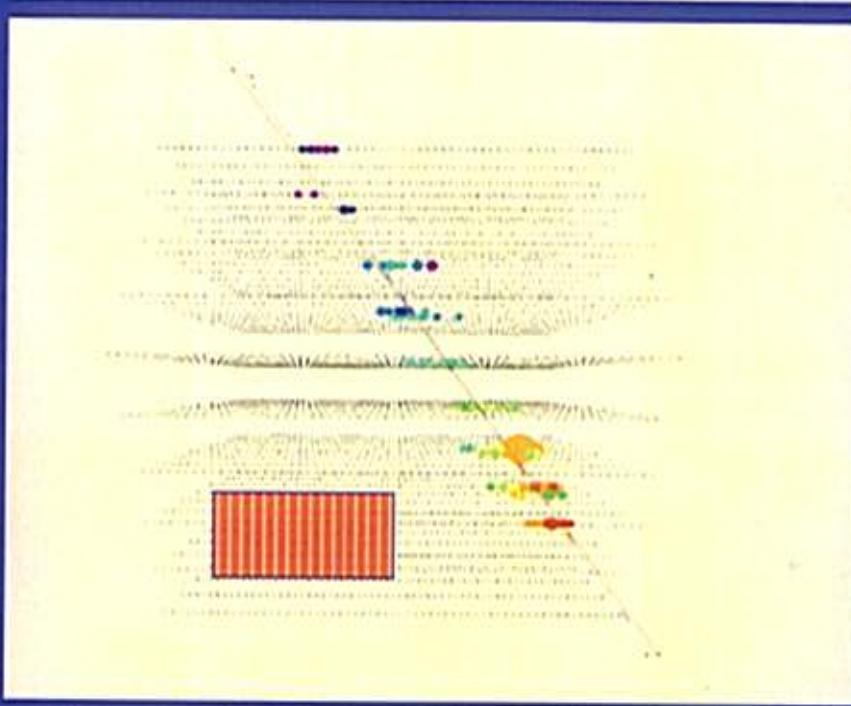
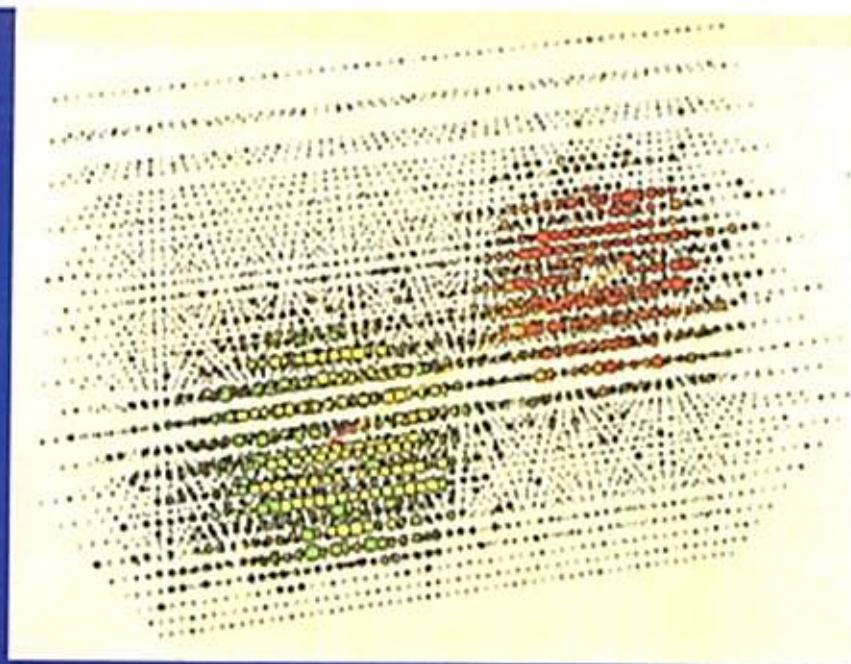
Nonzero  $\theta_{13}$  breaks mu-tau symmetry



Beacom, Bell, Hooper, Pakvasa & Weiler 13

Measurement of  $U_{e3}$  &  $\delta$  by product !!  
Normal Hierarchy

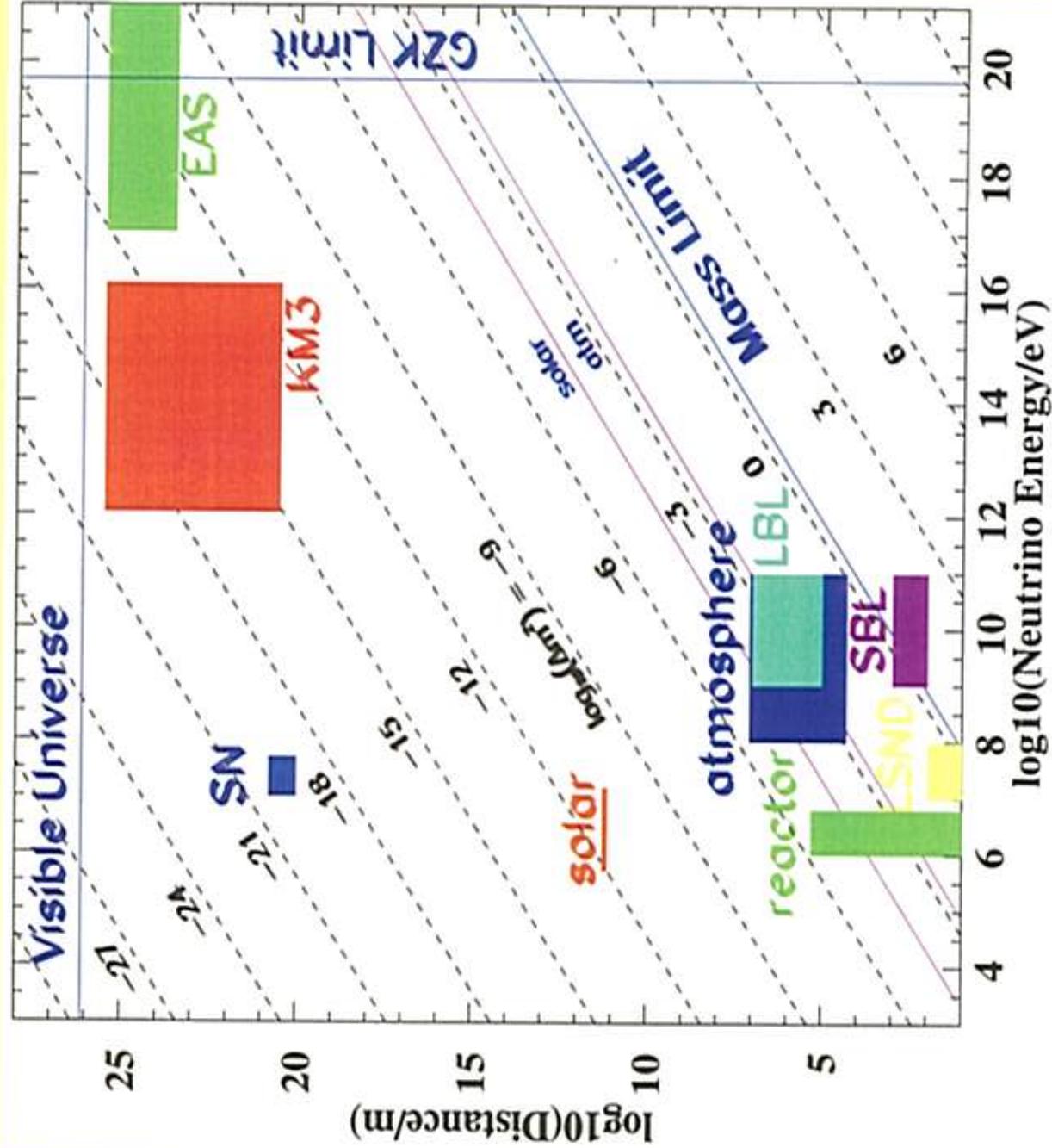
# Flavor Identification



$\sim 100 \text{ TeV } \nu_e$     $\sim 10 \text{ TeV } \nu_\mu$     $\sim 10 \text{ PeV } \nu_\tau$

# The Ultimate Long Baseline Experiment!

## The “Learned Plot”



# Pseudo-Dirac Neutrinos

Suppose:

Neutrinoless double beta decay experiments reach a sensitivity where we expect a positive signal (say, because we had confirmed the inverted hierarchy) but we get a null result.

Does that mean neutrino masses are of Dirac type?

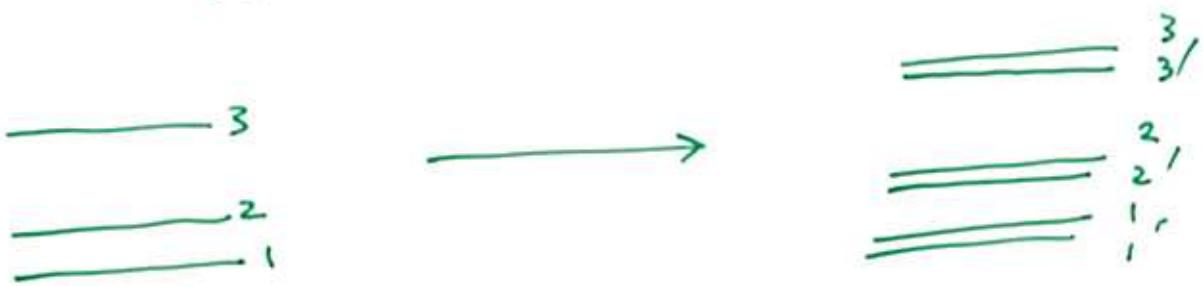
Not necessarily: they might be pseudo-Dirac. (Wolfenstein)

Majorana mass terms might be subdominant in size to Dirac terms.

The fundamental question would still remain:  
Do Majorana mass terms (of any size) exist in nature?

Can such small mass differences  
be probed? How?

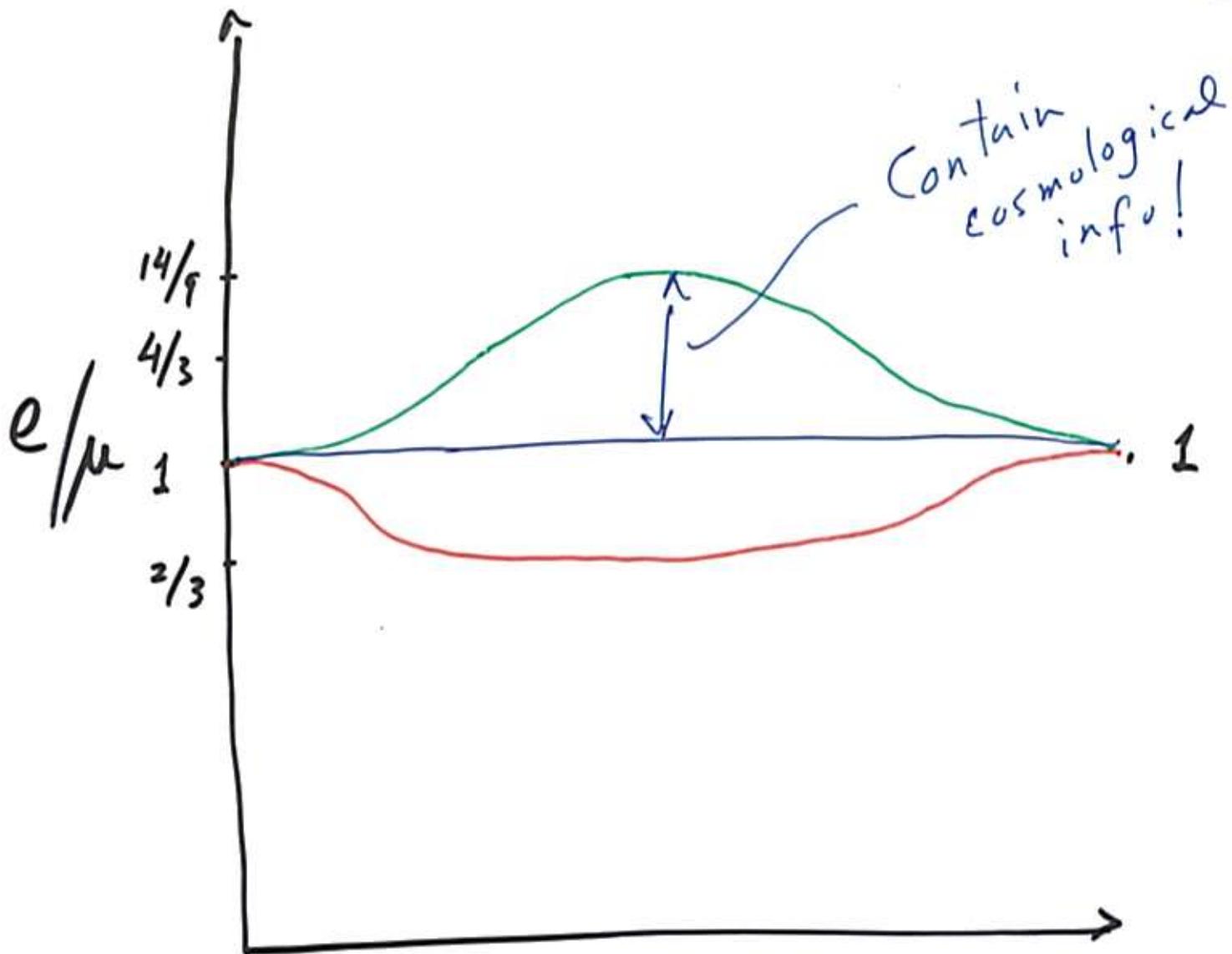
- Pseudo-Dirac Neutrinos with  
 $10^{-18} \text{ eV}^2 < \delta m^2 < 10^{-12} \text{ eV}^2$



- no new mixing angles or phases
- $P_{\alpha\beta} \rightarrow \sum_j |U_{\alpha j}|^2 |U_{\beta j}^*|^2 \left[ 1 - \sin^2 \frac{\delta m^2 L}{4E} \right]$
- $m_{\text{eff}}^{\beta\beta} \rightarrow \sum_i U_{e i}^2 \delta m_i^2 / 4m_i^2 \rightarrow < 10^{-6} \text{ eV}$

- Effect on flavor Ratios

If  $\delta m^2 < 10^{-12} \text{ eV}^2$ , no experiments  
with terrestrial (or solar) neutrinos  
can detect it.


 $\log_{10}(L/E)$ 

Probing  
with

Pseudo-Dirac ν's  
 $10^{-16} \text{ eV}^2 \lesssim \sum m^2 \lesssim 10^{-12} \text{ eV}^2$

If  $\delta m^2 < 10^{-2} \text{ eV}^2 \Rightarrow$  Can Do. (40)

## Cosmology with Neutrinos

- When distances are cosmological.  
 $L$  in  $\delta m^2 L / 4E$  is replaced by  
 $f$  where:

$$f = z/H \left[ 1 - \frac{(3+q)}{2} z \dots \right]$$

So  $P_{\alpha\beta} = \sum_j |U_{\alpha j}|^2 |U_{\beta j}|^2 (1 - \sin^2 \phi_j)$

$$\phi_j = \left( \frac{\delta m_j^2}{4E} \right) f$$

$f$  contains Cosmological Information

(Weiler, Simmons, Learned, S.P.)  
1994

If enough data available to <sup>(41)</sup>  
fix  $S_{\text{univ}}^{-2}$ , then can  
determine:  $\Sigma, H \& g_0$

- Since only used microscopic information no dependence on evolution, standard candles ...
- First confirmation of Hubble red shift using particles other than photons.
- $\nu$  Red Shifts =  $\gamma$  Red Shifts?
- No need for distance measurements

# $\nu$ 's & SETI

- Advanced Civilisation may need to use physics limit timing info.
- shortest Known lifetime is  $\tau_z$
- Possible use of  $\nu$ 's from Z-decays as timing signals.  
→ Look for  $45 \text{ GeV} (= m_{Z/2})$   $\nu$ 's in  $\nu$ -Telescope --- .

# Neutrino Geophysics

- Heat outflow at earth surface  $\sim 40\text{ TW}$
- Expected contribution (90% from U & Th)  
from radiogenic  $\sim 16\text{ TW}$   
(with  $^{238}\text{U}$  &  $^{232}\text{Th}$  abundances  $\sim$  Solar system)
- Detailed Distribution of U & Th  
in mantle & crust not known  
but modelled.  
e.g.  
 $50\%$  in mantle  
 $50\%$  in crust  
(not much in oceanic crust).
- Heat from U/Th activity ( $\alpha$ - $\beta$ )  
correlated with  $\bar{\nu}_e$  flux
- $\bar{\nu}_e$  from U & Th have different  
energies.

## TERRESTRIAL NEUTRINOS

GERNOT EDER

*Institut für Theoretische Physik der Universität Giessen, Giessen, Germany*

Received 11 October 1965

**Abstract:** Arguments are given for a remarkable abundance of radioactive elements within the earth. Methods are discussed in order to measure this abundance by neutrino experiments.

### 1. Introduction

The chemical composition of the interior of the earth is still quite unsure. Therefore it would be an advancement if one could measure the abundance of selected chemical elements by their neutrino emission. In this connection, potassium, thorium and uranium are of interest. Generally it is assumed that these elements are confined mainly to the earth's crust, if only for that reason that the material obtainable from the earth's mantle shows a small portion of the mentioned substances. On the other hand the exchange of material between the earth's crust and the upper mantle can give rise to a reduction of radioactive elements in this region. Further there are ar-

# GEOPHYSICS BY NEUTRINOS\*)

G. MARX

*Institute of Theoretical Physics, Roland Eötvös University, Budapest*

A review of the possibilities for the chemical exploration of the central regions of the Earth is given, making use of the antineutrino flux produced by natural radioactive isotopes.

## 1. INTRODUCTION

It was suggested many years ago that the neutrino and antineutrino luminosity of different celestial bodies might provide means of exploring the internal structure of these objects (see e.g. [1]). Due to the enormous mean free path the neutrinos and antineutrinos provide valuable direct information, which is not available with other methods. Searching the Sun with a neutrino telescope is well under way [2]. The present paper is concentrated on the second important task of neutrino physics: the Earth. The idea of observing terrestrial antineutrinos is not a new one [1, 3, 4, 5]. Here a review of different experimental possibilities will be given.

Assuming no Geo-reactor

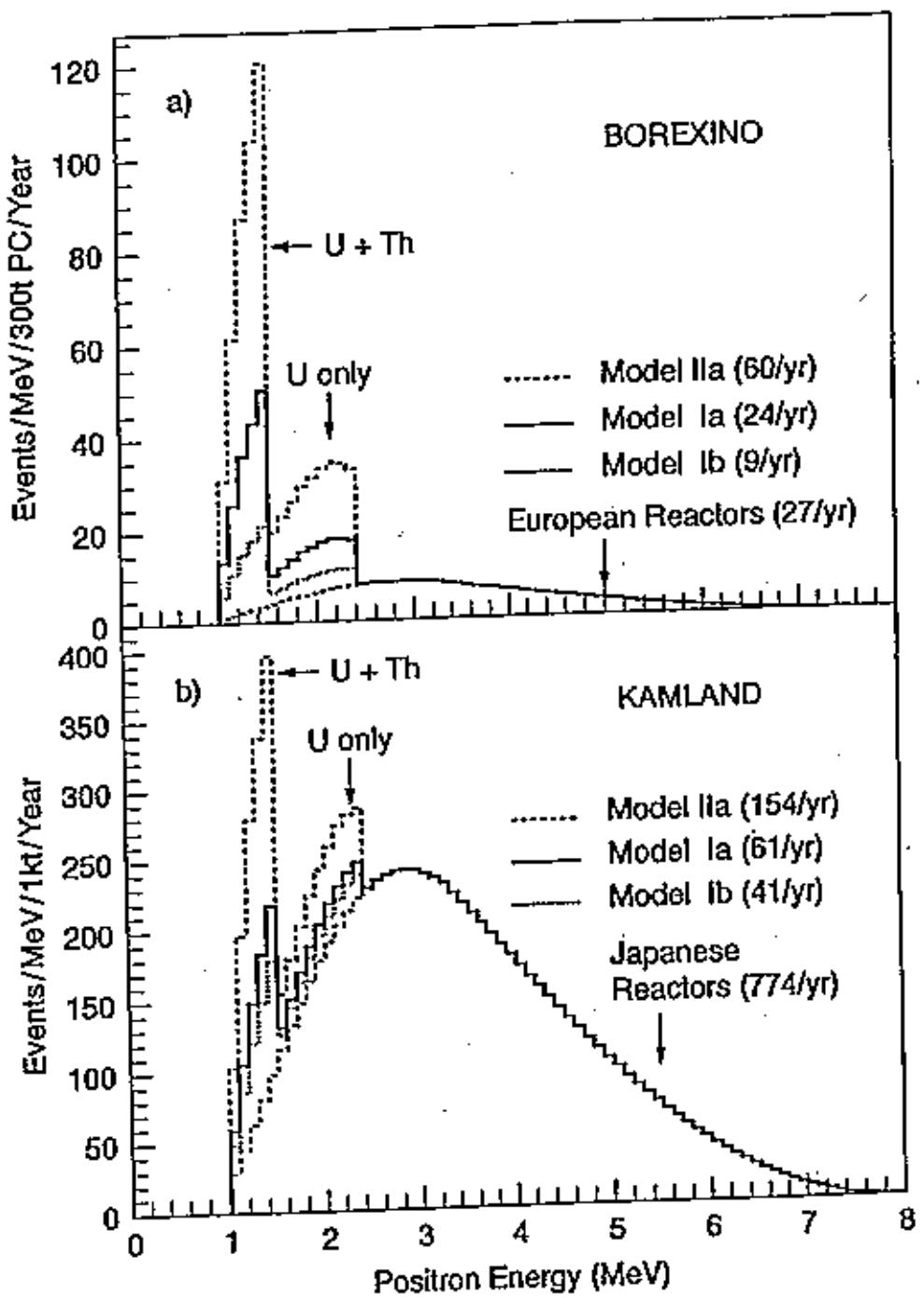
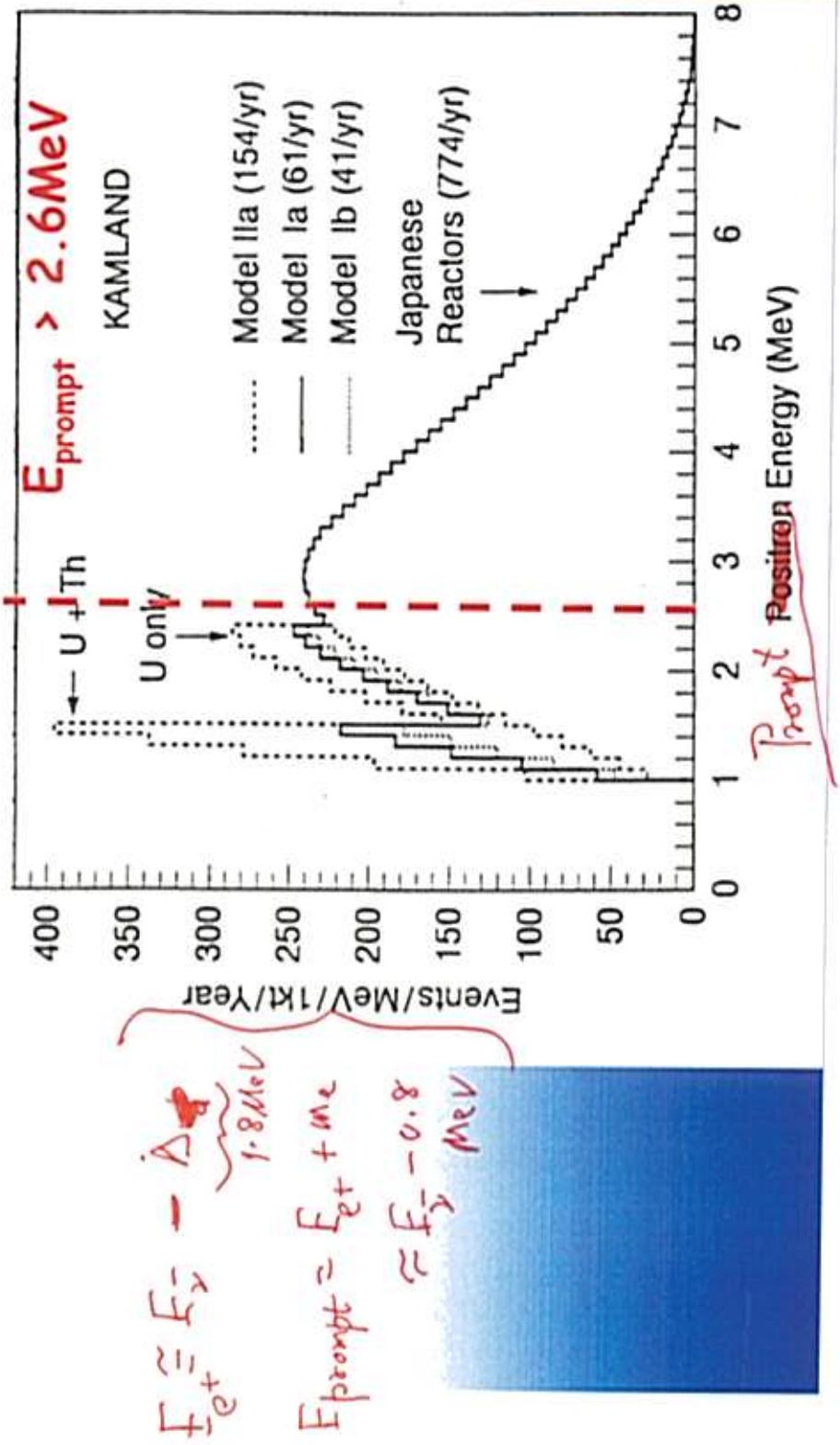


FIG. 2.  $\bar{\nu}_e$  (positron) signal spectra from the Earth and from nuclear reactors at Borexino (a) and at Kamland (b). The signal rates point to several years of measurement for data of statistical significance to different aspects of geophysical interpretation.

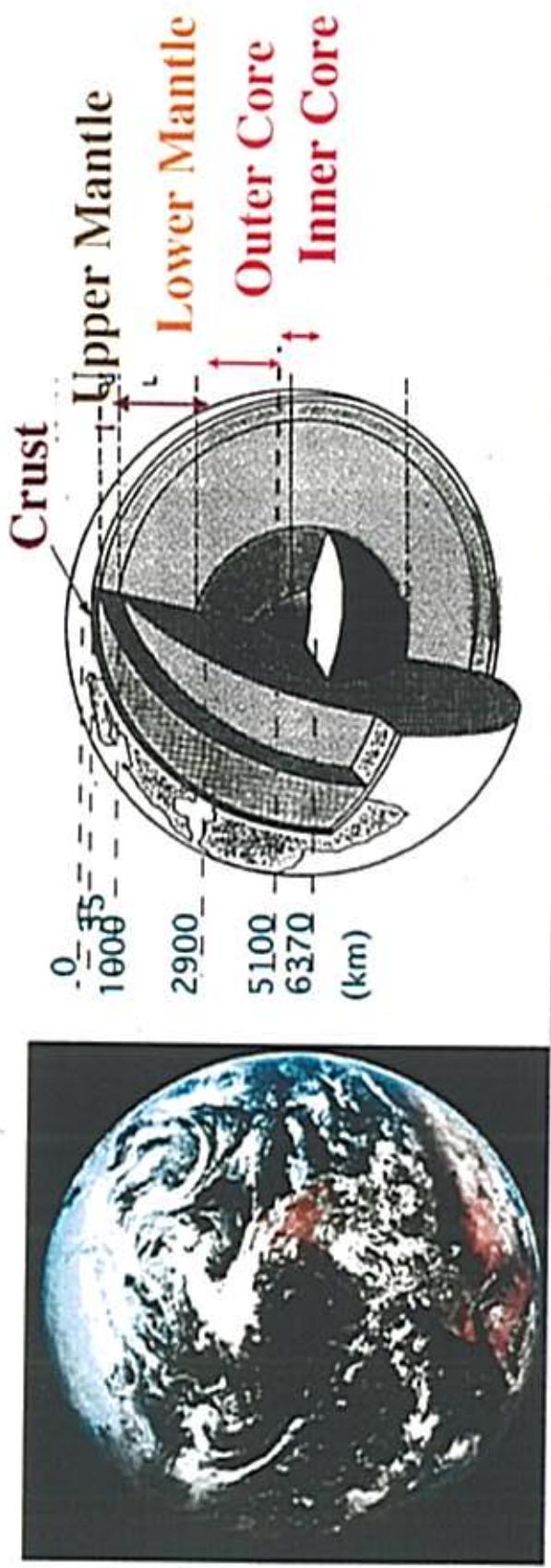
# Calculated Geoneutrino Energy Spectrum

Raghavan, Schoenert, Enomoto, Suekane,  
Shirai, A. Suzuki, PRL 80 (1998)



# First Direct Measurement of Geoneutrinos and Earth's Radiogenic Heat

- ◎ Radiogenic Heat (40 - 60% of 40 TW) from U / Th (Crust, Mantle) Decays
- ◎ Basic Factor in Interior Dynamics and History of the Present Earth

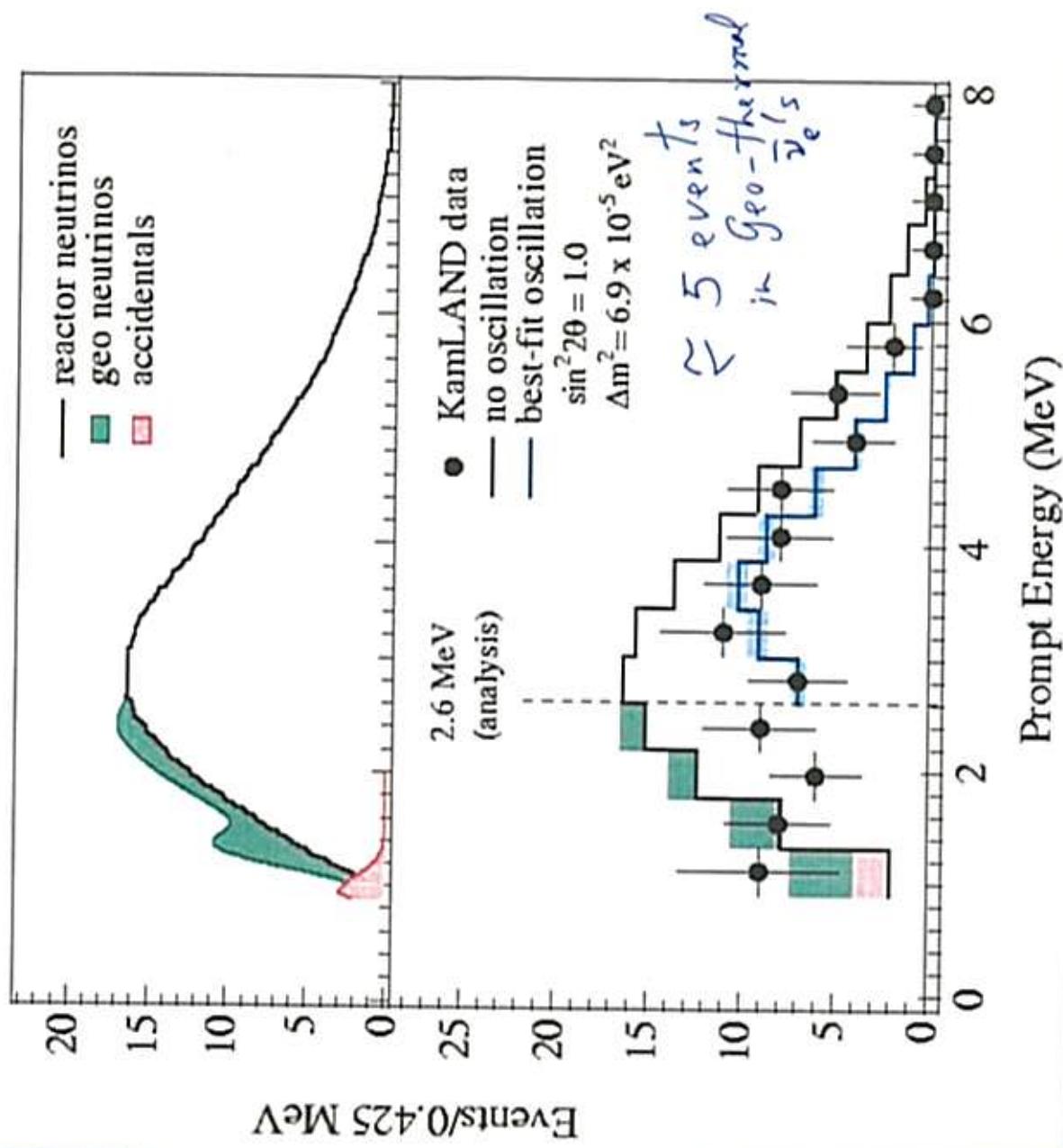


# Oscillation Spectrum

93% C.L. consistent  
with neutrino  
oscillations spectrum

But...

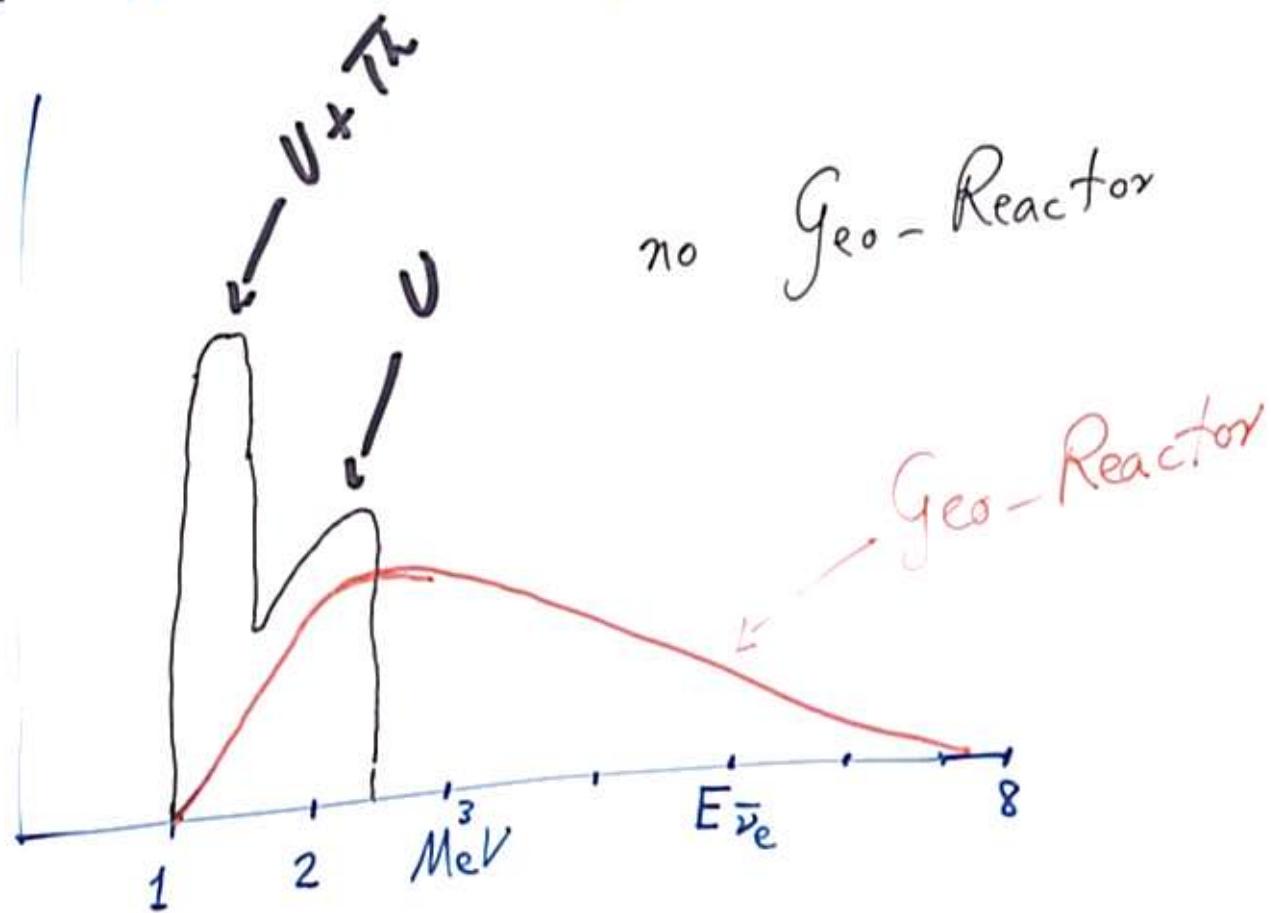
53% C.L. consistent  
with renormalized  
no oscillation  
spectrum  
Clearly needs  
better statistics.



**Table 2.** Calculated  $\bar{\nu}_e$  fluxes [ $\times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$ ] for sites around the world.

Site	Location	Uranium		Thorium		Total (U + Th)	Reactor background
		crust	mantle	crust	mantle		
Gran Sasso Lab (Italy)	42°N 14°E	1.8	1.4	1.5	1.2	5.9	0.65
Kamioka Mine (Japan)	36°N 137°E	1.5	1.4	1.3	1.2	5.4	1.5
Sudbury (Canada)	47°N 81°W	2.3	1.4	1.9	1.2	6.8	1.3
Central Australia	25°S 133°E	1.9	1.4	1.6	1.2	6.1	0.016
Himalayas (Tibet)	33°N 85°E	2.5	1.4	2.1	1.2	7.2	0.054
Pacific Ocean (Hawaii)	20°N 156°W	0.22	1.4	0.16	1.2	3.0	0.027
Geographic Maximum		2.3	1.4	1.9	1.2	6.8	
Geographic Minimum		0.15	1.4	0.11	1.2	2.9	

For a detector in pacific ocean  
(using Kamland bounds) Counting Rate  
due to  $U/Th$   $\bar{\nu}_e$ 's is  $\sim 50,000/\text{day}$



# The “Georeactor” Model

An unorthodox model

Chief proponent: **J.M.Herndon**

The model

A fuel breeder fission reactor in the Earth's sub-core

**Size:** ~4 miles radius

**Power:** 3-10 TW

# The Georeactor Model

What we can all agree on:

1. The Earth is made of the **same stuff as meteorites**
2. In its earliest stages, the Earth was **molten**
3. The Earth gradually **cooled**, leaving all but the outer core in solid form

# If There Were Insufficient Oxygen

Some of the U, Th will be in **alloy and sulfide** form

These sink as the Earth cools

Elements with largest atomic number should sink most

Therefore, fission fuel should sink to the center of the Earth

**Georeactor can form!**

# Measuring the Earth's Oxidation Level

Equate the following:

**Core**

↔ **alloy & opaque minerals**

**Mantle + Crust** ↔ **silicates**

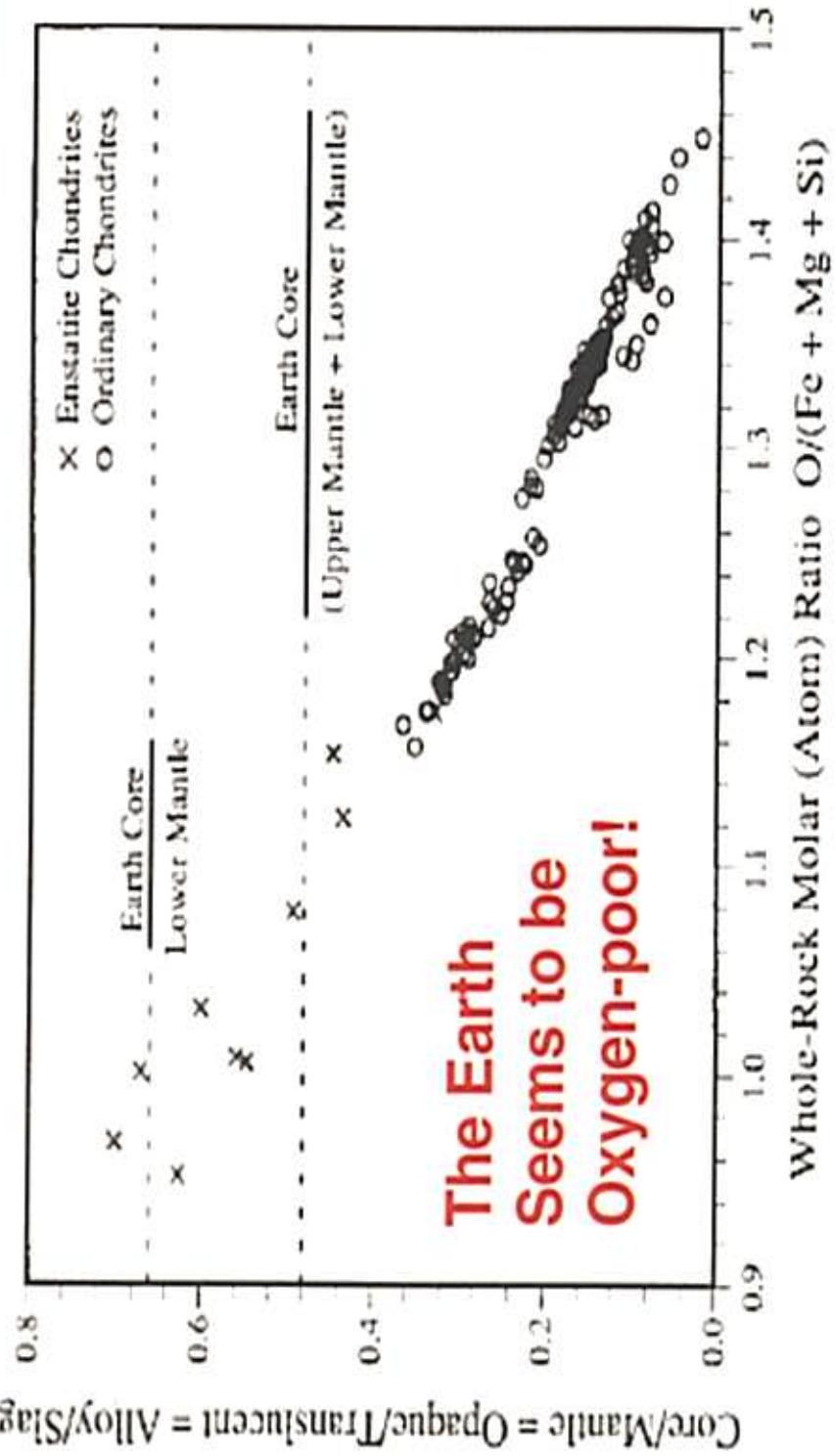
Obtain Earth's mass ratio from density profile measured with seismic data

Compare with corresponding ratio in meteorites.

Oxygen Content of the Earth:

Same as meteorite with same mass ratio as the Earth's

# Evidence for Oxygen-poor Earth



Herndon, J.M. (1996) Proc. Natl. Acad. Sci. USA 93, 646-648.

# $^3\text{He}$ Measurements

In air:

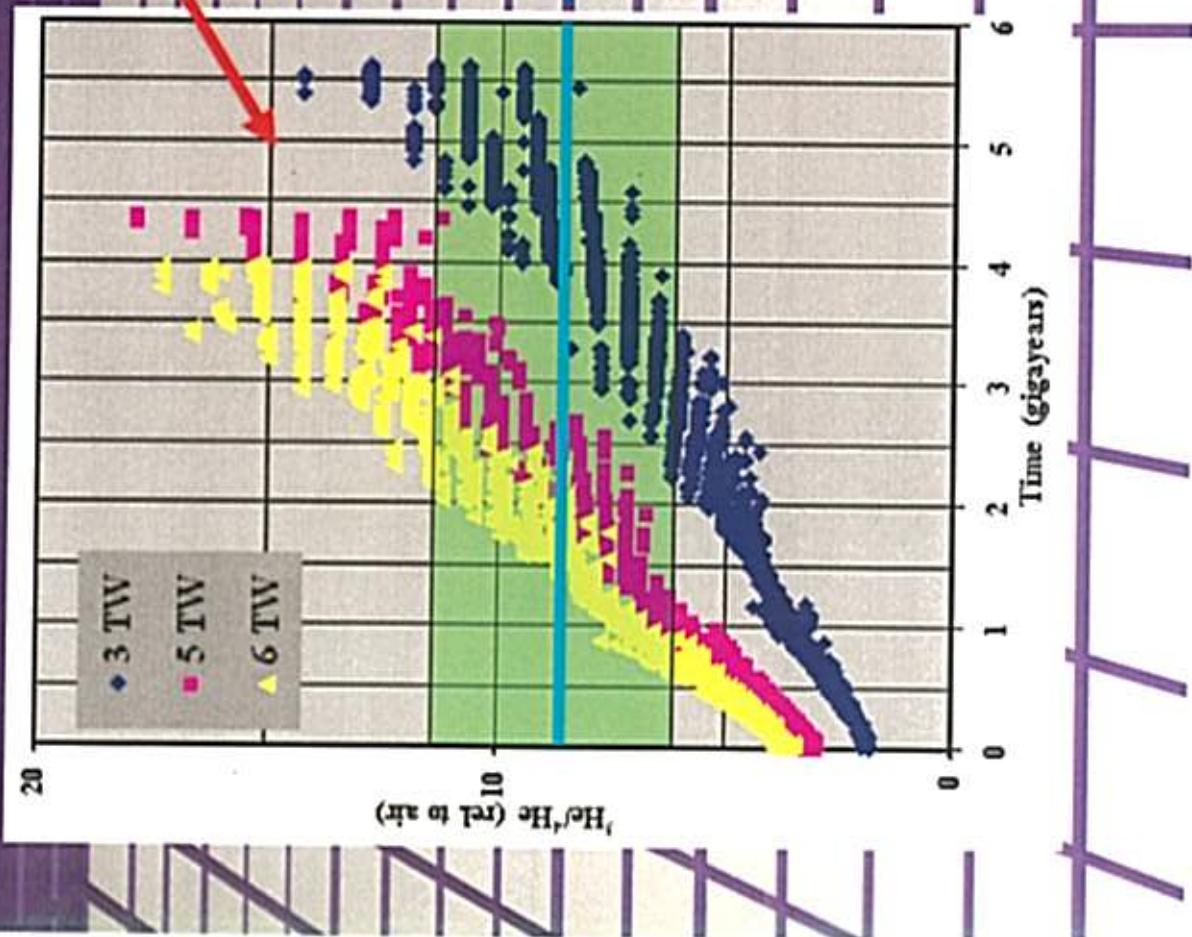
$$R_A = ^3\text{He}/^4\text{He} = 1.4 \times 10^{-6}$$

From deep Earth:

$$R \approx 8 \times R_A$$

Elevated deep Earth levels difficult to explain  
Primordial  $^3\text{He}$  and “Just-so” dilution scenarios  
**A georeactor naturally produces  $^3\text{He}$ ...**

...and Just the Right Amount!



SCALE Reactor Simulator  
(Oak Ridge)

Deep Earth Measurement  
(mean and spread)

Fig. 1, J.M. Herndon,  
Proc. Nat. Acad. Sci.  
USA, Mar. 18, 2003  
(3047)

## Other Phenomena

- Georeactor as a **fluctuating** energy source for geomagnetism
- 3 of the 4 gas giants **radiate twice as much heat as they receive**
- Oklo natural fission reactor (remnant)

# Summary of Results

Georeactor will NOT be observed with  
KamLAND

Large spread in background rate helps  
Low background level

→ **Georeactor detectable with small detector**

# Man-made vs. Geo

Man-made:

(~500 reactors)  $\times$  (~2 GW) = **1 TW**

Georeactor:

**3-10 TW**

**If a georeactor exists, it will be the dominant source of antineutrinos!**

# Event Rate @ Gigaton Detector



Expected rate from man-made reactors: 20,000 ev/day

## NEUTRINO EXPLORATION OF THE EARTH\*

A. De RÜJULA

CERN, Geneva, Switzerland

S.L. GLASHOW

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CERN, Geneva, Switzerland

Received May 1983

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the machines. High-technology pump priming can induce the rebirth and revitalization of our industrial society. Nonetheless, the *raison d'être* for the construction of high-energy accelerators has always been the pursuit of science for science's sake.

We believe that future accelerators can be of direct commercial and technological importance. We envisage the construction of one (or more) large proton synchrotrons for purposes which may loosely be termed [REDACTED] It is the purpose of this paper to explore the nature and feasibility of such a project.

We shall refer to the accelerator dedicated to geological exploration as the GEOTRON, presumably a proton synchrotron with a beam energy [REDACTED] Some considerations on the construction of the GEOTRON are given in section 2. The high-energy protons must be aimed towards a distant site of geological interest. Immediately after extraction, the protons collide with a target, producing an intense and highly collimated beam of mesons. These mesons pass through a long decay tunnel, wherein they generate a neutrino beam. The complex system of proton beam transport, target, and decay tunnel must be capable of being redirected with great precision towards remote sites. We refer to this novel construction as the SNOUT of the GEOTRON. The nature of the neutrino beam which is produced at the GEOTRON complex is discussed in section 3.

The collimated neutrino beam, when it reaches the remote site to be explored, undergoes secondary interactions with the underground medium. This leads to the production of a detectable signal whose interpretation can provide useful information.

The neutrino beam can be used in at least three different ways to reveal information about the subsurface. Project GENIUS stands for Geological Exploration by Neutrino Induced Underground Sound. In this scenario, the neutrino beam is deployed at a shallow angle of declination so as to emerge from the Earth at a distant site. For example, at a declination angle of  $4.5^\circ$ , the point of emergence of the beam is 1000 km distant from the accelerator and its maximum depth is 20 km. As the neutrinos pass through the Earth they undergo occasional interactions [REDACTED] ...

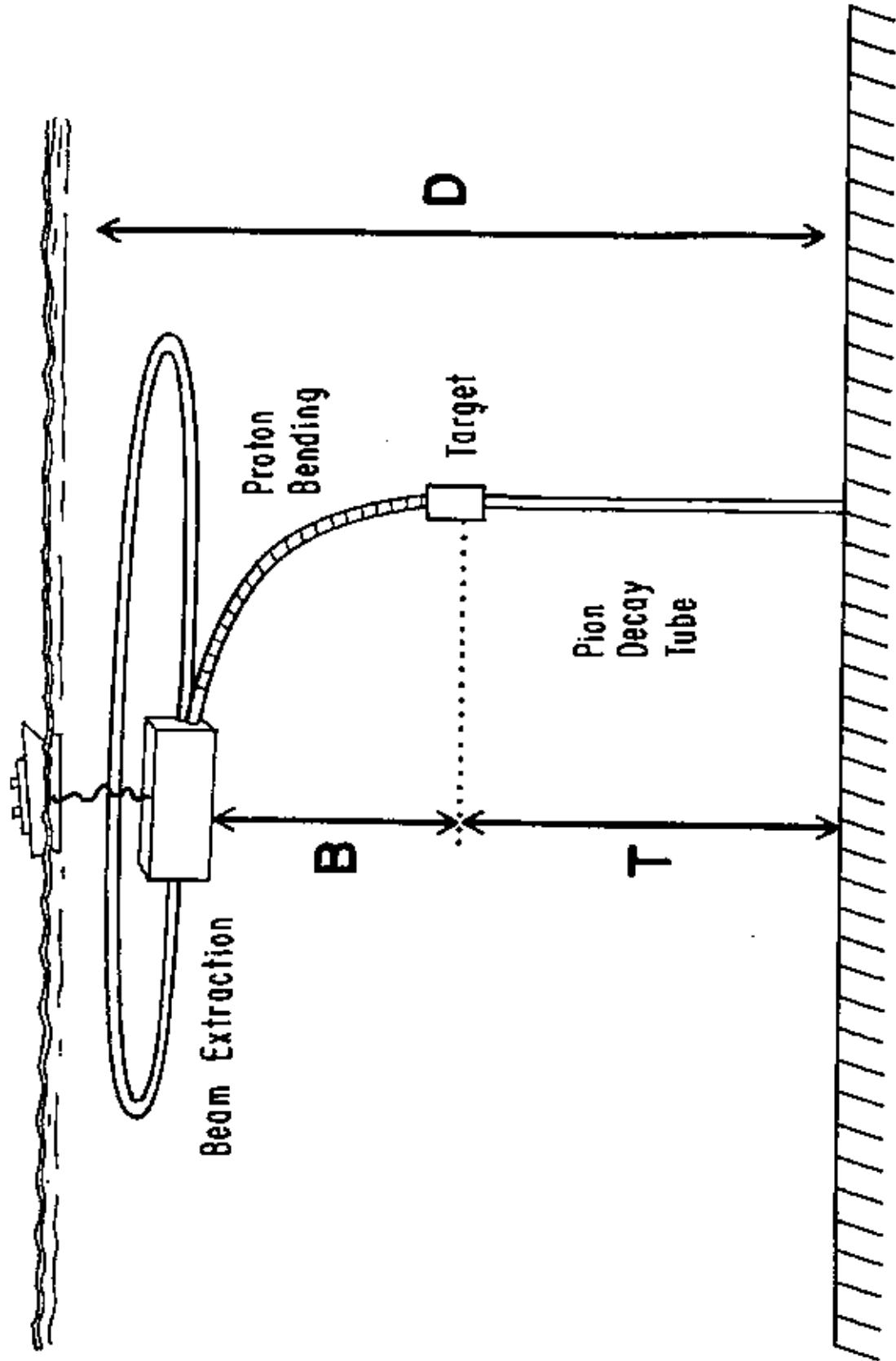


Fig. 8.3. Accelerator and beam deployment at sea, a possibility that suggests itself in a GEOSCAN Project.

# Can Relic ν's be detected?

15

## Some Preliminaries

(Direct Evidence for relic ν's)

$$\text{Avg mmtn of } \nu: \langle p_\nu \rangle \sim 3.2 T_\nu \\ \sim \underline{5.2 \cdot 10^{-4} \text{ eV/c}}$$

Neutrino flux:  $j_\nu \sim \eta_\nu \times c$  (all flavors)

$$\sim 33^\circ C \quad \sim 10^{13} \text{ cm}^{-2} \text{ sec}^{-1}$$

$$(m_\nu = 0).$$

For massive ν's.  $j_\nu \sim \frac{\langle v \rangle}{c} j_0$

$$\text{for } m_\nu \sim 0(\text{eV}), \quad \langle \frac{v}{c} \rangle \sim \frac{\langle p \rangle}{m_\nu} \sim 5 \cdot 10 \frac{\text{eV}}{\text{c}}$$

$$j_\nu \sim 5 \cdot 10^{-4} j_0$$

Degeneracy  $\overline{\text{If } \eta_\nu \neq \eta_{\bar{\nu}}, [N_p \neq N_{\bar{p}}]}$

$$\cdot \eta_{\nu_i} \sim M_i^3 / 6\pi^2, \quad \bar{\eta}_{\nu_i} \ll \eta_{\nu_i}$$

$$\langle p_i \rangle \sim 3/4 \mu_i \text{ & enhanced.}$$

# Proposals to detect Relic Neutrinos 18

- Surface effects , reflection , refraction  
enhancement by  $N^2$ ?  
 $\Rightarrow$  linear in  $G_F$  ?
- electron spin rotation in  $\nu$  sea.
- Absorption Dip in H.E.  $\nu$  flux  
from  $\bar{\nu} + \nu_{\text{Relic}} \rightarrow \Xi$  at Resonance
- $G_F^2$  Volume effect to  
get acceleration on macroscopic  
objects .

# Electron Spin Rotation

19

Stodolsky (1974)

- Polarised  $e^-$  moving in neutrino background (Campbell Odorell (1975))
- $e-\gamma$  interaction can change polarisation.

$$H_{re} \sim H_{cc} + H_{NC}$$

$$\langle \chi_e | H_{re} | \chi_e \rangle \sim \sqrt{2} G_F \underline{\Sigma}_e \cdot \underline{J}$$

$$\sim \sqrt{2} G_F \underline{\Sigma}_e \cdot \underline{\omega}_{\nu}$$

- $\Delta E \sim \sqrt{2} G_F \rho |\underline{\omega}|$
- Need  $\# \nu \neq \# \bar{\nu}$  (else vanishes)

Let  $v \sim 300 \text{ km/s}$

$$m_{\nu} \sim 0(\text{eV})$$

$$\Rightarrow \rho_{\nu} = n_{\nu} \sim 10^7 \text{ / cc}^{-32}$$

$$\Delta E \sim 10^{-32} \text{ eV !!}$$

Change of Polarization direction  $\delta\phi$ <sup>20</sup>

$$\delta\phi \sim \underbrace{(\Delta E/k)}_{\hookrightarrow \text{Rate of rotation}} t \underbrace{\qquad}_{\hookrightarrow \text{time spent}}$$

$$\Rightarrow \delta\phi \text{ in 1 year} \\ \sim 0.02'' \text{ sec.}$$

---

- . Very Tiny Effect
- . Is it Detectable?
- . Need to quench earth, stray B etc
- . enhancement by coherence? SC?

# Volume Effect

21

- let  $n_\nu \neq 0$
- clustering  $\rightarrow n_\nu \sim 10^7/\text{cc}$
- Earth motion makes  $\nu$ -flux anisotropic
- $\nu$ -scattering on matter in target gives momentum transfer  
 $\rightarrow$  force  $\rightarrow$  net acceleration of macroscopic object  
 $\rightarrow$  to be detected!

Svartmann, Zeldovich et al.  
(1983).

Proposal: Object (foam-like) made of small spheres of radii  $a \approx \frac{\lambda}{2}$  ( $\nu$ -wavelength), pore-size also  $\approx \frac{\lambda}{2}$  (To avoid destructive interference) & get enhancement.

- # atoms  $N = \left(\frac{\rho}{A m_N}\right) \frac{4\pi}{3} R^3$  ( $R \equiv a$ )
- $\sigma_\nu(\tau) = \frac{G_F^2 m_\nu^2 N^2 K^2}{8\pi} = \sigma_0 N^2 K^2$
- for spinless nucleus as  $E_\nu \rightarrow 0$
- for Dirac  $\nu$ 's
- $K$  depends on r-factor

$$\begin{cases} K = (A - Z), \gamma, \gamma \\ K = (3A - Z), \gamma_e \end{cases}$$

To maximise effect assume total reflection:

$$\Delta p \sim 2m_v v_v$$

$$F = dp/dt = j_v \sigma_v \Delta p$$

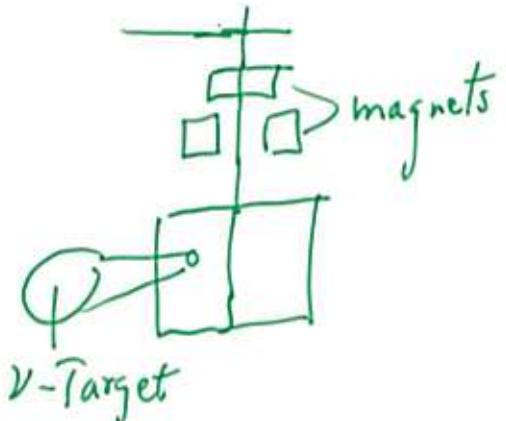
&  $a = F/m_T \Rightarrow$  Finally

$$a \approx 10^{-23} \left( \frac{k}{A} \right)^2 \left( \frac{\pi v}{10^7 \text{ cm}} \right) \left( \frac{v_v}{10^3} \right)^2 \left( \frac{\rho}{20 \text{ g/cm}^3} \right) \text{ cm/sec}^2$$

Can such small accelerations be measured?

Current best accn. measurements  
 $\sim 10^{-13} \text{ cm/sec}^2$   
 Improvements possible - - -

Proposal Hagmann (1991)



- low T  $\rightarrow$  reduce thermal noise
- use SC magnetic suspension

# $\nu\bar{\nu}$ Annihilation on the $Z$

(Weiler 1984)

Let

- $m_\nu \sim 0(\text{eV})$
- there be sources of HE  $\nu$ 's with  $E_\nu > 10^{21} (\text{eV})$

On the way from source  $\rightarrow$  earth



There should be an "Absorption" line in the  $\nu$ -spectrum seen at Earth at  $E_Z$ :

$$E_Z \approx \frac{m_Z^2}{2m_\nu} \sim 4 \cdot 10^{21} \text{ eV}$$

$$\sim 4 \cdot 10^{12} \text{ GeV } (m_\nu \sim \text{eV})$$

Red-shift takes  $E_Z \rightarrow \bar{E}_Z = E_Z / 1+z$

$$\bullet \quad \sigma(v\bar{v} \rightarrow z) = 8\pi/\sqrt{2} G_F m_z^2 \delta(s - m_z^2)$$

$$\int \sigma_z(z) \frac{ds}{m_z^2} \approx \frac{8\pi G_F}{\sqrt{2}} = 5 \cdot 10^{-32} \text{ cm}^2$$

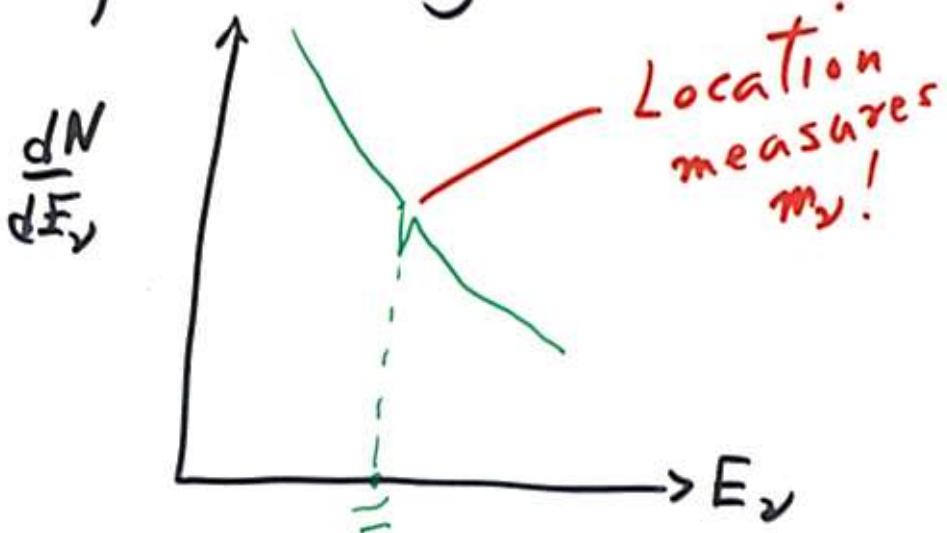
$\Rightarrow$  Need  $\phi_z \sim 10^{-13} \text{ cm}^{-2} \text{ s}^{-1}$  for dip to be seen.

. At  $R \sim 10^4 \text{ mpc}$ , need energy output  $\sim 10^{53} m_0 / \text{yr}$

. Need many (not one) sources.

. Outlandish?

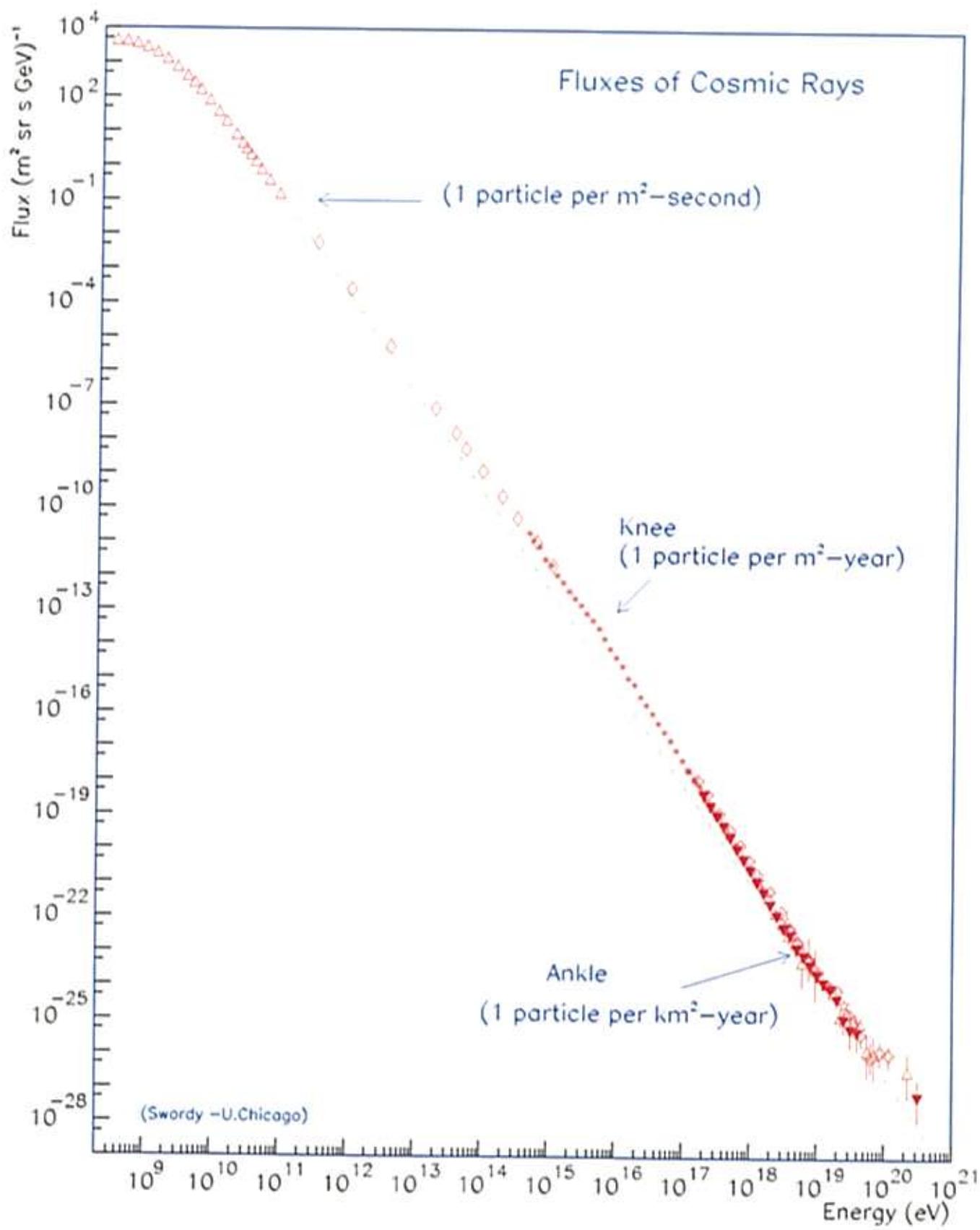
. Expected Signal:



Has this been seen already?

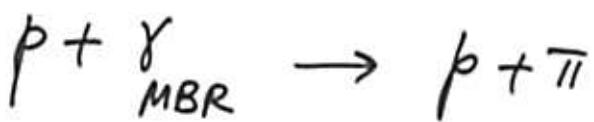
# Cosmic Ray Spectrum

25



25

# GZK Cut-off in Cosmic Ray Spectrum



Threshold

$$E_{th} \sim \frac{m_\pi m_p}{E_\gamma} \sim 6 \cdot 10^{19} \text{ eV.}$$

Above

$$E_{th}$$

$$\text{mean free path } \lambda \sim \frac{1}{n_r \sigma_{rp}}$$

$$\approx 10 \text{ Mpc.}$$

Strong Attenuation

Hence CR of  $E > E_{th}$  have to come from sources "nearby"

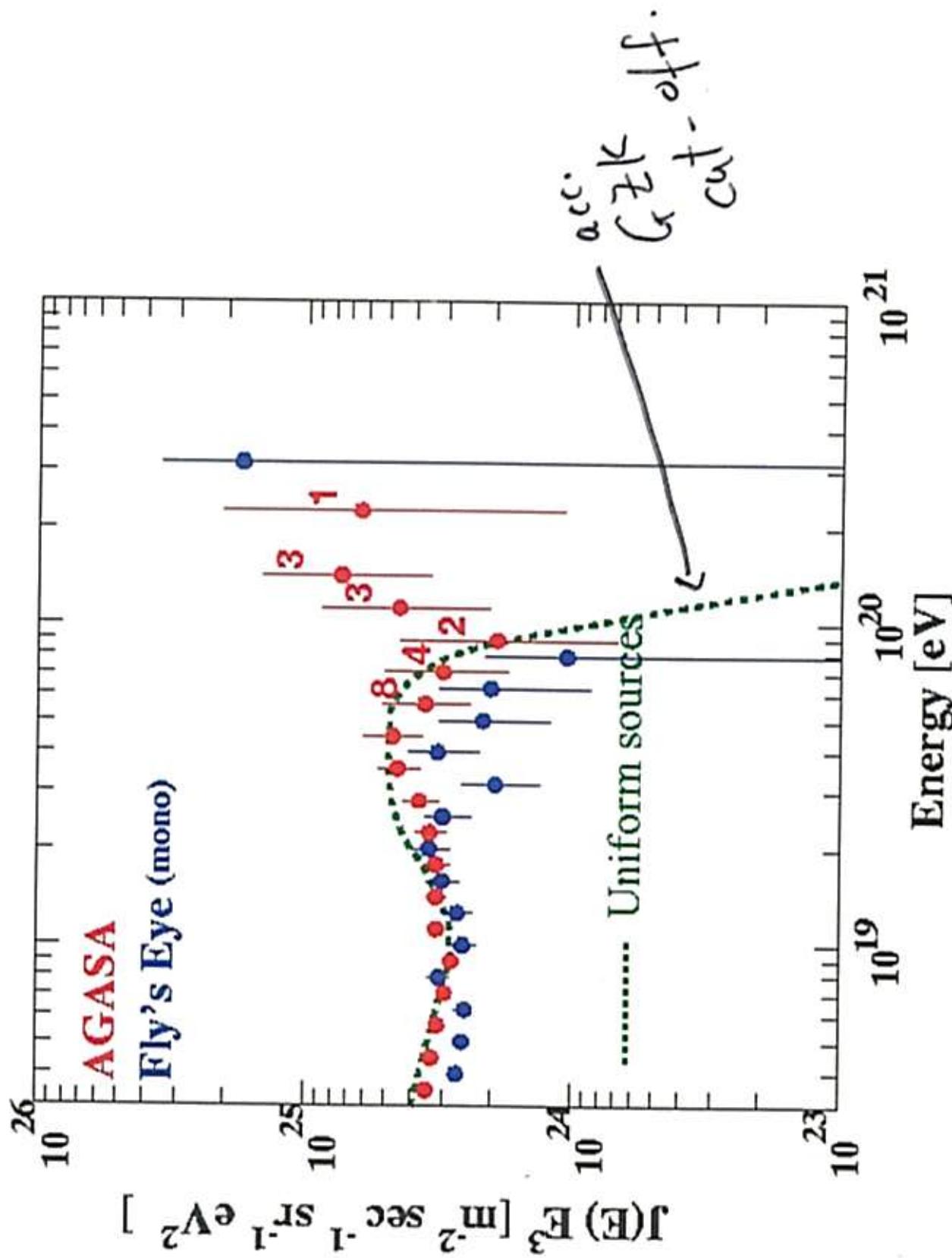
If no such sources exist (AGN's etc)  
But CR's of  $E > E_{th}$  observed.

~ about 15-20 events. (AGASA, HIRE, ...)

Probably not  $\gamma$ -rays.

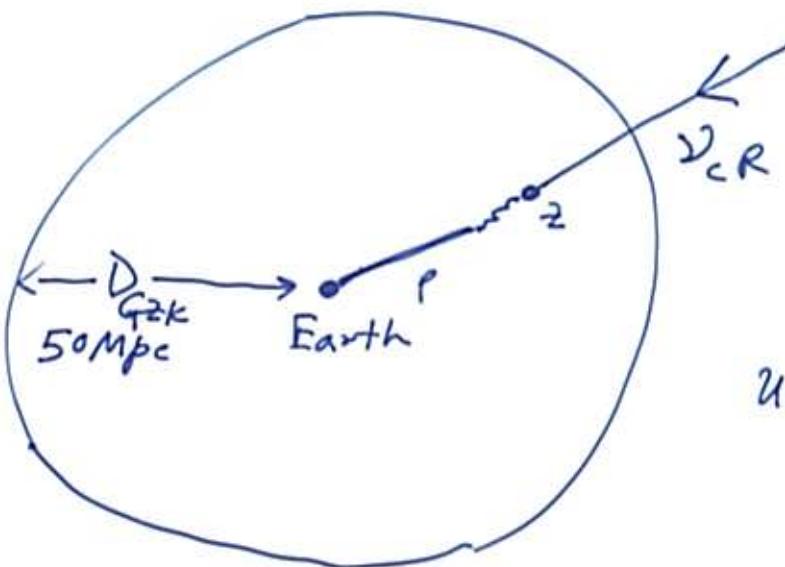
Not  $\nu$ 's.

Most probably  $p$ 's.  
Puzzle: What (is)/are the Origin?

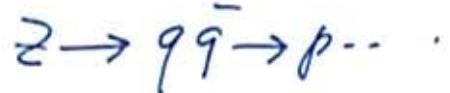


# One Possibility "Z bursts"

Weiler



$$\nu_{ce} + \nu_{MB} \rightarrow Z$$



p in debris reaches us as CR with  $E > E_{th}$ .

- Need ν sources with enuf energy ...

## Possible Tests

- Events point back to ν-sources
- New cut-off at  $E_{th}$  for Z  
 $= 4 \cdot 10^{21} \left( \frac{eV}{m_\nu} \right) eV$
- large γ/p ratio at E near  $E_{th}$
- large ν flux with  $E_\nu \gtrsim 10^{20} eV$   
 detectable in KM3 Detectors.

If true, can measure  $m_\nu$  with BG ν's!

## AGENDA for NEUTRINOS AND ARMS CONTROL WORKSHOP

5-7 February 2004, Pacific Room, East-West Center, UHM Campus

---

*Click on the links for talks. More to come, 9 February 2004.*

Time	Subject	Speaker
<i>Thur</i>	<i>5 Feb</i>	
0930	Introductions	John Learned, <i>UHM</i>
0940	Welcome	Dr. Charles Helsely, for UHM Chancellor Englert
0950	UH Neutrino Group Activities	Peter Gorham, <i>UHM</i>
1000	Introduction to DTRA	Arthur Wendel, <i>DTRA</i>
1030	Anti-Neutrino Detection, Earth Reactor	Gene Guillian, <i>UH</i>
1100	<b>coffee break</b>	
1130	Large Anti-Neutrino Detector	Franz von Feilitzsch, <i>TUM</i>
1200	Future Large Underwater Nu Telescopes	Leo Resvanis, <i>U. Athens</i>
1230	<b>lunch break</b>	
	<i>Session Chair</i>	<i>Steve Olsen, UHM</i>
1330	Monitoring Nearby Reactors	Adam Bernstein, <i>LLNL</i>
1400	Monitoring All Earth Reactors	John Learned, <i>UH</i>
1430	Science with a Low Energy Gigaton Detector	Sandip Pakvasa, <i>UH</i>
1500	<b>coffee break</b>	
1530	Getting Serious about Coherent Neutrino-Nucleus Scattering Detectors	Juan Collar, <i>Chicago</i>
1600	Arms Control, Monitoring and Treaty ( <a href="#">slides</a> , <a href="#">transcripts</a> )	Richard Garwin, <i>IBM</i>
	Verification; National Tech. Means & Intell.	
1700	Eliminating Nuclear Bombs with Ultra-High Energy Neutrinos	Hiroyuki Hagura, <i>KEK</i>
1730	Future UHE Neutrino Beams	Kirk McDonald, <i>Princeton</i>
1800	<b>dinner (downstairs at EWC)</b>	
 <b>Fri</b>	 <b>6 Feb</b>	
0900	<i>Technology Sessions: Photodetection</i>	<i>Chair: Gary Varner, UHM</i>
0900	Photomultipliers	Yuji Yoshizawa, <i>Hamamatsu</i>
0930	Photomultipliers	Paul Hink, <i>Burle</i>
1000	New Photodetectors	Daniel Ferenc, <i>UCD</i>
1030	Micro-Pattern Detectors	Phil Barbeau, <i>U. Chicago</i>
1100	<b>coffee break</b>	
1130	Adv. Flexible Circuit Mtls as Components for	Mark Richmond, <i>MMM</i>

	<b>Particle Detectors and Other Applications</b>	
1200	<b>Micromachined Large Area PMT</b>	David Winn, <i>Fairfield</i>
1230	<b>lunch break</b>	
1330	<b>Technology Sessions: Ocean Engineering</b>	<i>Chair: Mike Peters, UHM</i>
1330	<b>Giant Deep Ocean Balloons</b>	<i>Joe van Ryzin, MOE</i>
1400	<b>H<sub>2</sub>O and Low Cost Scintillator/Cherenkov Liquid Media</b>	David Winn, <i>Fairfield</i>
1430	<b>Water Doping for Increased Light</b>	Art McDonald, <i>Queens</i>
1500	<b>Coffee break</b>	
1530	<b>Cl Loaded Anti-Neutrino Detector and SN Early Warning System</b>	Mark Vagins, <i>UCI</i>
1600	<b>Organize working groups</b>	<i>John Learned, leader</i>
1730	<b>end of session</b>	
1800	<b>barbecue (<i>at jgl's house</i>)</b>	
 Sat	<b>7 Feb</b>	
1930	<b>Comments</b>	Richard Garwin, IBM
2000	<b>Photodetector discussion</b>	<i>Juan Collar, leader</i>
2100	<b>coffee break</b>	
2130	<b>Ocean Challenges</b>	<i>Hugh Bradner, leader</i>
2230	<b>lunch break</b>	
2330	<b>Neutrino Beams</b>	<i>Kirk McDonald, leader</i>
2500	<b>coffee break</b>	
2530	<b>Summary and Plans</b>	All
2630	<b>End of Workshop</b>	

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# Destruction of Nuclear Bombs Using Ultra-High Energy Neutrino Beam

— dedicated to Professor Masatoshi Koshiba —

Hirotaka Sugawara<sup>\*</sup>      Hiroyuki Hagura<sup>†</sup>      Toshiya Sanami<sup>†</sup>

## Abstract

We discuss the possibility of utilizing the ultra-high energy neutrino beam ( $\simeq 1000 \text{ TeV}$ ) to detect and destroy the nuclear bombs wherever they are and whoever possess them.

# Neutrinos and Arms Control: Thinking Big about Detection of Neutrinos from Reactors at Long Distances

John G. Learned

*Department of Physics and Astronomy, University of Hawaii, Manoa*

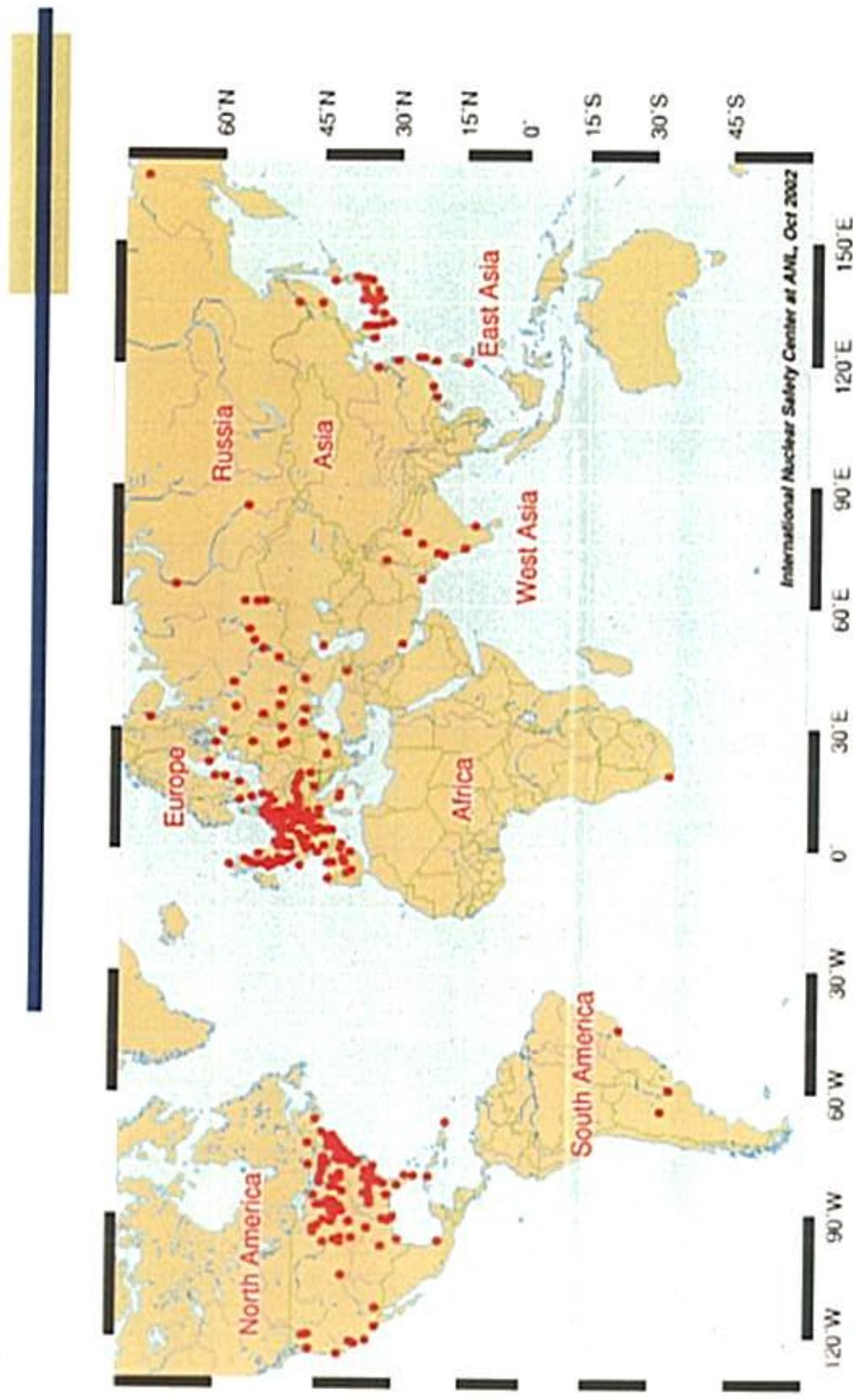
First Draft late October 2003, revision of November 22, 2003.

## ABSTRACT

We discuss a somewhat futuristic plan for a world network of enormous neutrino detectors, which may be employed for monitoring the activity of all reactors on earth. Three (or more) cubic-kilometer size instruments with sensitivity down to about 1 MeV, placed in the deep oceans (or possibly lakes) can record the electron anti-neutrino fluxes from reactors, no matter where they reside. Using known power levels from the roughly 440 operating reactors, one can detect and monitor any new reactor, in particular one which may be producing illicit nuclear weapons material. Such a signal cannot be hidden or jammed, and via tomography may be located to a precision of order 20 kilometers over a one year timescale.

The suggested array would have many ancillary applications, ranging from detection of nuclear bomb tests, to studies of neutrinos from supernovae from throughout our supercluster and seeking the decay of protons to significant levels. First estimates are that such an array of detectors, after industrial development particularly in the area of photodetectors, could cost in the range of a new particle physics accelerator or an aircraft carrier.

# World Reactors



# Directionality?

- ◆ Inverse beta not inherently directional.
- ◆ Weak correlation between neutrino and direction from positron annihilation and n capture. Probably not useful.
- ◆ Can we use electron scatters? Needs study.
- ◆ Main method, **tomography** using known counting rates and at least three stations around the world.

# Tomography

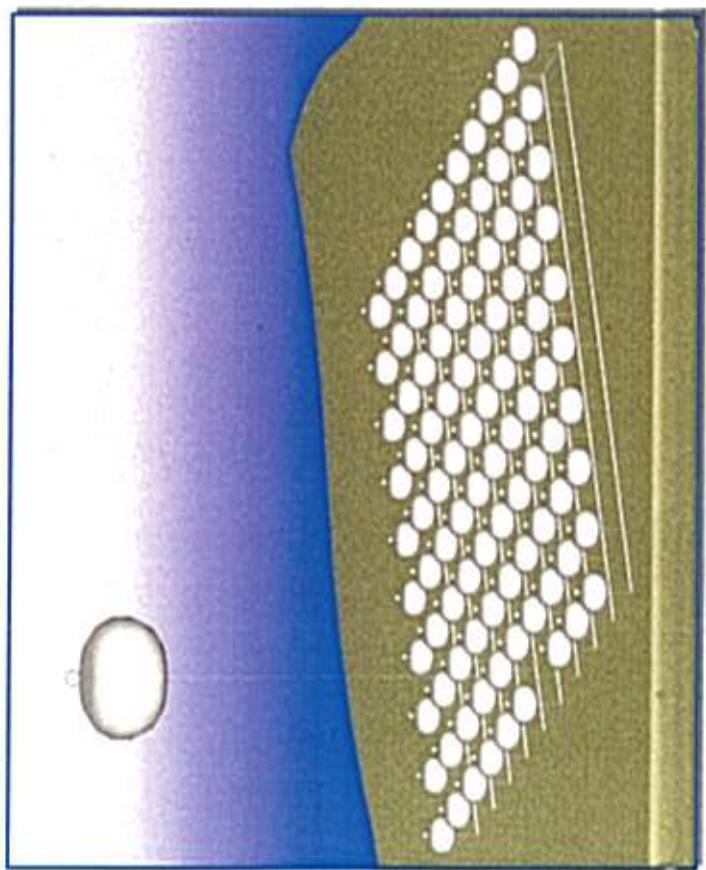
- ◆ Most power reactors well known (1-2%, maybe better) in output as function of time, day by day at least (IAEA).
- ◆ A new unknown reactor (2GW) will contribute average of 43 counts/day at 6000km.
- ◆ Pin down location to ~20 km in one year.
- ◆ Need numerical simulations to study resolution and detector siting. First, naïve example follows.

# Design Concept

- ◆ Must use water, nothing else affordable.
- ◆ Use pure water, for acceptable backgrounds.
- ◆ Possibly load with low  $^{40}\text{K}$  salt: not expensive, helps neutron detection and 3% buoyancy of seawater.
- ◆ Place in deep ocean for low rates and mobility. Mine based detectors not affordable.

# Array Sketch

- ◆ Ocean bottom array,  
4 km x 4 km
- ◆ Alternative may be  
distributed array (to  
get gradient along  
array). Line?  
Triangle? Around  
world?



# Detection of Nuclear Explosions?

- ◆ Beauty of this method is that neutrinos cannot be faked, jammed or shielded.
- ◆ Detection measures weapon yield.
- ◆ Certainly can detect 100 kT device out to >1000 km => 2000 counts.
- ◆ Need studies to see what can be done employing info from three arrays.

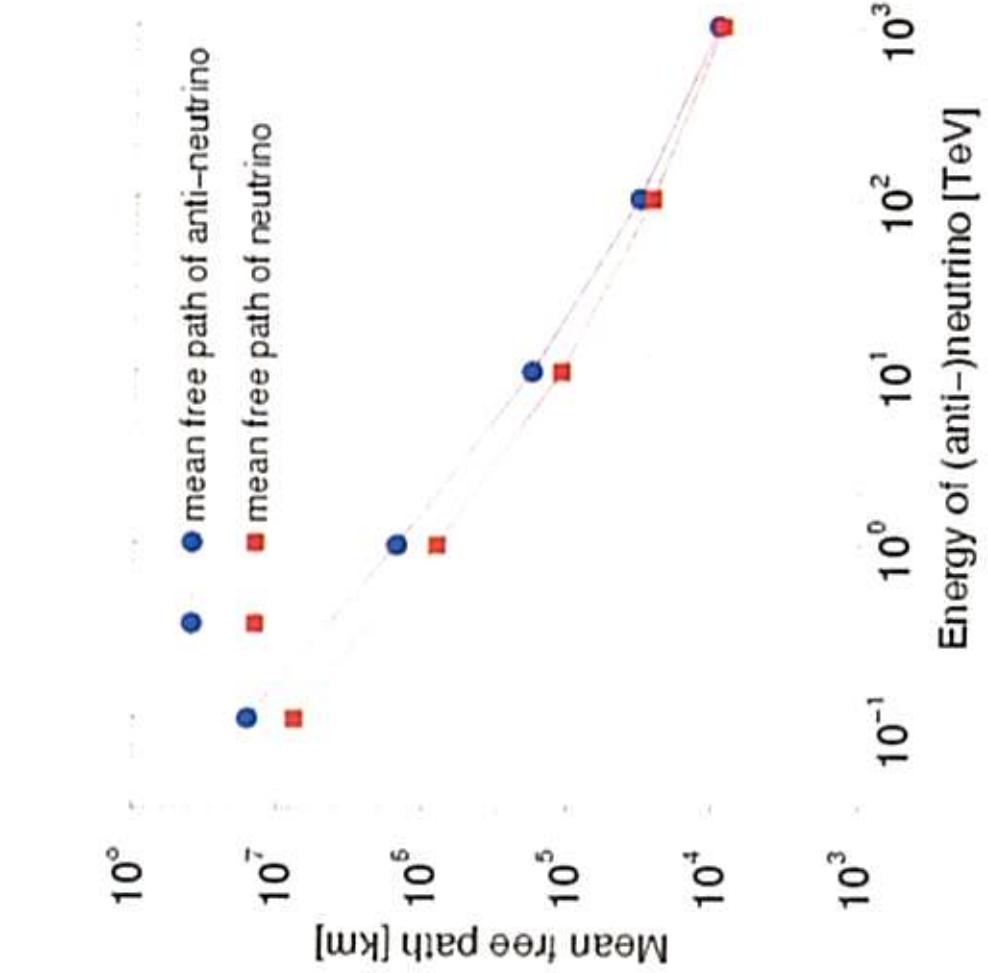
# Summary: A New Option for Arms Control Monitoring

- ♦ A 1 km<sup>3</sup> - 2 MeV anti-neutrino detector in deep ocean is certainly possible, question is economics and scaling... first cut indicates it may be on \$10B scale.
- ♦ Significant optical detector development needed; plus other studies.
- ♦ Would allow monitoring of ALL world's reactors on a daily basis, plus detection and location of new reactors to few tens of km.
- ♦ Can detect some nuclear explosions.
- ♦ Huge pure scientific program and community involvement: high spinoff in science and technology.

# Introduction

- Non-proliferation of nuclear weapons is difficult at present in spite of the existence of NPT.
- Detecting nuclear bombs globally and eliminating them safely are very important for global security.
- Interestingly enough, neutrino is considered to be the only particle that is capable of doing that on the global scale.
- Big collaboration of particle, nuclear, reactor and accelerator physicists and security experts will play an essential role for the purpose.

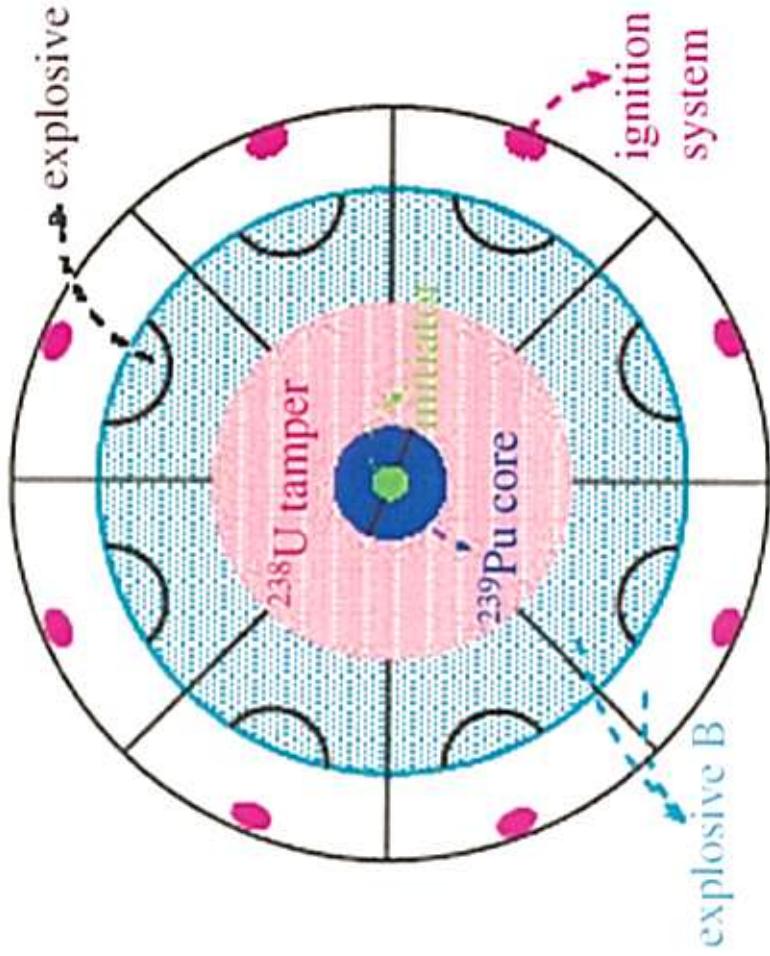
# Mean free paths of neutrinos



- ❖ Calculated at the tree level
- ❖ Only two flavors ( $u$  and  $d$  quarks) are included
- ❖ Scaling functions with no QCD corrections
- ❖ No neutrino oscillation is assumed
- ❖ Protons and neutrons are uniformly distributed inside the Earth
- ❖ If one includes several effects, the cross-sections will become a few times larger, leading to smaller mean free paths

# What is a nuclear weapon?

1. Ignition by explosives
2. Shock wave is created, density wave makes  $^{239}\text{Pu}$  and  $^{238}\text{U}$  go beyond the critical point
3. Initiator gets broken (aluminum foil)
4. In  $10^{-6}$  sec super-critical fission reaction occurs everywhere in the core
5. Tamper works to suppress the “**fizzle explosion**”
6. Full explosion produces a bomb yield of  $\sim 20$  kt

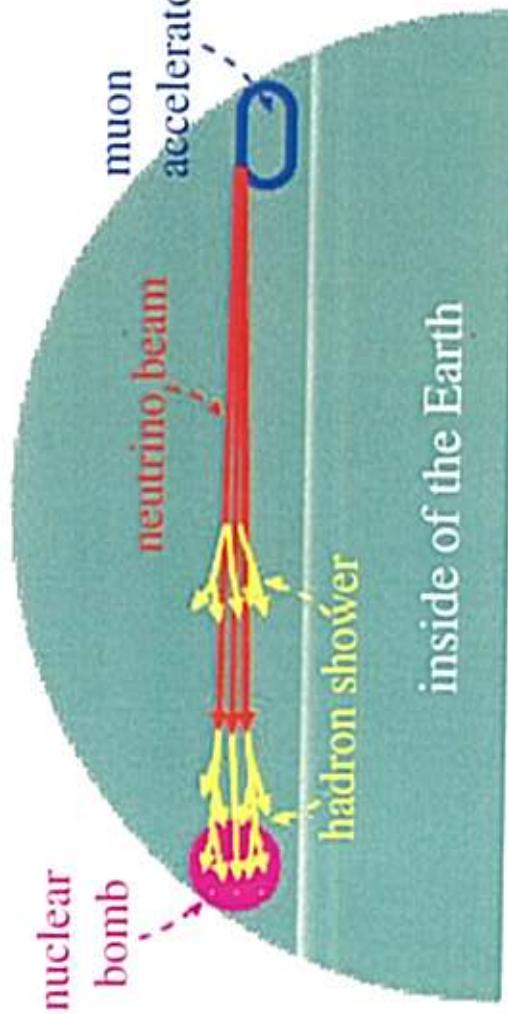


# How to eliminate them from the other side of the Earth?

$$E_\nu \sim 100 - 1000 \text{ TeV}$$

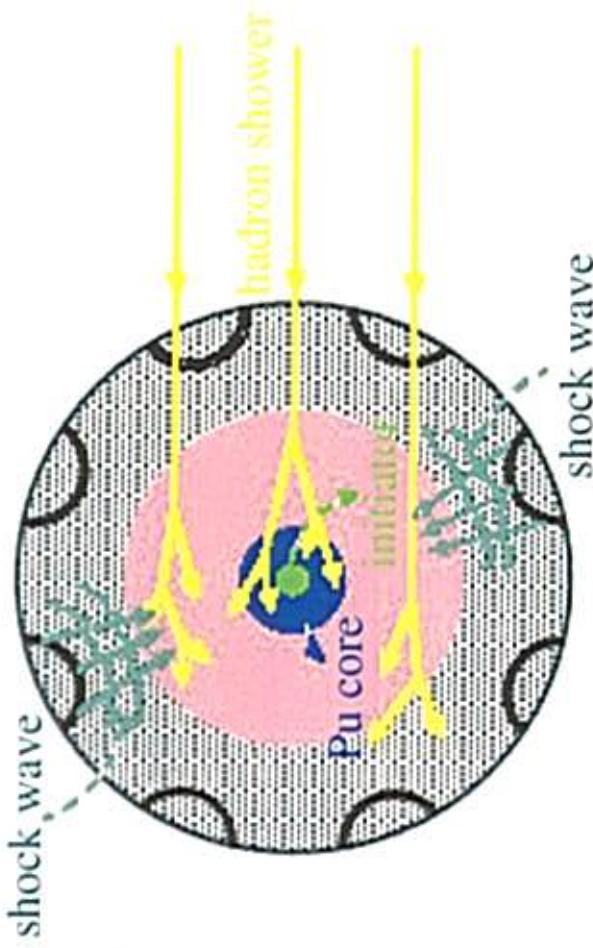
mean free path = diameter of the Earth

- ① Hadron shower hits the target bomb and causes sub-critical nuclear fissions
- ② The temperature of the bomb increases
- ③ Above 250 degrees the surrounding explosives (dynamite) get ignited
- ④ The rest of the process is the same as the 'ordinary' nuclear bomb explosion



# The important difference!

1. The bomb is exposed to hadron beams which play the role of initiator.
2. The beams cause sub-critical chain reactions to start before the shock wave reaches the center
3. Such a phenomenon is well known as the “**fizzle explosion**”
4. This makes the destruction of the nuclear bomb relatively safe.



# What are the required parameters?

According to the theory of ``fizzle explosion'', we have obtained the following values:

- $10^{16}$  fissions per 10 kg of  $^{239}\text{Pu}$  to reach 300 degrees.
- $10^{19}$  fissions per 10 kg of  $^{239}\text{Pu}$  to vaporize all the plutonium. This is needed when the plutonium is stored away from the explosive material.

We can calculate numerically how many neutrinos are needed to reach the values in a given time.

## Numerical results – tentative

Using three MC programs, that is, HERWIG6, MARS and MCNPX, we have obtained:

- ◆ For  $E_\nu = 1000 \text{ TeV}$  neutrinos, the required number of neutrinos is  $10^{14}$  in a few seconds.
- ◆ For lower-energy neutrinos, we will need more larger intensity.

# Is it practical to do so?

## Number of questions

1. Can we steer the beam?

$$\Delta\theta \sim 10^{-7} \text{ (rad)}$$

=> Not easy but possible

$$\text{Current achievement } \Delta\theta \sim 10^{-6} \text{ (rad)}$$

2. Can we make  $10^{14}$  neutrinos in a short period, for example, in  $\sim 1$  sec?

=> High-intensity proton machine (now  $\sim 10^{13}$  /sec)

3. Can we make a 1 PeV machine?  
=> The hardest problem (now  $\sim 1\text{-}10$  TeV)

# Conclusions

- UHE neutrinos can be very useful:
  - Global disarmament
  - Earth tomography ('X-ray' by neutrino)
  - Perhaps communication (a prototype of SETI)
- Technology development:
  - Invention of much stronger magnet ~10-100 Tesla
  - High-energy, high-intensity accelerator
  - Fine alignment
  - Detection of nuclear bombs (J. Learned)
- Financial support:
  - Massive investment (~\$50B) will be needed
  - World-wide collaboration

# Goal: Detect Reactors from Whole Earth

- ♦ Need ~1 gigaton = 1 km<sup>3</sup>, ~1 MeV sensitivity

reaction process : inverse-  $\beta$  decay ( $\bar{\nu}_e + p \rightarrow e^+ + n$ )



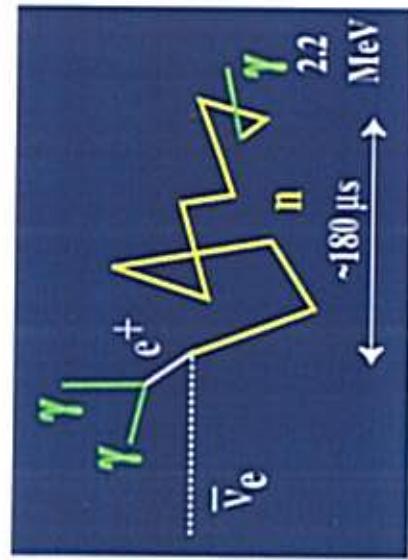
distinctive two-step signature

- prompt part :  $e^+$

$\bar{\nu}_e$  energy measurement

$$E_\nu \sim (E_e + \Delta)/I + \frac{E_e}{M_p} I + \frac{\Delta^2 - m_e^2}{M_p}$$
$$\Delta = M_n - M_p$$

- delayed part :  $\gamma$  (2.2 MeV)



$$E_{th} = \frac{(M_n + m_e)^2 - M_p^2}{2M_p} = 1.806 \text{ MeV}$$

- tagging : correlation of time, position and energy between prompt and delayed signal

## Rate

- ♦ Scale rates from KamLAND measurements.

$$R \sim (832/\text{day})(P/1\text{GWt})(10^3\text{km}/D)^2(V/1\text{km}^3)F(E,D/E)$$

$$F(E,D/E) \sim 0.5 - 1.0 \text{ to account for oscillations}$$

- ♦ If deep ( $> 4\text{km}$  water equiv), little background.

## Sum of All Reactor Power

- ◆ Total of 440 power reactors, 2GWt/reactor
- ◆ 2574 TWe-Hrs in 2002, equiv ~0.881 TWt
- ◆ Rate in km<sup>3</sup> about 17,000 nuebar/day
- ◆  $1\ \sigma \sim 130/\text{day}$ , measure to 0.77 %/sqrt(days)
- ◆ Typical reactor 1000 km away, 1543 cts/day implies about  $12\ \sigma$  measurement each day!

# (Obvious) Shopping List

- Probe Nucleon Decay to new levels ( $p$ -decay,  $\pi^-\bar{n}$  ann...?)
- Time Variation (Day-Night) in Solar Neutrinos
- Detect Supernova (Type II) upto Virgo Cluster
- Measure Relic SN  $\bar{\nu}_e$ 's
- Neutrino Astronomy (Point Sources  
if  $E_\nu = \text{MeV}$  to  $\text{PeV}$ )
- Obvious Candidate for Far  
Detector for  $\gamma$ -factories
- High Energy  $\nu$ 's from Dark Matter  
(earth, sun, galaxy)  
Annihilation

- Search for natural geo-reactors such as "OKlo" (e.g. at centre of earth) (via  $\bar{\nu}_e$ 's)
- Detailed Study of distribution of U & Th in earth (providing heat flow) by  $\bar{\nu}_e$  emission.
- Cosmic Ray studies of origin & composition
- Geophysics in study of earth density
- $\gamma$ -SETI. (Search for  $\nu$ 's at  $45 \text{ GeV} = \frac{1}{2} m_\pi$ )

## CONCLUSIONS

Neutrinos are alive & well.  
We are entering Neutrino Century  
& will keep busy learning about  $\nu$ 's  
& learning to use them.

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