

4<sup>th</sup> & 5<sup>th</sup> March, 2005

21<sup>st</sup> COE Symposium



# Metal – Insulator Transition of $\text{Na}_x\text{WO}_3$ Studied by High-Resolution ARPES

**S. Raj**

*Dept. of Physics  
Tohoku University*

**Collaborators :**

[Our Group](#) [Indian Institute of Science](#) [Shinshu Univ.](#) [Rider Univ.](#) [State Univ. New Jersey](#)

**D. Hashimoto**

**H. Matsui**

**S. Souma**

**T. Sato**

**T. Takahashi**

**S. Ray**

**D. D. Sarma**

**S. Ohishi**

**W. H. McCarroll M. Greenblatt**

# Introduction

Sodium Tungsten Bronze: Old compound, 1823  
Interesting structural & physical properties

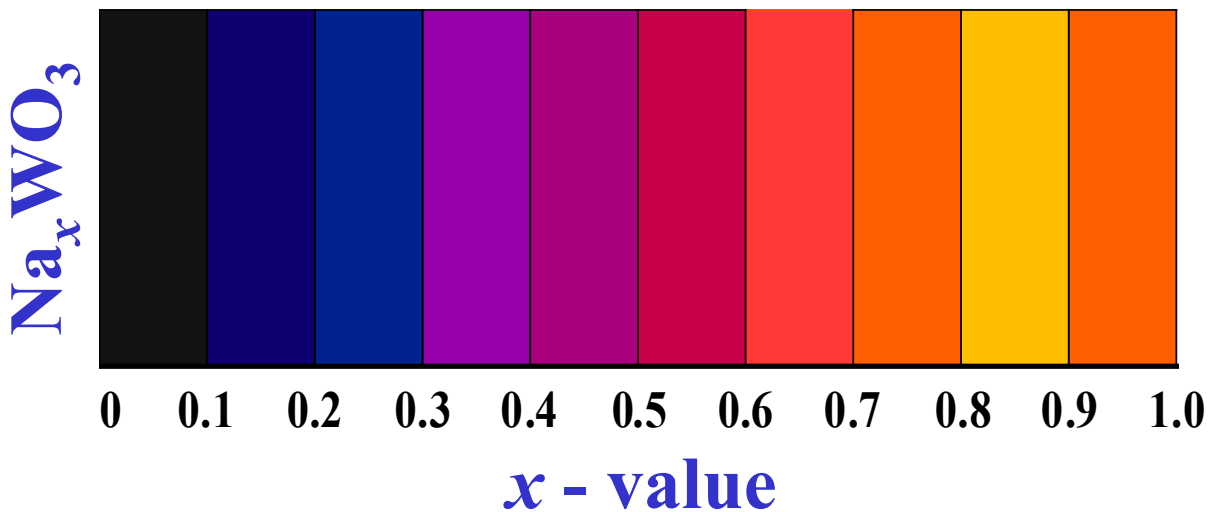
Color & Crystal structure

WO<sub>3</sub> as parent compound  
Na : electropositive element

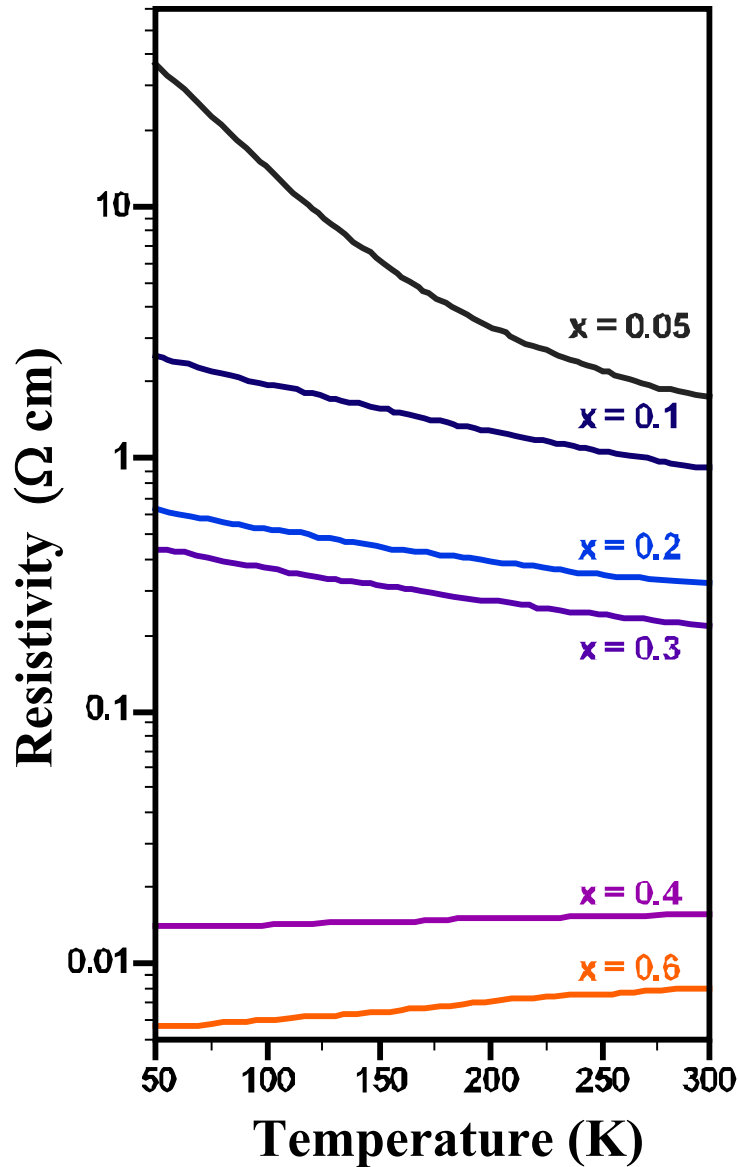
Oxidation states of W  
Changes from +6 to +5  
By addition of Na

⇒ Mixed Valence  
Compound

↓ Monoclinic  
↓ Orthorhombic



# Resistivity of $\text{Na}_x\text{WO}_3$

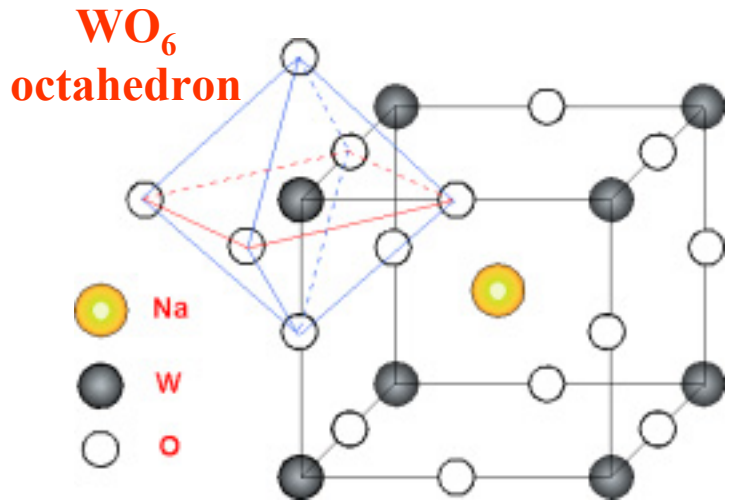


**Metal-to-Insulator transition**

**at  $x \sim 0.3$**

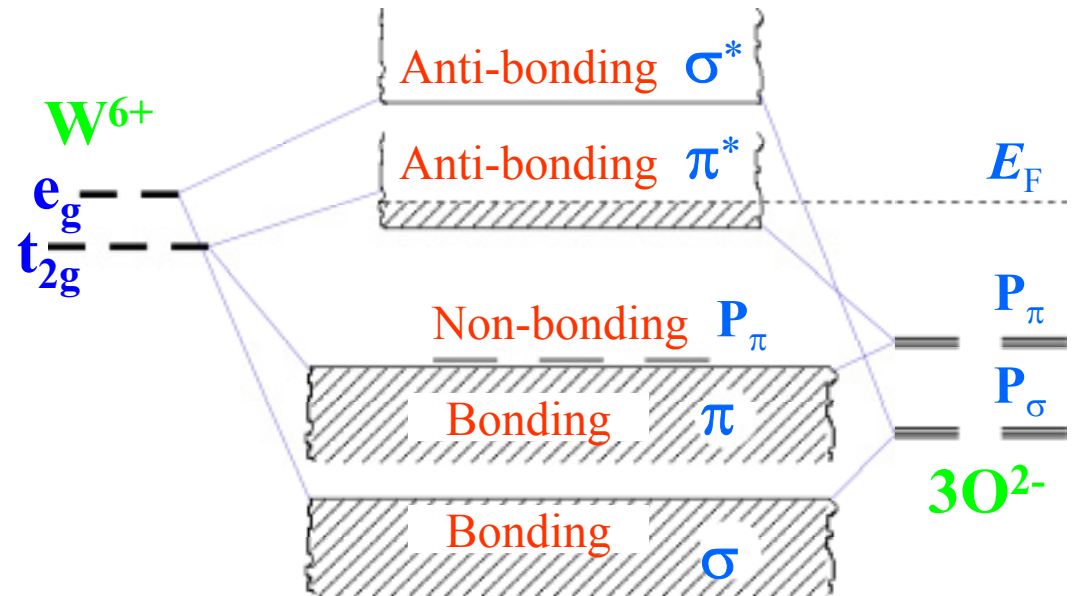
# Data from Poly-crystalline samples

# Crystal structure of $\text{Na}_x\text{WO}_3$



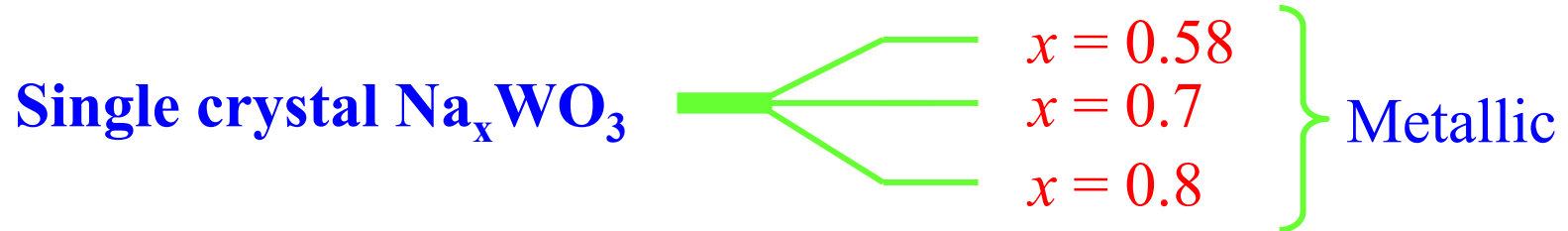
Cubic crystal Structure

## Rigid Band Model



- Band model from molecular orbital theory and symmetry of the crystal structure
- Na reduces  $\text{W}^{+6}$  to a lower oxidation state
- $\text{NaWO}_3$  is similar to  $\text{ReO}_3$ , hence Na has no role in VB & CB except donating an Electron to W 5d CB

# Sample Preparation



**Metallic sample : Molten salt electrolysis method**

**$\text{Na}_2\text{WO}_4 + 40 \text{ mol } \% \text{WO}_3$**

**Cubic structure :  $a = 3.7845 + 0.0820 x \text{ \AA}$**

XRD & SEM show samples are single phase with proper  $x$  value.

# ARPES Experiment

Tohoku Univ. (He-Lamp, SES-200)

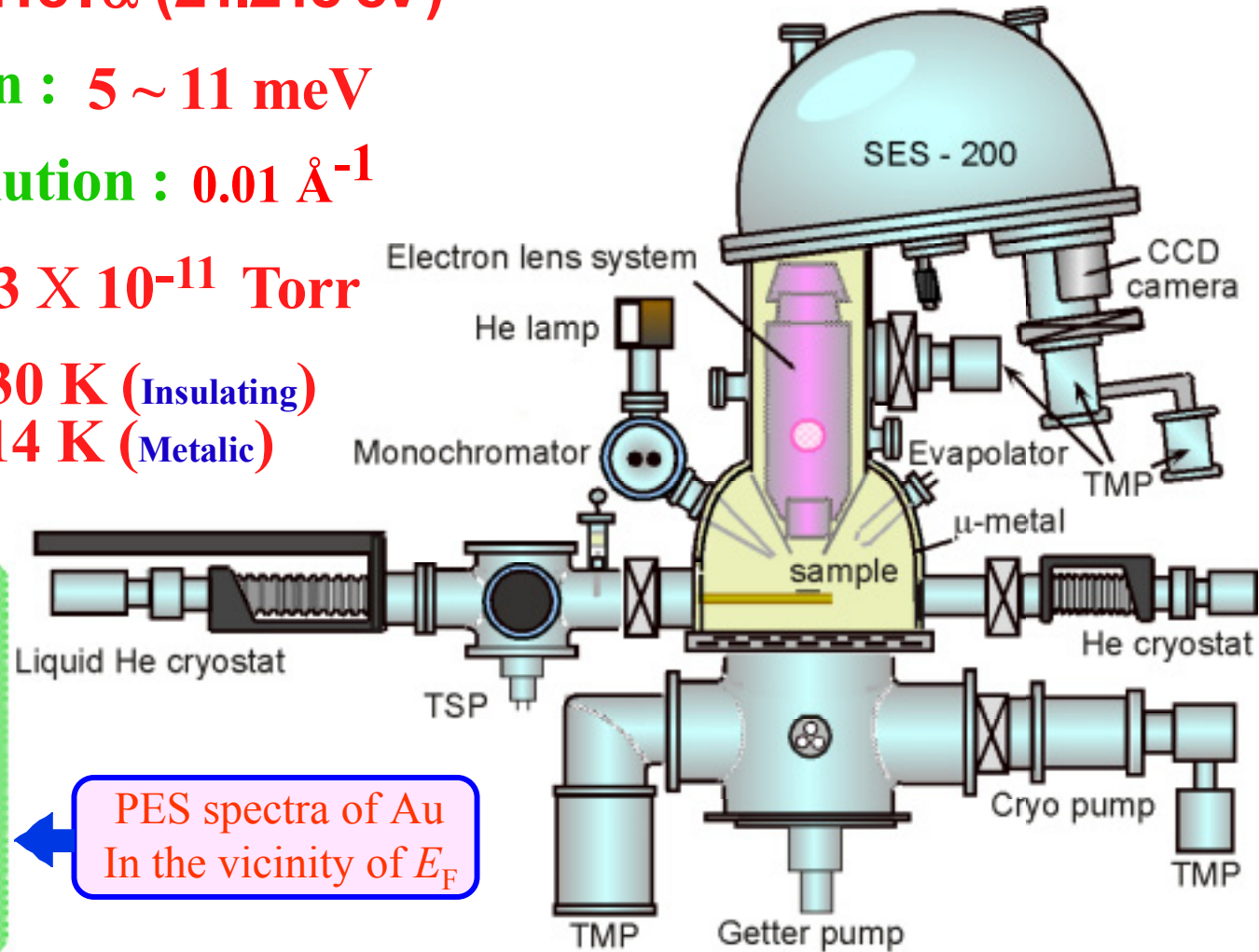
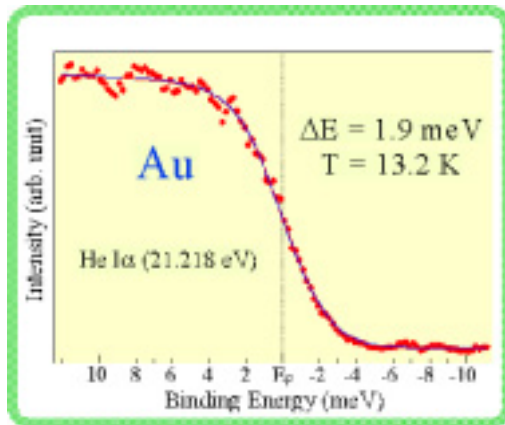
Photon energy :  $\text{He I}\alpha$  (21.218 eV)

Energy resolution : 5 ~ 11 meV

Momentum resolution :  $0.01 \text{ \AA}^{-1}$

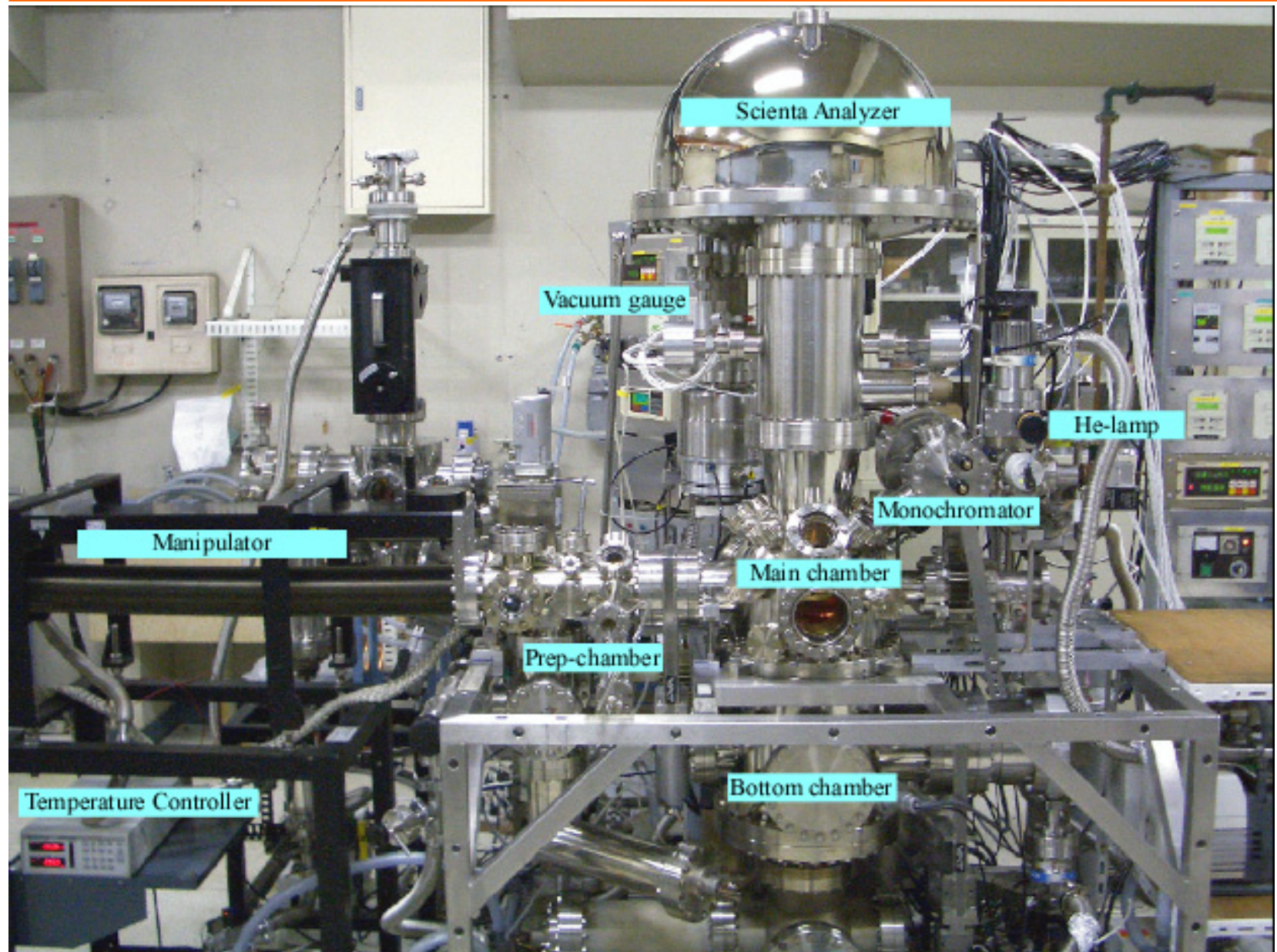
Base pressure :  $3 \times 10^{-11}$  Torr

Temperature : 130 K (Insulating)  
14 K (Metallic)



PES spectra of Au  
In the vicinity of  $E_F$

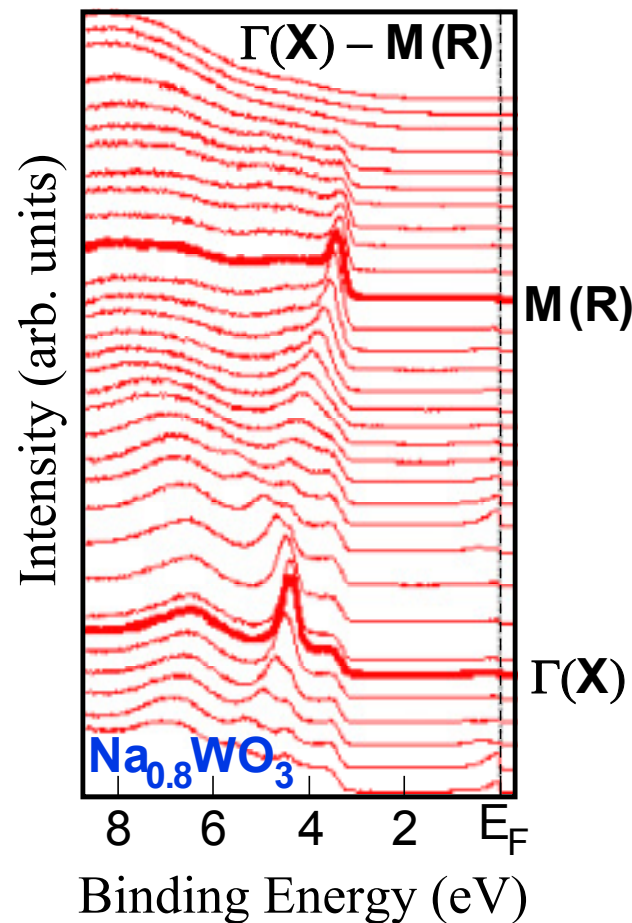
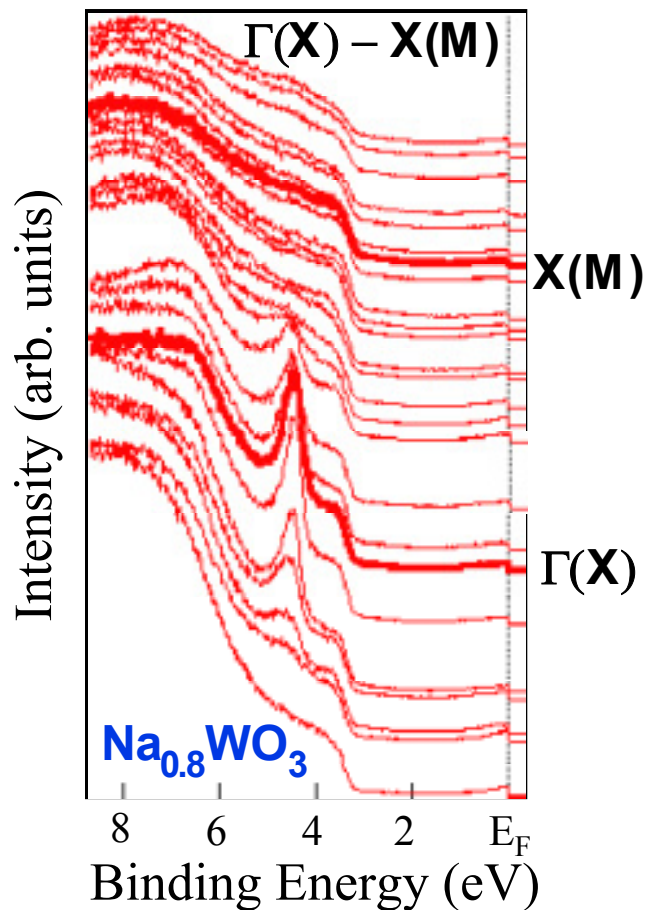
# High-Resolution Electron Spectrometer



**Metallic  $\text{Na}_x\text{WO}_3$**



# Valence band ARPES spectra of metallic $\text{Na}_x\text{WO}_3$

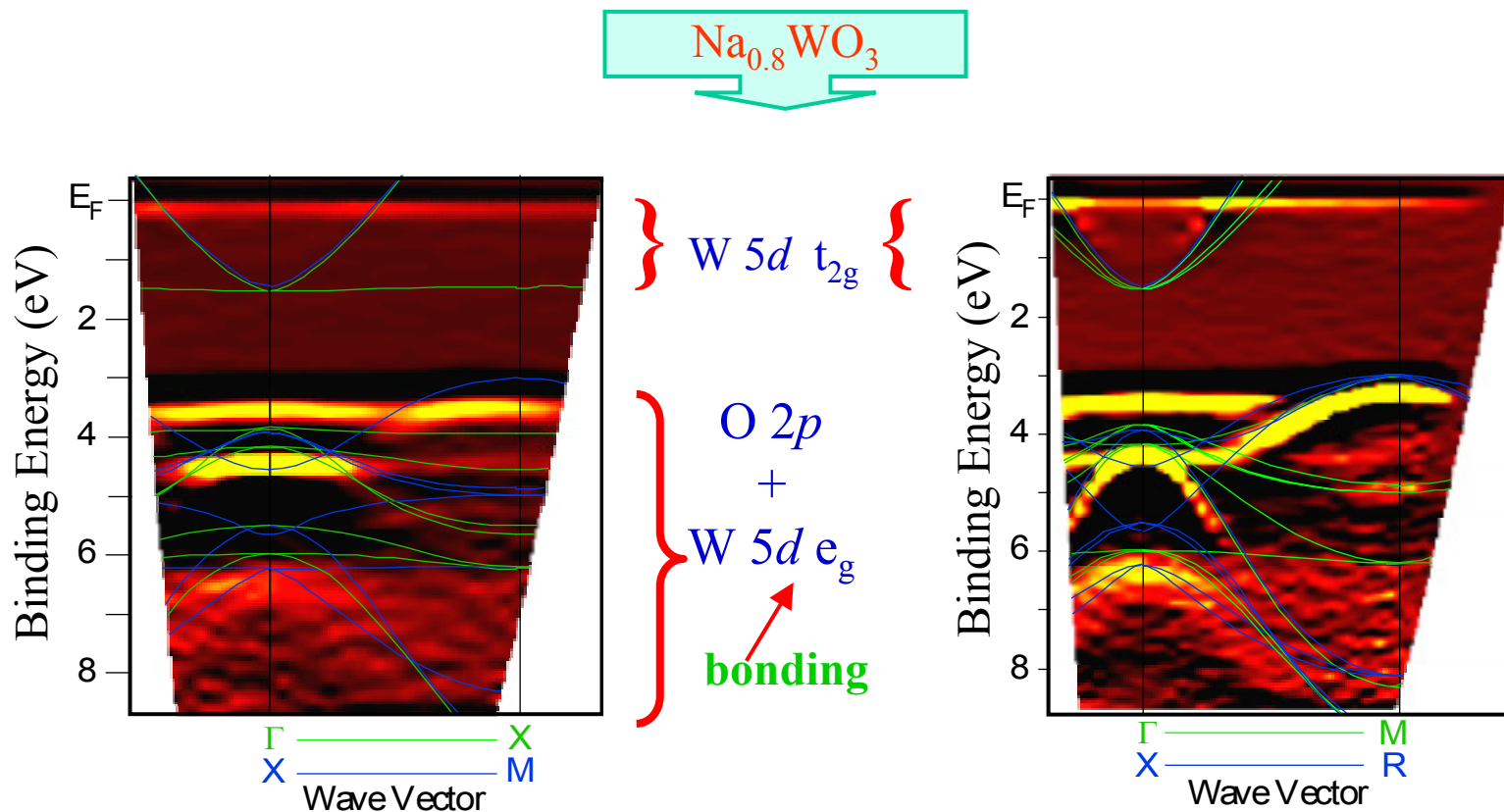


Top of valence band upto 3.0 eV

Bottom of Conduction band upto 1.0 eV

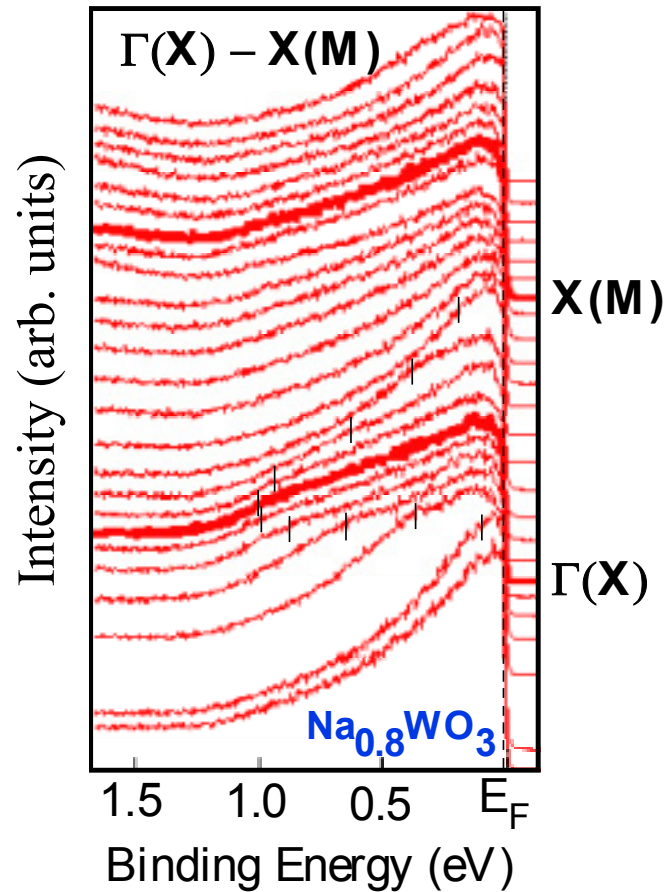
2.0 eV band gap between 3.0 ~ 1.0 eV BE

# Valence band structure of metallic $\text{Na}_x\text{WO}_3$

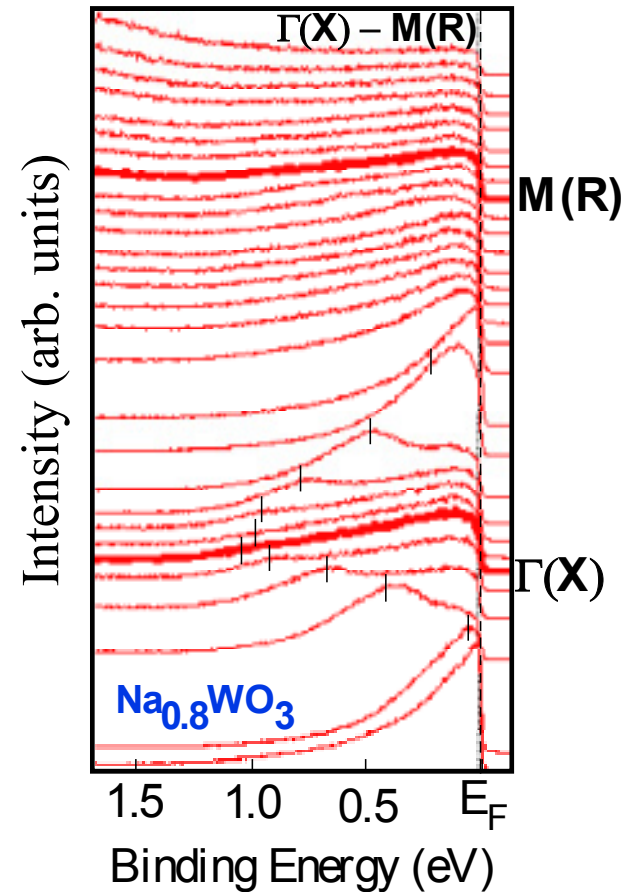


# FLAPW Band structure calculation of  $\text{NaWO}_3$

# ARPES spectra near- $E_F$ of metallic $\text{Na}_x\text{WO}_3$

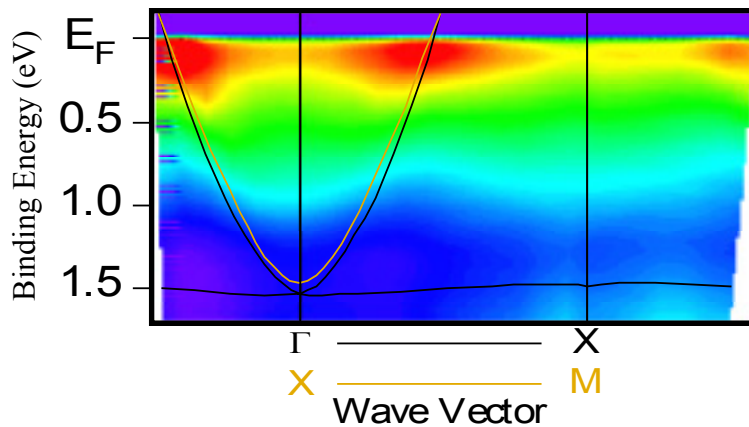


Near  $E_F$  region : W 5d  $t_{2g}$

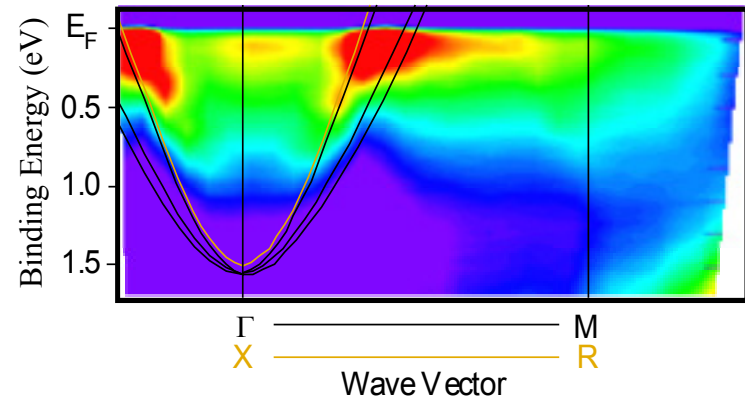


Na 3s electrons  $\rightarrow$  W 5d  $t_{2g}$

# Near- $E_F$ band structure of metallic $\text{Na}_x\text{WO}_3$



**Electron-like pocket  
At  $\Gamma$ (X) point**



**No surface  
Reconstruction**

# Summary

➔ Band structure of  $\text{Na}_x\text{WO}_3$  is studied by high-resolution ARPES

➔ FLAPW band calculation agrees well with the experimental band structure

➔ Electron-like pocket at  $\Gamma(\text{X})$  point agrees with the FLAPW band calculation

**THANK YOU**